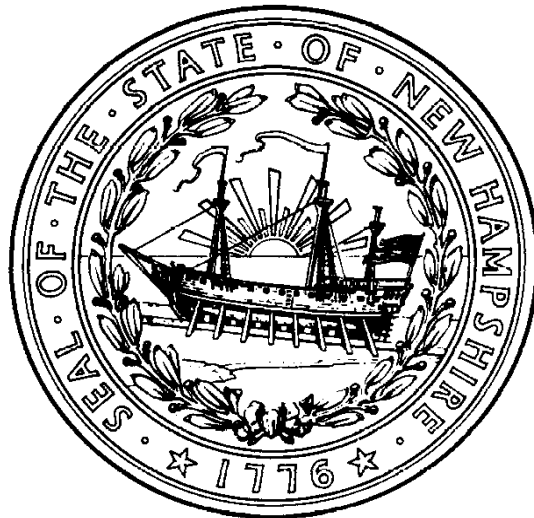
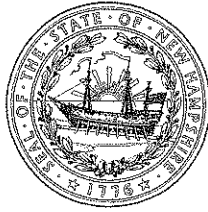


FISCAL COMMITTEE



**Meeting of November 22, 2013
9:30 a.m.
Room 210-211, LOB**



JEFFRY A. PATTISON
Legislative Budget Assistant
(603) 271-3161

MICHAEL W. KANE, MPA
Deputy Legislative Budget Assistant
(603) 271-3161

State of New Hampshire
OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

RICHARD J. MAHONEY, CPA
Director, Audit Division
(603) 271-2785

November 14, 2013

To the Members of the Fiscal Committee
of the General Court

The Chairman of the Fiscal Committee of the General Court, as established by RSA 14:30-a, of which you are a member, has requested that you be notified that the Fiscal Committee will hold a regular business meeting on Friday, November 22, 2013, at **9:30 a.m.** in Room 210-211 of the Legislative Office Building.

Please find attached information to be discussed at that meeting.

Sincerely,

A handwritten signature in cursive script that reads "Jeffry A. Pattison".

Jeffry A. Pattison
Legislative Budget Assistant

JAP/pe
Attachments

FISCAL COMMITTEE
AGENDA

Friday, November 22, 2013 in Room 210-211 of the Legislative Office Building

9:30 a.m.

- (1) Acceptance of Minutes of the October 18, 2013 meeting

NOTE: ALL ITEMS LISTED ON THE AGENDA UNDER CONSENT CALENDAR ARE IN BOLD.

- (2) Old Business:

FIS 13-081 Letter from Representative Lucy McVitty Weber, Chairman of the Legislative Performance Audit and Oversight Committee relative to the recommendation of the performance audit topics for 5) Police Standards and Training Council, The Council in Performance of its Charter. Tabled 03/08/13

CONSENT CALENDAR

- (3) **RSA 9:16-c, I, Transfer of Federal Grant Funds:**

FIS 13-244 Department of Safety – transfer \$1,440,319

- (4) **RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source:**

FIS 13-239 Department of Environmental Services – accept and expend \$182,262

FIS 13-245 Department of Environmental Services – authorization for the Oil Fund Disbursement Board to budget and expend \$1,250,000 in prior year carry forwards funds through June 30, 2015

FIS 13-254 Department of Resources and Economic Development – authorization to accept \$9,100,000 in federal grant funds and assign it directly to Plum Creek Maine Timberlands, L.L.C. to pay the purchase price for acquisition of a Conservation Easement on 22,991 +/- acres in the Towns of Cambridge, Errol and Wentworth Location in Coos County, known as the “Androscoggin Headwaters Forest Legacy Project”

FIS 13-256 Department of Health and Human Services – accept and expend \$414,964

FIS 13-257 Department of Justice – accept and expend \$22,316,661

- (5) **RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source and RSA 124:15 Positions Restricted:**

FIS 13-246 Department of Environmental Services – authorization to 1) accept and expend \$22,316,661 in “MTBE” settlement funds from the Department of Justice through June 30, 2015 and further authorization to 2) establish five (5) full-time temporary positions consisting of; one (1) Administrator IV position, one (1) Administrator III position, one (1) Civil Engineer VI position, and two (2) Environmentalist IV positions through June 30, 2015

FIS 13-247 Insurance Department – authorization to 1) accept and expend \$2,318,181 in federal funds for FY 2014-2015 through June 30, 2015 and further authorization to 2) establish a Class 046 (Consultants) to enter into contracts for consulting services with various vendors through June 30, 2015

(6) RSA 7:12, Assistants:

FIS 13-252 Department of Justice – authorization to accept and expend a sum not to exceed \$900,000 from funds not otherwise appropriated for the purpose of covering projected shortfalls in the general litigation expenses incurred in the defense of the State and the prosecution of criminal law through June 30, 2014

(7) Chapter 144:56, Laws of 2013, Department of Corrections; Transfers:

FIS 13-248 Department of Corrections – authorization to transfer \$1,032,000 in general funds through June 30, 2014

(8) Chapter 144:95, Laws of 2013, Department of Transportation; Transfer of Funds:

FIS 13-261 Department of Transportation – authorization to transfer \$260,450 in highway funds through June 30, 2014

(9) Chapter 144:177, Laws of 2013, State Employee Health Plan; Application:

FIS 13-249 Department of Administrative Services – authorization to change the health plan design, dental plan design and cost sharing amounts, and the level of life insurance of unrepresented active state employees who participate in the State Employee Health Plan, effective January 1, 2014

(10) Miscellaneous:

FIS 13-263 Office of Legislative Budget Assistant – request for benefits as provided for classified employees, for employees of the Office of Legislative Budget Assistant effective January 1, 2014

(11) Informational Materials:

LBAO Report on Additional Revenues for the Biennium Ending June 30, 2015 – Fiscal Committee Approvals through October 18, 2013 (RSA 14:30-a, VI and Chapter 224:14, II, Laws of 2011)

LBAO Report on American Recovery and Reinvestment Act (ARRA) Stimulus Funding – Fiscal Committee Approvals through October 18, 2013 (RSA 14:30-a, VI and Chapter 224:14, II, Laws of 2011)

Joint Legislative Facilities Committee
Legislative Branch
Detail of Balance of Funds Available
Fiscal Year 2014
As of 10/31/13

FIS 13-238 Department of Education – response, dated September 16, 2013, as requested by the Committee September 13, 2013, regarding the common rate of pay for consultants

FIS 13-240 Department of Health and Human Services – response, dated October 7, 2013, in regards to the Committee request September 13, 2013, for additional information pertaining to the Health Information Exchange (FIS 13-199, approved September 13, 2012)

FIS 13-241 Department of Health and Human Services – report of expenditures for the Child and Family Services account and Child Development (child care) Program for July 2013 through September 2013

FIS 13-242 Department of Resources and Economic Development – RSA 12-A:15-a, III – Annual report on activity within the Travel and Tourism Revolving Fund for Fiscal Year 2013

FIS 13-243 Department of Revenue Administration – RSA 21-J:45 – Refund report for September 2013

FIS 13-250 New Hampshire Fish and Game Department – RSA 206:42 – Search and Rescue Quarterly Report of Expenditures for the period July 1, 2013 to September 30, 2013

FIS 13-251 New Hampshire Fish and Game Department – RSA 206:33-e, III – Annual Report identifying funds received and expended from the Wildlife Heritage Foundation of New Hampshire and projects supported by the Foundation during Fiscal Year 2013

FIS 13-253 Department of Resources and Economic Development – RSA 162-N:9 – Annual report, dated October 1, 2013, regarding the New Hampshire Economic Revitalization Zone Tax Credit Program

FIS 13-255 Orr & Reno – Report, dated October 29, 2013, on the disposition of funds made available to Tri-County Community Action Program from the legislature

FIS 13-258 Department of Health and Human Services – Chapter 143:14, Laws of 2013 – report on planned budget reductions to the Sununu Youth Services Center for State Fiscal Year 2014

FIS 13-259 Department of Health and Human Services – update, dated October 29, 2013, of New Hampshire Hospital Corrective Actions as identified in the Financial Audit Report for the nine months ended March 31, 2011

FIS 13-260 Department of Health and Human Services – September 2013 Operating Statistics Dashboard report for the fiscal year ending June 30, 2014

FIS 13-262 Department of Revenue Administration – RSA 21-J:45 – Refund report for October 2013

10:00 a.m.
Audits:

State of New Hampshire
Department of Education
Internal Control Over Adequate
Education Aid Calculations
November 2013

State of New Hampshire
Department of Corrections
Transitional Housing
And Work Release Program
Performance Audit Report
November 2013

(12) Date of Next Meeting and Adjournment

FISCAL COMMITTEE OF THE GENERAL COURT

MINUTES

October 18, 2013

The Fiscal Committee of the General Court met on Friday, October 18, 2013 at 10:00 a.m. in Room 210-211 of the Legislative Office Building.

Members in attendance were as follows:

Representative Mary Jane Wallner, Chairman
Representative Ken Weyler, Clerk
Representative Bernard Benn (Alternate)
Representative Cindy Rosenwald
Representative Katherine Rogers (Alternate)
Senator Jeanie Forrester
Senator John Reagan (Alternate)
Senate President Chuck Morse
Senator Lou D'Allesandro (Alternate)
Senator Andy Sanborn

Chairman Wallner opened the meeting at 10:04 a.m.

ACCEPTANCE OF MINUTES:

On a motion by Representative Weyler, seconded by Senator Forrester, that the minutes of the September 13, 2013 meeting be accepted as written. MOTION ADOPTED.

OLD BUSINESS:

FIS 13-081 Letter from Representative Lucy McVitty Weber, Chairman of the Legislative Performance Audit and Oversight Committee relative to the recommendation of the performance audit topics for 5) Police Standards and Training Council, The Council in Performance of its Charter. Tabled 03/08/13. No Action Taken.

NEW BUSINESS:

RSA 14:30-a, III AUDIT TOPIC RECOMMENDATION BY LEGISLATIVE PERFORMANCE AUDIT AND OVERSIGHT COMMITTEE:

FIS 13-208 Letter from Representative Lucy McVitty Weber, Chairman of the Legislative Performance Audit and Oversight Committee – On a motion by Representative Rosenwald, seconded by Senator Reagan, that the Committee approve the request of Representative Lucy McVitty Weber, Chairman of the Legislative Performance Audit and Oversight Committee relative to the recommendation of the following performance audit topic: 1) New Hampshire Veterans Home, Review of the Home's Processes For Determining Its Operating and Capital Budget Needs and the Home's Monitoring of the Implementation of Those Approved Budgets. MOTION ADOPTED.

RSA 9:16-c, I, TRANSFER OF FEDERAL GRANT FUNDS:
CONSENT CALENDAR

On a motion by Representative Benn, seconded by Senator D'Allesandro, that the Committee approve the requests under this section as submitted. MOTION ADOPTED. [FIS 13-215 Department of Health and Human Services – transfer \$20,000, FIS 13-216 Department of Safety – transfer \$7,333]

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE:
CONSENT CALENDAR

At the request of Senator Sanborn, FIS 13-217 Department of Safety and FIS 13-233 Department of Health and Human Services were removed from the consent calendar.

On a motion by Representative Rosenwald, seconded by Senator Reagan, that the Committee approve the remaining requests under this section as submitted. MOTION ADOPTED. [FIS 13-218 Department of Safety – authorization to retroactively amend FIS 13-157, approved July 10, 2013, by reallocating \$30,000 in federal funds and extending the end date from September 30, 2013 to June 30, 2014, with no increase in funding, FIS 13-223 Office of Energy and Planning – accept and expend \$200,000, FIS 13-224 Department of Health and Human Services – accept and expend \$353,203, FIS 13-232 Department of Health and Human Services – accept and expend \$168,941, FIS 13-236 Department of Safety – authorization to retroactively accept and expend \$202,241 in federal funds effective October 1, 2013 through June 30, 2015]

FIS 13-217 Department of Safety – Elizabeth Bielecki, Director of Administration, and Lisa Lienhart, Financial Analyst, Department of Safety, presented the request and responded to questions of the Committee.

On a motion by Senator D'Allesandro, seconded by Representative Rosenwald, that the Committee approve the request of the Department of Safety to accept and expend \$7,500,000. MOTION ADOPTED.

FIS 13-233 Department of Health and Human Services – Steve Mosher, Chief Financial Officer, Department of Health and Human Services presented the request and responded to questions of the Committee.

On a motion by Representative Rosenwald, seconded by Senator Reagan, that the Committee approve the request of the Department of Health and Human Services to accept and expend \$219,533. MOTION ADOPTED. (9-Yes, 1-No)

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE AND RSA 124:15 POSITIONS RESTRICTED:

CONSENT CALENDAR

At the request of Senator Sanborn, FIS 13-225 Department of Safety was removed from the Consent Calendar.

FIS 13-225 Department of Safety – Elizabeth Bielecki, Director of Administration, and Lisa Lienhart, Financial Analyst, Department of Safety, presented the request and responded to questions of the Committee.

On a motion by Representative Benn, seconded by Senator Reagan, that the Committee approve the request of the Department of Safety to 1) accept and expend \$360,599 in federal funds through August 31, 2014, and contingent upon approval of #1, authorization to 2) establish consultant positions through August 31, 2014. MOTION ADOPTED.

RSA 177:2, II, CLOSING OF STATE STORES:

FIS 13-221 New Hampshire Liquor Commission – On a motion by Senator D’Allesandro, seconded by Senator Reagan, that the Committee approve the request of the New Hampshire Liquor Commission for approval of the Indirect Cost Allocation Plan for State Fiscal Year 2014. MOTION ADOPTED.

RSA 215-A:23, IX, AND RSA 215-C:39, X, REGISTRATION FEES:

FIS 13-211 New Hampshire Fish and Game Department – On a motion by Representative Benn, seconded by Senator D’Allesandro, that the Committee approve the request of the New Hampshire Fish and Game Department to transfer \$50,000 of unexpended funds from Excess Registration Fees to the Fish and Game OHRV Fiscal Year 2014 Operating Budget. MOTION ADOPTED.

CHAPTER 144:56, LAWS OF 2013, DEPARTMENT OF CORRECTIONS; TRANSFERS:

FIS 13-209 Department of Corrections – On a motion by Senator D’Allesandro, seconded by Representative Benn, that the Committee approve the request of the Department of Corrections to transfer \$10,403 in federal funds through June 30, 2014. MOTION ADOPTED.

FIS 13-219 Department of Corrections – On a motion by Senator D’Allesandro, seconded by Representative Benn, that the Committee approve the request of the Department of Corrections to transfer \$48,800 in other funds through June 30, 2014. MOTION ADOPTED.

CHAPTER 144:95, LAWS OF 2013, DEPARTMENT OF TRANSPORTATION; TRANSFER OF FUNDS:

FIS 13-212 Department of Transportation – Christopher Clement, Sr., Commissioner, and Patrick McKenna, Director of Finance, Department of Transportation, presented the request and responded to questions of the Committee.

On a motion by Representative Rogers, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Transportation to establish non-budgeted class lines and transfer \$2,002,250 between Bureau of Rail & Transit accounts and classes through June 30, 2014. MOTION ADOPTED.

FIS 13-226 Department of Transportation – On a motion by Representative Rogers, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Transportation to establish non-budgeted class lines and transfer \$116,350 between Highway Fund accounts through June 30, 2014. MOTION ADOPTED.

CHAPTER 144:95, LAWS OF 2013, DEPARTMENT OF TRANSPORTATION; TRANSFER OF FUNDS AND RSA 124:15, POSITIONS RESTRICTED:

FIS 13-237 Department of Transportation – On a motion by Senator Reagan, seconded by Senator Forrester, that the Committee approved the request of the Department of Transportation to 1) establish non-budgeted class lines and transfer \$306,509 between Highway Fund accounts through June 30, 2014 and further 2) establish three (3) temporary part-time positions consisting of two (2) Audit Technicians and one (1) Accountant I position through June 30, 2014. MOTION ADOPTED.

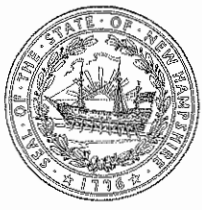
INFORMATIONAL MATERIALS:

The informational items were accepted and placed on file.

ADJOURNMENT:

The next regular meeting of the Fiscal Committee was set for Friday, November 22, 2013 at 9:30 a.m. (Whereupon the meeting adjourned at 10:31 a.m.)

Representative Ken Weyler, Clerk



State of New Hampshire

FIS 13 081

HOUSE OF REPRESENTATIVES

CONCORD

February 27, 2013

Fiscal Committee of the General Court
The Honorable Mary Jane Wallner, Chairman
State House
Concord, NH 03301

Dear Representative Wallner and Members of the Committee,

RSA 14:30-a, III requires the Fiscal Committee to consider recommendations proposed to it by the Legislative Performance Audit and Oversight Committee.

At its February 22, 2013 meeting, the Legislative Performance Audit and Oversight Committee voted to recommend six new performance audit topics for the Office of Legislative Budget Assistant, Audit Division. The new topics are:

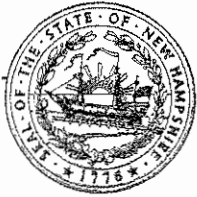
1. Department of Corrections, Division of Community Corrections.
2. Department of Health and Human Services, Health Facilities Administration, Assisted Living and Nursing Facility Inspections.
3. Department of Health and Human Services, Electronic Benefit Transfer Cards.
4. Department of Resources and Economic Development, Economic Development Programs.
5. Police Standards and Training Council, The Council in Performance of its Charter.
6. Community Development Finance Authority, Program Results.

I respectfully request the Fiscal Committee's approval of these topics at its next meeting.

Sincerely,

A handwritten signature in black ink, appearing to read "L. Weber".

Representative Lucy McVitty Weber, Chairman
Joint Legislative Performance Audit
and Oversight Committee



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

September 30, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 9:16-c, Transfer of Federal Grant Funds, authorize the Department of Safety, Office of the Commissioner, to transfer \$1,440,319.00 within federal grants entitled "FY 2010 Homeland Security Grant Program," "FY 2011 Homeland Security Grant Program," and "FY 2012 Homeland Security Grant Program," from the U.S. Department of Homeland Security/FEMA (DHS/FEMA), Preparedness Directorate. This request for transfer of funds is to re-align appropriated funds for the purpose of executing the mission of the Homeland Security Grant funding at the local and state level. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2014. Funding source: 100% Federal Funds.

Funds are to be budgeted as follows:

02-23-23-231010-11180000 Dept. of Safety Office of the Commissioner Homeland State Agency Grants

| Class | Description | Current SFY 2014 Adj. Authorized | Requested Action | Revised SFY 2014 Adj. Authorized |
|------------|------------------------------------|--|---------------------|--|
| 000-406727 | Federal Funds | (3,300,622.33) | 1,369,917.00 | (1,930,705.33) |
| 018-500106 | Overtime | 26,154.76 | 55,500.00 | 81,654.76 |
| 020-500200 | Current Expenses | 45,418.43 | | 45,418.43 |
| 024-500225 | Maintenance Other Than Build. | 102,966.32 | | 102,966.32 |
| 030-500301 | Equipment New/Replacement | 1,260,305.84 | (650,000.00) | 610,305.84 |
| 037-500165 | Technology - Hardware | 12,716.00 | | 12,716.00 |
| 038-500177 | Software License / Maintenance | 57,690.00 | | 57,690.00 |
| 050-500109 | Personal Services - Temp/Appointed | 36,000.00 | | 36,000.00 |
| 057-500531 | Books, Periodicals, Subscriptions | 1,300.00 | | 1,300.00 |
| 060-500602 | Benefits | 14,988.05 | 14,902.00 | 29,890.05 |
| 070-500704 | In-State Travel Reimbursement | 15,958.58 | | 15,958.58 |
| 072-500576 | Grants-Federal | 1,712,624.02 | (790,319.00) | 922,305.02 |
| 080-500710 | Out-of-State Travel Reimbursement | 7,000.33 | | 7,000.33 |
| 102-500731 | Contracts for Program Services | 7,500.00 | | 7,500.00 |
| | Total Expenses | 3,300,622.33 | (1,369,917.00) | 1,930,705.33 |

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Margaret Wood Hassan
and the Honorable Council
September 30, 2013
Page 2 of 3

02-23-23-231010-54090000 Dept. of Safety Office of the Commissioner HLS Training Grants

| | | Current SFY 2014 Adj. Authorized | Requested Action | Revised SFY 2014 Adj. Authorized |
|------------|--------------------------------------|--|---------------------|--|
| 000-404492 | Federal Funds | (172,754.36) | (1,369,917.00) | (1,542,671.36) |
| 018-500106 | Overtime | 4,931.66 | | 4,931.66 |
| 020-500200 | Supplies (Consumable) | 16,242.20 | 13,757.00 | 29,999.20 |
| 030-500301 | Office Equip. & Furnish (New) | 35,745.71 | | 35,745.71 |
| 037-500173 | PC Desktop Hardware Maint. | 15,000.00 | | 15,000.00 |
| 038-500175 | Desktop Software | 4,607.00 | | 4,607.00 |
| 050-500109 | Part Time Salaries | 64,224.05 | 332,899.00 | 397,123.05 |
| 057-500531 | Books, Periodicals, Subscriptions | 0.00 | 76,400.00 | 76,400.00 |
| 060-500611 | FICA (Temporary) | 7,337.20 | 23,420.00 | 30,757.20 |
| 070-500704 | Mileage Private Cars (In-State) | 12,456.99 | 54,441.00 | 66,897.99 |
| 072-500574 | Grants to Local Government - Federal | 158.99 | 859,000.00 | 859,158.99 |
| 080-500710 | Common Carriers (Out-Of State) | 7,000.56 | | 7,000.56 |
| 102-500731 | Contracts for Program Services | 5,050.00 | | 5,050.00 |
| 103-502664 | Contracts for Operational Services | 0.00 | 10,000.00 | 10,000.00 |
| | Total Expenses | 172,754.36 | 1,369,917.00 | 1,542,671.36 |

Explanation

The following information is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

Justification:

Does transfer involve continuing programs or one-time projects? *This transfer is to provide sufficient appropriations to cover the local and state training projects funded through the Homeland Security grants.*

Is this transfer required to maintain existing program level or will it increase the program level? *This transfer will allow the Department of Safety to implement the mission of the Homeland Security grants for local and State First Responder entities specifically in regards to federally approved training programs with additional training in excess of initial plans through June 30, 2014.*

Cite any requirements which make this program mandatory. *There are no requirements that make this program mandatory. However, this program has been federally approved by enabling Congressional language and is being 100% funded by a federal award.*

Identify the source of funds on all accounts listed on this transfer. *100% federal funds.*

Will there be any effect on revenue if this transfer is approved or disapproved? *No. Approved Homeland Security grant funded training programs for local first responders will not be completed.*

Are funds expected to lapse if this transfer is not approved? *No.*

Are personnel services involved? *Yes. This transfer will cover the part-time personnel and benefit costs associated with federally approved training for local Law Enforcement, Fire, and EMS.*

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Margaret Wood Hassan
and the Honorable Council
September 30, 2013
Page 3 of 3

The Homeland Security Grant Program (HSGP) funds are used for costs related to preparedness activities associated with implementing the State Homeland Security Strategy and the DHS/FEMA approved Homeland Security Investment Justifications. The HSGP consists of the State Homeland Security Program (SHSP), the Citizen Corps Program, and the Metropolitan Medical Response System (MMRS) Program. Together, these programs provide an integrated mechanism to enhance the coordination of National Priority efforts to prevent, respond to, and recover from terrorist attacks, major disasters, and other emergencies.

The increase in funding is to provide training for local jurisdictions, Divisions within the Department of Safety, and other State Agencies through the State Homeland Security Program (SHSP) and the Law Enforcement specific component of this program. SHSP provides funds to build capabilities at the State and local levels through planning, equipment, training, and exercise activities and to implement the goals and objectives included in State Homeland Security Strategies and initiatives in the State Preparedness Report (SPR). Funding for local training (80% of funding) is primarily being requested to cover the cost to provide an increased array of training for Local First Responders in particular in the Fire Service and EMS spectrum in addition to Law Enforcement.

Org 1118


- 1118 Class 18 Increased to cover the costs of overtime for State Police instructors and participants in new Homeland Security related training initiatives.
- 1118 Class 30 Decreased due to balances brought forward from FY 2013.
- 1118 Class 60 Increased to cover the benefits associated with the overtime for State Police instructors and participants in new Homeland Security related training initiatives.
- 1118 Class 72 Decreased due to balances brought forward from FY 2013.

Org 5409

- 5409 Class 020 Increased to cover the purchase of consumable instructional materials provided to the participants of the training program.
- 5409 Class 050 Increased to cover the costs associated with part-time Fire Standards and Training/EMS (FST/EMS) instructors to provide training at the local level.
- 5409 Class 057 Added to cover the cost of books provided to participants of the training program.
- 5409 Class 060 Increased to cover benefits associated with part-time FST/EMS instructors and overtime to provide training at the local level.
- 5409 Class 070 Increased to cover the costs of in-state travel associated with part-time FST/EMS instructors to conduct the training for locals.
- 5409 Class 072 Increased to match the demand for costs associated with local first responder participation in Training including Overtime and Backfill, hiring of Consultants, and travel at the local level.
- 5409 Class 103 Added to cover the cost of Contracts for Operational Services associated with providing training at the local level.

Highway Funds or General Funds will not be used should federal funds become unavailable.

Respectfully Submitted,


John J. Barthelmes
Commissioner of Safety

2010 Homeland Grant Award



Department of Homeland Security FEMA
Grant Programs Directorate

Grant

PAGE 1 OF 5

| | |
|--|---|
| 1. RECIPIENT NAME AND ADDRESS (Including Zip Code) New Hampshire Department of Safety 33 Hazen Drive Concord, NH 03305 | 4. AWARD NUMBER: 2010-SS-T0-0069 5. PROJECT PERIOD: FROM 08/01/2010 TO 07/31/2013 BUDGET PERIOD: FROM 08/01/2010 TO 07/31/2013 6. AWARD DATE 09/17/2010 7. ACTION Initial 8. SUPPLEMENT NUMBER 00 9. PREVIOUS AWARD AMOUNT \$ 0 |
| 1A. GRANTEE IRS/VENDOR NO. 026003618 | 10. AMOUNT OF THIS AWARD \$ 7,056,165 11. TOTAL AWARD \$ 7,056,165 |
| 3. PROJECT TITLE FY 2010 Homeland Security Grant Program | |

12. SPECIAL CONDITIONS
 THE ABOVE GRANT PROJECT IS APPROVED SUBJECT TO SUCH CONDITIONS OR LIMITATIONS AS ARE SET FORTH ON THE ATTACHED PAGE(S).

15. STATUTORY AUTHORITY FOR GRANT
 This project is supported under Department of Homeland Security Appropriations Act, 2010 (Public Law 111-083)

15. METHOD OF PAYMENT
 PARS

| | |
|------------------------|---------------------------|
| AGENCY APPROVAL | GRANTEE ACCEPTANCE |
|------------------------|---------------------------|

| | |
|--|--|
| 16. TYPED NAME AND TITLE OF APPROVING DHS OFFICIAL Elizabeth M. Harman Assistant Administrator Grant Programs Directorate | 18. TYPED NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL John J. Borthelmes Commissioner of Safety |
|--|--|

| | | |
|--|---|---------------------------------|
| 17. SIGNATURE OF APPROVING DHS OFFICIAL | 19. SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL | 19A. DATE 9/22/10 |
|--|---|---------------------------------|

| | |
|------------------------|--|
| AGENCY USE ONLY | |
|------------------------|--|

| 20. ACCOUNTING CLASSIFICATION CODES <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>FISCAL YEAR</th> <th>FUND CODE</th> <th>BUD. ACT.</th> <th>DIV. OPC.</th> <th>DIV. REG.</th> <th>SUB.</th> <th>POMS</th> <th>AMOUNT</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>T</td> <td>MM</td> <td>10</td> <td>00</td> <td>00</td> <td>00</td> <td>317419</td> </tr> <tr> <td>0</td> <td>T</td> <td>UB</td> <td>10</td> <td>00</td> <td>00</td> <td>00</td> <td>125546</td> </tr> <tr> <td>0</td> <td>T</td> <td>SS</td> <td>10</td> <td>00</td> <td>00</td> <td>00</td> <td>6613200</td> </tr> </tbody> </table> | FISCAL YEAR | FUND CODE | BUD. ACT. | DIV. OPC. | DIV. REG. | SUB. | POMS | AMOUNT | 0 | T | MM | 10 | 00 | 00 | 00 | 317419 | 0 | T | UB | 10 | 00 | 00 | 00 | 125546 | 0 | T | SS | 10 | 00 | 00 | 00 | 6613200 | 21. MU10V40007 |
|--|-------------|-----------|-----------|-----------|-----------|------|---------|--------|---|---|----|----|----|----|----|--------|---|---|----|----|----|----|----|--------|---|---|----|----|----|----|----|---------|-----------------------|
| FISCAL YEAR | FUND CODE | BUD. ACT. | DIV. OPC. | DIV. REG. | SUB. | POMS | AMOUNT | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 0 | T | MM | 10 | 00 | 00 | 00 | 317419 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 0 | T | UB | 10 | 00 | 00 | 00 | 125546 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 0 | T | SS | 10 | 00 | 00 | 00 | 6613200 | | | | | | | | | | | | | | | | | | | | | | | | | | |

JMB

2010 Homeland Grant Extension



U.S. Department of Homeland Security
Grant Programs Directorate

GRANT ADJUSTMENT NOTICE

| | |
|--|------------------------------------|
| 1. GRANTEE NAME AND ADDRESS (Including Zip Code) NEW HAMPSHIRE DEPARTMENT OF SAFETY 33 HAZEN DRIVE CONCORD, NH 03305-0000 | 3. GRANT NUMBER 2010-SS-T0-0069 |
| | 4. ADJUSTMENT NUMBER _____ |
| 1A. GRANTEE IRS/VENDOR NUMBER 028003618 | 5. DATE AUG - 6 2013 |
| 2. PROJECT TITLE FY 2010 HSGP | 6. GRANT MANAGER Patrick Gavin |

SECTION I: DEOBLIGATIONS & REOBLIGATIONS

| | |
|--|---|
| 7. ACCOUNTING CLASSIFICATION CODE FISCAL YEAR FUND CODE BUD. ACT. OFC. DIV. REG. SUB. MBO | 9. PREVIOUS GRANT AWARD AMOUNT \$ 7,056,165.00 |
| | 10. DEOBLIGATION AMOUNT \$ |
| DOCUMENT CONTROL NUMBER | 11. ADJUSTED AWARD AMOUNT \$ 7,056,165.00 |

SECTION II: CHANGES

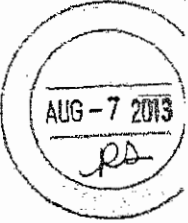
| | | |
|--------------------------|-----------------------------|--------------------------|
| 12. CHANGE GRANT MANAGER | FROM: _____ | TO: _____ |
| 13. CHANGE GRANT PERIOD | FROM: 08/01/2010-07/31/2013 | TO: 08/01/2010-2/28/2014 |

SECTION III: OTHER ADJUSTMENTS & INFORMATION

14 This Grant Adjustment Notice (GAN) extends the grant period as stated above. The grantee requires additional time to start and complete homeland security projects. The grantee is reminded to submit all financial status reports and project progress reports to facilitate the drawdown of funds. Please note that this will be the final extension granted for this award and the grantee will be prohibited from obligating, expending or drawing down remaining unallocated funds after the period of performance expires.

M&A, the Fusion Center, Police Radios, EMD Radios, Cheshire Sheriff Micro Links, Nashua Police Combs Study, Manchester Police Comms Study, Lincoln Police Fusion Center Analyst, Belmont Police Fusion Center Analyst, Berline Police Analyst, Manchester Police Analyst, Hampton Police Maintenance Contract, LETPP Exercises, SHSP Exercises, Lake Region Fire Radio Programming Project, Seacoast Fire Mobile Comms Unit, City of Dover CI Camera Testing, Statewide Firefighters Training for 120 students, and Special Fire/LE Training for 400 students have been approved for extension until 2/28/2014. All other projects must be completed by 7/31/2013.

| | |
|---|--|
| 15. TYPED NAME AND TITLE OF AUTHORIZED OFFICIAL Brian E. Kamcie, Assistant Administrator, Grant Programs Directorate | 16. SIGNATURE OF AUTHORIZED OFFICIAL |
|---|--|



RECEIVED
AUG - 5 2013
CONTROL DESK
GMD
ps

2011 Homeland Grant Award

Obligating Document for Award/Amendment

| | | | | | |
|--------------------------|--------------------------|-------------------------|-----------------------|--------------------------|-----------------------|
| 1a. AGREEMENT NO. | 2. AMW-2011-SS-00079-S01 | 3. RECIPIENT NO. | 3. AMENDMENTV00018564 | 4. TYPE OF ACTION | 5. CONTROL NO. |
| | | | | ACTION | W482926N,W482927N,W |
| | | | | AWARD | |

| | | |
|---|--|---|
| 6. RECIPIENT NAME AND ADDRESS | 7. ISSUING FEMA OFFICE AND ADDRESS | 8. PAYMENT OFFICE AND ADDRESS |
| NH Department of Safety 33 Hazen Drive Concord, NH, 03305 | Grant Operations 245 Murray Lane - Building 410, SW Washington DC, 20528-7000 POC: 866-927-5646 | Financial Services Branch 500 C Street, S.W., Room 723 Washington DC, 20472 |

| | | |
|---|------------------------|--|
| 9. NAME OF RECIPIENT PROJECT OFFICER | PHONE NO. (603) | 10. NAME OF FEMA PROJECT COORDINATOR |
| Pam Urban-Morin | 271-7033 | Central Scheduling and Information Desk Phone: 800-368-6498 Email: Askcsid@dhs.gov |

| | | | |
|--|-----------------------------------|-----------------------------------|--|
| 11. EFFECTIVE DATE OF THIS ACTION | 12. METHOD OF PAYMENT PARS | 13. ASSISTANCE ARRANGEMENT | 14. PERFORMANCE PERIOD |
| 09/01/2011 | | Cost Reimbursement | From: 09/01/2011 To: 08/31/2014 Budget Period From: 09/01/2011 To: 08/31/2014 |

15. DESCRIPTION OF ACTION
a. (Indicate funding data for awards or financial changes)

| PROGRAM NAME ACRONYM | CFDA NO. | ACCOUNTING DATA (ACCS CODE) XXXX-XXX-XXXXXX-XXXX-XXXX-XXXX-X | PRIOR TOTAL AWARD | AMOUNT AWARDED THIS ACTION + OR (-) | CURRENT TOTAL AWARD | CUMULATIVE NON-FEDERAL COMMITMENT |
|---------------------------------|----------|--|-------------------|-------------------------------------|-----------------------|-----------------------------------|
| Homeland Security Grant Program | 97.067 | 2011-SL-CC-1000-4101-D:W482929N \$100,150.00, </br>2011-SL-OSG-1000-4101-D:W482927N \$95,000.00, </br>2011-SL-MMR-1000-4101-D:W482930N \$281,693.00, </br>2011-SL-SHS-1000-4101-D:W482926N \$5,137,205.00 | \$0.00 | \$5,614,048.00 | \$5,614,048.00 | \$0.00 |
| TOTALS | | | \$0.00 | \$5,614,048.00 | \$5,614,048.00 | \$0.00 |

b. To describe changes other than funding data or financial changes, attach schedule and check here.
N/A

16 a. FOR NON-DISASTER PROGRAMS: RECIPIENT IS REQUIRED TO SIGN AND RETURN THREE (3) COPIES OF THIS DOCUMENT TO FEMA (See Block 7 for address)

Homeland Security Grant Program recipients are not required to sign and return copies of this document. However, recipients should print and keep a copy of this document for their records.

16b. FOR DISASTER PROGRAMS: RECIPIENT IS NOT REQUIRED TO SIGN

This assistance is subject to terms and conditions attached to this award notice or by incorporated reference in program legislation cited above.

| | |
|--|-------------|
| 17. RECIPIENT SIGNATORY OFFICIAL (Name and Title) | DATE |
| N/A | N/A |
| 18. FEMA SIGNATORY OFFICIAL (Name and Title) | DATE |
| | 09/27/2011 |

Natalie Romanoff NATALIE ROMANOFF , Assistance Officer

2012 Homeland Grant Award

Obligating Document for Award/Amendment

1a. AGREEMENT NO. 2. 3. RECIPIENT NO. 4. TYPE OF ACTION 5. CONTROL NO.
 EMW-2012-SS-00084-S01 AMENDMENTV00018564 W503970N,W503971N
 NO. AWARD

6. RECIPIENT NAME AND ADDRESS
 NH Department of Safety
 33 Hazen Drive
 Concord, NH, 03305

7. ISSUING FEMA OFFICE AND ADDRESS
 Grant Operations
 245 Murray Lane - Building 410, SW
 Washington DC, 20528-7000
 POC: 866-927-5646

8. PAYMENT OFFICE AND ADDRESS
 Financial Services Branch
 500 C Street, S.W., Room 723
 Washington DC, 20472

9. NAME OF RECIPIENT PROJECT OFFICER
 Pamela Urban-Morin

10. NAME OF FEMA PROJECT COORDINATOR
 Central Scheduling and Information Desk
 Phone: 800-368-6498
 Email: Askcsid@dhs.gov

11. EFFECTIVE DATE OF THIS ACTION
 09/01/2012

12. METHOD OF PAYMENT
 PARS

13. ASSISTANCE ARRANGEMENT
 Cost Reimbursement

14. PERFORMANCE PERIOD
 From: 09/01/2012 To: 08/31/2014
 Budget Period
 From: 09/01/2012 To: 08/31/2014

15. DESCRIPTION OF ACTION

a. (Indicate funding data for awards or financial changes)

| PROGRAM NAME ACRONYM | CFDA NO. | ACCOUNTING DATA (ACCS CODE) | PRIOR TOTAL AWARD | AMOUNT AWARDED THIS ACTION + OR (-) | CURRENT TOTAL AWARD | CUMULATIVE NON-FEDERAL COMMITMENT |
|---------------------------------|----------|--|-------------------|-------------------------------------|-----------------------|-----------------------------------|
| Homeland Security Grant Program | 97.067 | 2012-SL-SHS-1000-4101-D:W503970N \$ 2,801,316.00, 2012-SL-OSG-1000-4101-D:W503971N \$ 90,000.00 | \$0.00 | \$2,891,316.00 | \$2,891,316.00 | \$0.00 |
| TOTALS | | | \$0.00 | \$2,891,316.00 | \$2,891,316.00 | \$0.00 |

b. To describe changes other than funding data or financial changes, attach schedule and check here.

N/A

16 a. FOR NON-DISASTER PROGRAMS: RECIPIENT IS REQUIRED TO SIGN AND RETURN THREE (3) COPIES OF THIS DOCUMENT TO FEMA (See Block 7 for address)

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16b. FOR DISASTER PROGRAMS: RECIPIENT IS NOT REQUIRED TO SIGN

This assistance is subject to terms and conditions attached to this award notice or by incorporated reference in program legislation cited above.

17. RECIPIENT SIGNATORY OFFICIAL (Name and Title)

N/A

DATE

N/A

18. FEMA SIGNATORY OFFICIAL (Name and Title)

DATE

08/02/2012

Natalie Romanoff NATALIE ROMANOFF, Assistance Officer

TITLE I
THE STATE AND ITS GOVERNMENT
CHAPTER 9
BUDGET AND APPROPRIATIONS; REVOLVING FUNDS

Appropriations

Section 9:16-c

9:16-c Transfer of Federal Grant Funds. –

I. In order to maximize the use of federal grant funds and to avoid lapsing such funds where changes in the state or federal accounting systems, changes in federal grant guidelines, or overestimation or underestimation of funds required in various class codes due to program needs or requirements have occurred subsequent to the passage of the budget, every department as defined in RSA 9:1 may, subject to the prior approval of the fiscal committee of the general court and the approval of governor and council, transfer funds in or out of any class code and to create new class codes within federally funded areas of the department's operating budget if such transfers do not result in an over-expenditure of any grant.

II. In order to maximize the use of federal grant funds and not lapse such funds, every department as defined in RSA 9:1 may, subject to the approval of the commissioner of the department of administrative services, carry forward into future state fiscal years any budgeted appropriation balances in class from federal grants for the duration of the federal grant award.

Source. 2012, 192:7, eff. July 1, 2012.



The State of New Hampshire
DEPARTMENT OF ENVIRONMENTAL SERVICES



Thomas S. Burack, Commissioner

October 9, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 and

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Department of Environmental Services (DES) to accept and expend \$182,262 in Federal funds from the National Oceanic and Atmospheric Administration (NOAA) for implementation of a web-based tool to advance hazard resilience and adaptation planning in coastal communities, effective upon Fiscal Committee and Governor and Council approval through March 31, 2015. 100% federal funds. Funding is to be budgeted as follows:

**Hazard Resiliency & Adaptation
 03-44-44-442010-5421000
 FY 2014**

| Class | Budget Category | Current Budget | Requested Action | Revised Budget |
|---------------------|--------------------------------|-----------------|------------------|------------------|
| Income | | | | |
| 000-404222 | Hazard Resiliency & Adaptation | (\$33,986) | (\$182,262) | (\$216,248) |
| Expenditures | | | | |
| 020-500200 | Current Expense | \$0 | \$2,265 | \$2,265 |
| 040-500800 | Indirect Costs | \$1,472 | \$3,064 | \$4,536 |
| 041-500801 | Audit Fund Set Aside | \$0 | \$216 | \$216 |
| 042-500620 | Additional Fringe Benefits | \$1,847 | \$619 | \$2,466 |
| 050-500109 | Personnel Full-Time Temp | \$0 | \$18,181 | \$18,181 |
| 059-500117 | Salary Temporary Employees | \$17,588 | \$0 | \$17,588 |
| 060-500601 | Benefits | \$12,079 | \$8,589 | \$20,668 |
| 070-500705 | In-State Travel | \$500 | \$617 | \$1,117 |
| 072-500573 | Grants | \$0 | \$148,711 | \$148,711 |
| 080-500716 | Out Of State Travel | \$500 | \$0 | \$500 |
| TOTAL | | \$33,986 | \$182,262 | \$216,248 |

DES Web site: www.des.nh.gov

P.O. Box 95, 29 Hazen Drive, Concord, New Hampshire 03302-0095

Telephone: (603) 271-3503 • Fax: (603) 271-2867 • TDD Access: Relay NH 1-800-735-2964

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
And
Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council

Page 2 of 3

EXPLANATION

DES was awarded a grant from the National Oceanic and Atmospheric Administration (NOAA) to advance resiliency and adaptation planning for coastal hazards by integrating tools, research, outreach, and technical assistance. Through a dialogue with project partners, specifically the Coastal Adaptation Workgroup (CAW), Rockingham Planning Commission (RPC), and the Natural Resources Outreach Coalition (NROC), two coastal communities prepared to work on climate adaptation will be identified and engaged in the first few months of the project. These community leaders, as well as those from regional planning agencies and the business community, will be the "end users" who will help inform a) the new data and tools developed; b) the GIS platform that will house these new data products as well as existing coastal data (the "Coastal Viewer"); and c) the way the new information and GIS platform will be delivered and disseminated (the "Toolbox"). These communities will be able to use the technical tools being developed while engaged in the NOAA Roadmap framework (a participatory approach for assessing a community's vulnerability to hazards) as they work toward climate adaptation activities and policies.

New information generated by this project will include updated and expanded Sea Level Affecting Marsh Migration (SLAMM) model outputs, and current information about Fluvial Erosion Hazards. Through an innovative approach of working with end users in target communities throughout the project, both the Toolbox and the analysis and interpretation of the information will be relevant to local decision makers and the local business community. The project's integrated and innovative approach enables project partners to learn how communities want to use and access coastal data and GIS tools in hazards and climate adaptation planning, and what steps can be taken to ensure that climate related science is relevant to local needs.

DES's role in the project primarily involves project facilitation and coordination, however, DES staff will also develop fluvial erosion hazard and culvert assessment data sets and summary documents. The majority of the funding will be passed through to project partners. The following partners are written into the grant as approved by NOAA and contract requests will follow this action for work on the project: 1) UNH Complex Systems Research Center will develop the Coastal Viewer web-based toolbox with new coastal resiliency data visualization and query tools; 2) UNH Cooperative Extension will coordinate the development and implementation of local adaptation action plans by two coastal communities, and provide outreach to New Hampshire businesses; 3) Great Bay Stewards will work with the Great Bay National Estuarine Research Reserve on SLAMM model development; and 4) UNH Jackson Estuarine Laboratory will perform technical verification of data inputs to the SLAMM model.

This grant does not have a matching requirement.

These grant funds are budgeted and described as follows:

Class 020 (Current Expense) – These expenses are related to materials used for hazard and culvert assessments, as well as, office supplies related to report writing.

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
And
Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council

Page 3 of 3

Classes 040, 042, 060 (Indirect Costs, Additional Fringe, and Benefits) – Increases in each class respectively, are necessary since there are additional personnel costs and these classes are based on a percentage of personnel costs per state guidelines.

Class 041 (Audit Fund Set-Aside) – Budgeted at 1/10 of 1% based on state guidelines.

Class 050 (Personal Services) – The additional amount of \$18,181 will not be used for new positions. These funds are available in support of an expanded project beyond the original budget scope. Employee support from other Department of Environmental Services organization units will be used to administer the program. These funds will be used to supplant funding of the following positions, which are currently budgeted.

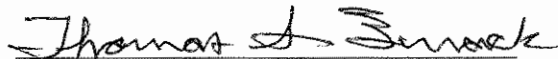
| Position # | Title | Account (03-44-44) | Funding Mix | Amount |
|------------|---------------------|---------------------|--------------|---------|
| 10026 | Senior Planner | 442010-36420000-010 | 100% Federal | \$1,020 |
| 30001 | Principal Planner | 442010-36420000-010 | 100% Federal | \$956 |
| 40468 | Principal Planner | 442010-36420000-010 | 100% Federal | \$8,054 |
| 40469 | Principal Planner | 442010-36420000-010 | 100% Federal | \$1,698 |
| 10027 | Principal Planner | 442010-36420000-010 | 100% Federal | \$765 |
| 43495 | Environmentalist IV | 440010-15510000-010 | 100% Other | \$1,378 |
| 43496 | Hydrogeologist I | 440010-15510000-010 | 100% Other | \$4,310 |

Funding in the amount of \$18,181, which is budgeted for the current positions referenced above, will be either lapsed or closed to control, resulting in a net-zero increase in budgeted funds.

Class 072 (Grants) – This amount will be passed through to partners as written into the project application and approved by NOAA.

These funds were not included in the 14/15 Operating Budget as DES did not anticipate receiving this competitive grant at that time. In the event that the Federal Funds become no longer available, General Funds will not be requested to support this program.

We respectfully request your approval.


Thomas S. Burack, Commissioner

**Department of Environmental Services
Hazard Resiliency & Adaptation
Fiscal Situation FY 2014
03-44-44-442010-5421**

| | |
|---|-------------------|
| Total Federal Awards | \$ 230,465 |
| Less Expenditures thru 6/30/13 | \$ 14,217 |
| Remaining Authorization to Budget | <u>\$ 216,248</u> |
| Less: Current FY14 Budget Authorization | \$ 33,986 |
| Total Available for Budgeting | <u>\$ 182,262</u> |
| REQUESTED ACTION | <u>\$ 182,262</u> |
| Available to Budget at a later date | <u>\$ 0</u> |

| Grant Award Number | <u>Award Amount</u> | <u>Expenses to 6/30/13</u> | <u>Balance</u> |
|---------------------------|--------------------------------|---------------------------------------|-----------------------|
| NA12NOS4190023 | \$ 30,465 | \$ 14,217 | \$ 16,248 |
| NA13NOS4190142 | \$ 200,000 | \$ - | \$ 200,000 |
| TOTAL | <u>\$ 230,465</u> | <u>\$ 14,217</u> | <u>\$ 216,248</u> |

U. S. DEPARTMENT OF COMMERCE

GRANT COOPERATIVE AGREEMENT

FINANCIAL ASSISTANCE AWARD

AWARD NUMBER

NA13NOS4190142

RECIPIENT NAME Environmental Services, New Hampshire Department of

STREET ADDRESS 29 Hazen Drive

FEDERAL SHARE OF COST \$200,000.00

CITY, STATE, ZIP CODE Concord NH 03301-6510

RECIPIENT SHARE OF COST \$0.00

AWARD PERIOD 10/01/2013-03/31/2015

TOTAL ESTIMATED COST \$200,000.00

AUTHORITY 16 U.S.C. 1456b

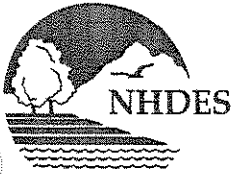
CFDA NO. AND PROJECT TITLE

11.419 NH Proposed FY13 Project of Special Merit: Taking Action for Resilient Natural and Built Communities in Coastal New Hampshire through Applied Modeling and Development of a User-Driven Toolbox

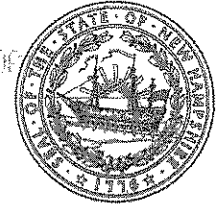
This award offer approved by the Grants Officer constitutes an obligation of Federal funding. By accepting this award offer, the Recipient agrees to comply with the award Terms and Conditions checked below. If this was a paper issued award offer, please send two signed documents to the Grants Officer and retain one set of signed award documents for your files. If this award offer is not accepted without modification within 30 days of receipt, the Grants Officer may unilaterally withdraw this award offer and de-obligate the funds.

- Department of Commerce Financial Assistance Standard Terms and Conditions
- Government Wide Research Terms and Conditions
- Bureau Specific Administrative Standard Award Conditions
- Award Specific Special Award Conditions
- Line Item Budget
- 15 CFR Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Non-Profit, and Commercial Organizations
- 15 CFR Part 24, Uniform Administrative Requirements for Grants and Agreements to States and Local Governments
- OMB Circular A-21, Cost Principles for Educational Institutions
- OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments
- OMB Circular A-122, Cost Principles for Non-Profit Organizations
- 48 CFR Part 31, Contract Cost Principles and Procedures
- OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations
- Department of Commerce Pre-Award Notification Requirements for Grants and Cooperative Agreements
REF: 77 FR 74634 (December 17, 2012)
- Other(s)
This award is being made under competitive Federal Funding Opportunity Number NOAA-NOS-OCRM-2013-2003496 posted at Grants.gov on 01/08/2013.

| | | |
|---|-------------------------|--------------------|
| SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER Alan Conway | TITLE Grants Officer | DATE 08/08/2013 |
| TYPE NAME AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL Susan Carlson | TITLE | DATE 08/14/2013 |



The State of New Hampshire
DEPARTMENT OF ENVIRONMENTAL SERVICES



Thomas S. Burack, Commissioner

Rep. Leigh A. Webb, Chairman

Oil Fund Disbursement Board

October 28, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 and

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Oil Fund Disbursement Board (Board) to budget and expend prior year carry forward funds under the provisions of RSA 146-D:3, I, in the amount of \$1,250,000 for purposes of oil discharge cleanup effective upon Fiscal Committee and Governor and Council approval through June 30, 2015. 100% Oil Discharge & Disposal Cleanup funds.

Funding to be budgeted as follows.

Oil Discharge & Disposal Cleanup Fund
03-44-44-444010-14140000
FY 2014

| Object Class | | Current Budget | Requested Action | Revised Budget |
|---------------------|---------------------|----------------|------------------|----------------|
| Income | | | | |
| 009-402315 | Agency Income | (\$10,500,000) | (\$1,250,000) | (\$11,750,000) |
| Expenditures | | | | |
| 300-500830 | Reimbursements | \$10,500,000 | \$1,250,000 | \$11,750,000 |
| | Total Expenditures: | \$10,500,000 | \$1,250,000 | \$11,750,000 |

The Oil Discharge & Disposal Cleanup (ODD) Fund was established in 1988 under RSA 146-D for reimbursement of contamination cleanup costs incurred by motor fuel storage tank facility owners, including businesses, local governments, counties and schools. Motor fuel contamination is a risk to public health and the environment, and under RSA 146-A, storage tank facility owners are strictly liable for cleanup costs. Typical insurance policies do not provide cleanup cost coverage. The ODD Fund, which is funded through an import fee on motor fuel, provides coverage so facility owners do not face significant and unplanned financial hardship.

The budget increase requested herein will be used to pay cleanup cost reimbursement claims from owners of facilities and contaminated properties where facilities were located. The increase is

DES Web Site: www.des.nh.gov

P.O. Box 95, 29 Hazen Drive, Concord, New Hampshire 03302-0095

Telephone: (603) 271-3899 Fax: (603) 271-2181 TDD Access: Relay NH 1-800-735-2964

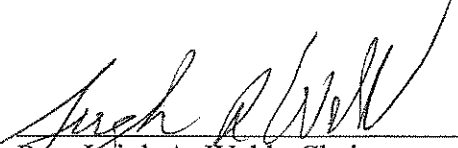
The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council
Page 2

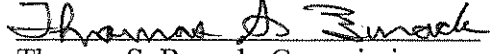
needed because additional cleanup funding is necessary for various investigation and remediation projects. The current FY 2014 reimbursement budget was based on the best available information at the time of biennium budget development. Budgets are developed in consideration of planned projects and contingencies, and maintaining a minimum fund balance for unanticipated work and long term project planning. Thus, carry forward funds are available to budget an additional \$1,250,000 in Class 300 Reimbursements expenditures.

On behalf of the Board, the Department of Environmental Services approves all reimbursable cleanup work in advance for both scope and cost, and eligible applicants may request payment when work is completed. Applications are reviewed in accordance with applicable rules and regulations adopted by the Board under N.H. Administrative Rule Odb 400.

We respectfully request your approval.



Rep. Leigh A. Webb, Chairman
Oil Fund Disbursement Board



Thomas S. Burack, Commissioner
Dept. of Environmental Services

Department of Environmental Services
Oil Fund Disbursement Board
Supplemental Information

Oil Discharge & Disposal Cleanup Fund
03-44-44-444010-14140000

| | <u>FY 2014</u> |
|---------------------------------|---------------------|
| Balance carried forward July 1 | \$ 2,724,056 |
| Revenue Received Thru 10/11/13 | 1,951,475 |
| Estimated income to be received | <u>9,298,525</u> |
| Available | \$ 13,974,056 |
| Less current budget | <u>(10,500,000)</u> |
| Available to budget | \$ 3,474,056 |
| Less current request | <u>(1,250,000)</u> |
| Available Balance | \$ 2,224,056 |



FIS 13 254

STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
DIVISION OF FORESTS AND LANDS
172 Pembroke Road P.O. Box 1856 Concord, New Hampshire 03302-1856

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October 29, 2013

Representative Mary Jane Wallner, Chairperson
Fiscal Committee of the General Court
State House
Concord, NH 03301
And
Her Excellency Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, NH 03301

REQUESTED ACTION

- 1) Pursuant to RSA 14:30(a)(VI), authorization is requested for the Department of Resources and Economic Development, Division of Forest and Lands to accept a Federal grant up to \$9,100,000 and assign it directly to Plum Creek Maine Timberlands, L.L.C. to pay the purchase price for acquisition of a Conservation Easement on 22,991 +/- acres in the Towns of Cambridge, Errol and Wentworth Location in Coos County New Hampshire, known as the "Androscoggin Headwaters Forest Legacy Project" (the Project), Grant Award number 11-DG-11420004-162.

- 2) Pursuant to RSA 227-H:3, authorization is requested for the Department of Resources and Economic Development, Division of Forest and Lands, to accept the 22,991 +/- acres Conservation Easement, and enter into a Snowmobile Agreement, to protect its natural resource values and insure continued access to the property. **(100% Federal Funds).**

- 3) Authorization is requested for the Department of Resources and Economic Development, Division of Forest and Lands, to reimburse Plum Creek Maine Timberlands, L.L.C. for the cost of a title insurance policy, in an amount not to exceed \$5,000, for the property on which the Conservation Easement will be acquired. Federal Forest Legacy administrative grant funds are available for this purpose, and are budgeted in Account Number 03-35-35-351010-35460000. **(100% Federal Funds).**

EXPLANATION

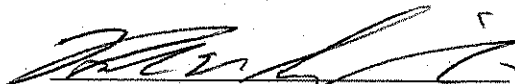
The Department of Resources and Economic Development, Division of Forest and Lands, has received a Federal Financial Assistance Award of \$9,100,000 under the State Grant Option of the Forest Legacy Program (Grant Number 11-DG-11420004-162) for the Project. The conservation of the 22,991 +/- acres, contained in two phases ("Property"), will protect a significant conservation and woodland resource. The Property contains productive forest soils, valuable wildlife habitat including high elevation forest and deer wintering area, and important water resources including over a half mile of frontage on the 276-acre




Akers Pond. The Property provides traditional public recreational opportunities including pedestrian public uses such as hiking, hunting and fishing, and contains state designated snowmobiling trails. The Property is part of the Mahoosuc Region Initiative to build landscape-scale connectivity for wildlife habitat and migration, and secure upland and lowland forest lands. The 22,991 +/- acre Androscoggin Headwaters Property helps connect the Umbagog Refuge, 13-Mile Woods Community Forest (a Forest Legacy Project), Pingree Easement, and Maine Bureau of Parks and Lands holdings around Grafton Notch and the Richardson Lakes.

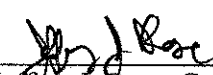
The Property is owned by a private landowner, Plum Creek Maine Timberlands, L.L.C. of Greenville Junction, ME, and is selling the Conservation Easement to the State of New Hampshire on north and south properties. The Conservation Easement language has been approved by the Attorney General's Office. The total Forest Legacy payment for the Conservation Easement will not exceed \$9,100,000 or the appraised value, as stipulated by Federal Forest Legacy Program Standards and Guidelines. The Plum Creek Maine Timberlands, L.L.C., has completed the title, survey, stewardship plan, baseline documentation and environmental due diligence. The Forest Legacy administrative grant funds will assist in purchasing title insurance, not to exceed \$5,000, to be paid from available administrative funds. Plum Creek Maine Timberlands, L.L.C. will contribute in excess of 25% matching funds through the donation of conservation easements on other conservation properties. There are no state monies contributing to the acquisition of the Project.

Respectfully submitted,

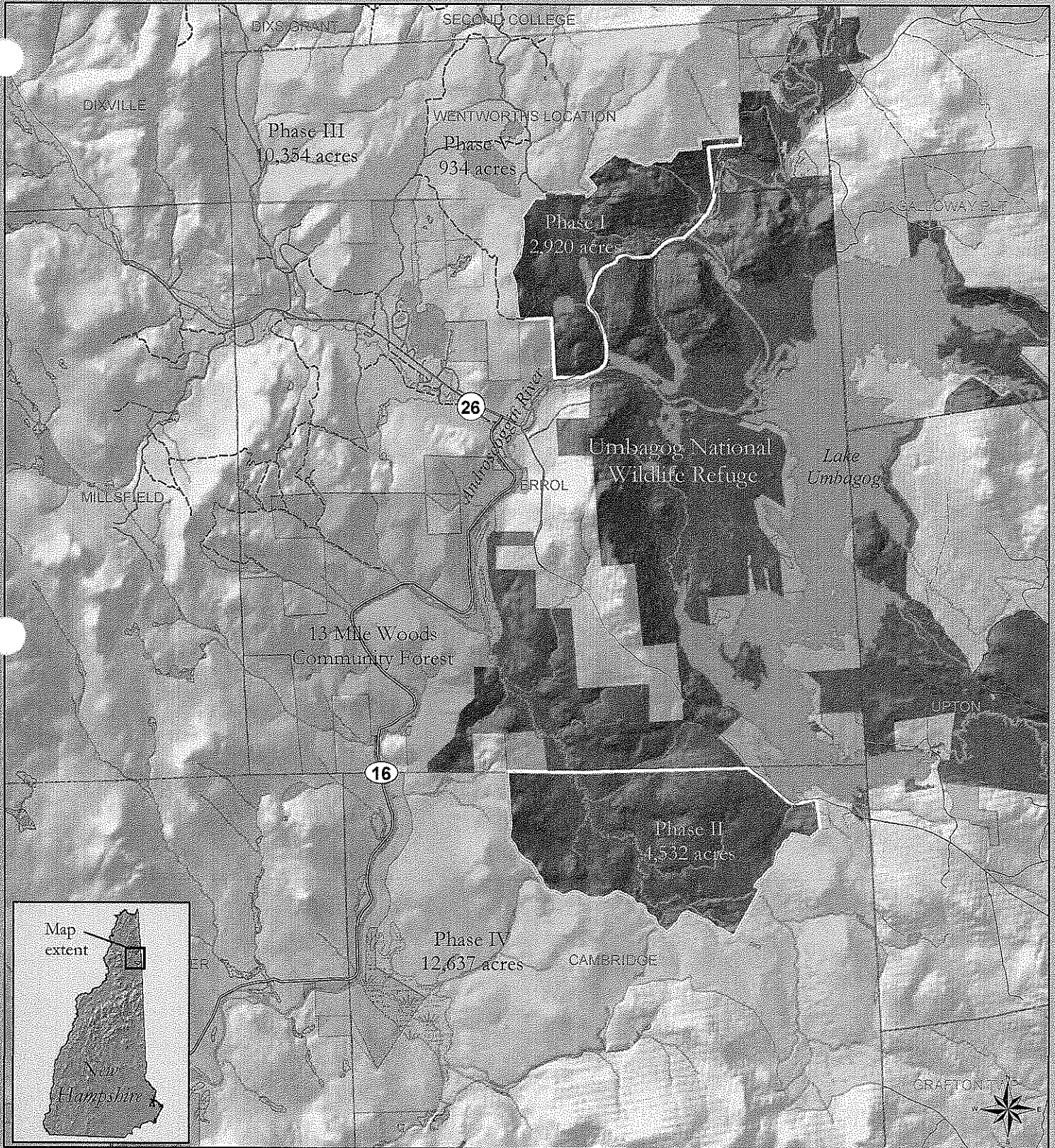


Brad W. Simpkins, Interim Director

Approved by, 


Jeffrey J. Rose, Commissioner

Androscoggin Headwaters Project



| | | | |
|---|-------------------------------------|---|----------------------------------|
|  | Proposed Forest Legacy Easement |  | Umbagog National Wildlife Refuge |
|  | Proposed Fee Sale to NH Fish & Game |  | Other Conservation Lands |
|  | Phase I & II- completed 2011-2012 | | |

Map created by the Trust for Public Land on 9/30/12. Boundaries are approximate. Information on this map is provided for purposes of discussion and visualization only.

0 0.5 1 2 Miles



Return to:
Bill Carpenter
Administrator
Land Management Bureau
DRED Forests and Lands
P.O Box 1856
Concord, New Hampshire 03302-1856

THIS IS A TRANSFER TO THE STATE
OF NEW HAMPSHIRE AND IS
THEREFORE EXEMPT FROM THE NEW
HAMPSHIRE REAL ESTATE TRANSFER
TAX PURSUANT TO RSA 78-B:2(I)

GRANT OF CONSERVATION EASEMENT
Androscoggin Headwaters
Towns of Errol and Wentworth's Location, Coos County, State of New Hampshire

PLUM CREEK MAINE TIMBERLANDS, L.L.C., a Delaware limited liability company with a mailing address of 999 Third Avenue, Suite 4300, Seattle, Washington 98104 ("Fee Owner" which word where the context requires includes the plural, and shall, unless the context clearly indicates otherwise, includes the Fee Owner, executors, administrators, legal representatives, successors and assigns), hereby grants with quitclaim covenants in perpetuity to the **STATE OF NEW HAMPSHIRE**, c/o the Department of Resources and Economic Development, with a mailing address of P.O. Box 1856, Concord, New Hampshire 03302-1856 ("Easement Holder" which word where the context requires includes the plural, and shall, unless the context clearly indicates otherwise, include the Easement Holder's executors, administrators, legal representatives, successors and assigns), the Conservation Easement ("Easement") hereinafter described with respect to a certain parcel of land being unimproved land situated in the Towns of Errol and Wentworth's Location, County of Coos, State of New Hampshire, more particularly described in Appendix A attached hereto and made a part hereof ("Property"). The underlying fee interest in the Property will be held and conveyed subject and subordinate to the Easement.

WHEREAS, the Property contains 10,354 acres, more or less, of high quality timber land in the Northern Forest that has been under continuous commercial forest management for many years; and

WHEREAS, the Property is an important resource for such recreational activities as hiking, hunting, fishing and snowmobiling; and

WHEREAS, there are numerous surface water resources on or adjoining the Property, including Larry's Brook, Corser Brook, Dustan Pond, Corser Pond, Akers Pond, and the surrounding wetlands; and

WHEREAS, the Property contains valuable wildlife habitat including high elevation forest and deer wintering area; and

WHEREAS, several State of New Hampshire threatened and endangered species have been known to occur on the Property, including American Marten and Common Loon; and

WHEREAS, the numerous streams and wetlands on the Property drain into Akers Pond, the Magalloway River, Clear Stream, the Swift Diamond River, and Greenough and Little Greenough Ponds, which are two of only three non-stocked ponds in New Hampshire that sustain naturally-reproducing brook trout populations.

NOW, THEREFORE, the Easement granted with respect to the Property is as follows:

I. PURPOSES

The Easement is granted pursuant to NH RSA 477:45-47 exclusively for the following conservation purposes ("Purposes"):

A. To protect and conserve open spaces and the resulting scenic values, particularly the conservation of the approximately 10,354 acres of productive forest land of which the Property consists, for the enjoyment and education of the general public; and

B. To provide for the continuation of traditional forest uses including commercial forest management and outdoor recreation; and

C. To protect and conserve waterfront, streams, riparian areas, wetlands, and the quality of groundwater and surface water resources, and the ecological processes that sustain these natural heritage features, and cultural resources; and

D. To provide public pedestrian access on the Property, which will allow the general public to hike, hunt, fish, trap, cross-country ski, observe wildlife, and participate in other low-impact outdoor recreational activities, and snowmobile on designated trails on the Property; and

E. To retain the Property in perpetuity as an economically viable and sustainable tract of land for the production of timber, pulpwood, and other forest products; and

F. To protect and conserve rare plants and exemplary natural communities, and significant fish and wildlife habitats, and the ecological processes that sustain these natural heritage features.

These Purposes are in accordance with the clearly delineated open space conservation goals and objectives as stated in the Forest Legacy Program as established in Section 1217 of Title XII of the Food, Agriculture, Conservation and Trade Act of 1990 (16 USC Section 2103C) which was created "to protect environmentally important forest lands threatened with conversion to non-forest uses", the State of New Hampshire "Assessment of Need"; NH RSA 79-A which states: "It is hereby declared to be in the public interest to encourage the preservation of open

space, thus providing a healthful and attractive outdoor environment for work and recreation of the state's citizens, maintaining the character of the state's landscape and conserving the land, water, forest, agricultural and wildlife resources;" and the Department of Resources and Economic Development's authority to acquire public forest lands (Title XIX-A Chapter 227-H).

All of these Purposes are consistent and in accordance with the U.S. Internal Revenue Code, Section 170(h).

2. USE LIMITATIONS (Subject to the Reserved Rights specified in Section 3 below)

2.A. Prohibited Uses. The Property shall be maintained in perpetuity as open space as defined in NH RSA 79-A:2, without any residential, industrial or commercial activities, being conducted thereon, except Agricultural and Forest Management Activities, as defined below, provided that the long-term capability of the Property to produce forest products shall not be degraded by on-site activities and provided that no more than twenty-five (25%) of the total Property area shall be in "compatible non-forest uses", which includes cultivated farmland, pasture, and grassland and open water, in accordance with Forest Legacy Program requirements.

i. Forest Management Activities. The term "Forest Management Activities" as used in this Easement shall mean all forest management practices including the cultivation, harvesting, and removal of any and all forest products by any and all current and future harvesting and removal techniques. Forest Management Activities shall include, but not be limited to, the following activities performed by the Fee Owner or its employees, contractors and agents, and the Fee Owner's management of the following activities and resources by the Fee Owner, its employees, contractors and agents:

- a. Site preparation, including:
 1. All standard silvicultural activities associated with site preparation;
 2. Clearing for reforestation; and
 3. Disposing of harvesting debris and conducting post-harvest or site recovery activities.

- b. Regeneration, including:
 1. All standard silvicultural activities associated with forest stand regeneration including planting.

- c. Pre-commercial treatments, including:
 1. All standard pre-commercial silvicultural treatments necessary for growing forest products;
 2. Pruning, girdling, or trimming trees and other vegetation;
 3. Trimming, cutting, removing, burning, or otherwise disposing of any trees or vegetation which are diseased, rotten, damaged or fallen; and
 4. Conducting fire control and other forest protection activities to prevent or control losses or damage to forest crops or forest products.

- d. Harvesting, including:
 1. All standard commercial silvicultural treatments;
 2. Cutting, foraging, and harvesting of trees, forest products, and other vegetation for any purpose or application;
 3. Cutting and removing forest products, including but not limited to trees, logs, poles, posts, pulpwood, firewood, chips, seeds, stumps, seed cones, bark, shrubs, other vegetation, biomass, collection of sugar maple sap;
 4. Harvesting forest products with domestic animals or mechanical equipment; and
 5. Salvaging forest crops or forest products.

- e. Processing, including:
 1. Processing forest products with portable or temporary equipment designed for in-woods processing;
 2. Removing, loading, and transporting timber and other forest crops and products by any and all means of transportation, including, without limitation, motorized and mechanized vehicles and equipment on and over all portions of the Property in accordance with Section 2.D; and
 3. Collection and processing of all sugar maple products from sap collected on the property.

- f. Construction and Maintenance of Ancillary Structures, in accordance with Section 3.B, including:
 1. Construction, use, and maintenance of skid trails, skid roads, skidder bridges, log yards (defined as a site used for the collection, storage and processing of logs from multiple harvest areas comprising an area of at least five acres and maintained for at least two years), landing and staging areas, roads (including, without limitation, main gravel haul roads, secondary haul roads, winter haul roads, bridges culverts, and all structures needed to construct such roadways), or other paths, roads, or trails used to provide pedestrian, domestic animal, equipment, and motorized vehicular access to and from and within the Property in order to carry out permitted Forest Management Activities on the Property; and
 2. Trimming, cutting, removing, or otherwise disposing of any trees or vegetation as is necessary to construct or maintain fire lanes, footpaths, and any roads permitted under this Easement.

- g. Forest Management and Planning Activities, including:
 1. Conducting timber cruising, forest crop selection, forest research, and other forest resource evaluation activities;
 2. Marking timber and performing other activities to identify trees or areas for harvest; and
 3. Identifying and marking boundaries.

- h. Other Forest Practices, including:
 1. Applying in accordance with applicable statutes and regulations herbicides,

- pesticides, fungicides, rodenticides, insecticides, and fertilizers;
2. Prescribed burning;
3. Maintenance of existing fields and meadows; and
4. Maintaining and improving wildlife habitat.

ii. "Agriculture" and/or "Agricultural" shall be a "compatible non-forest use", and shall include land based practices such as animal husbandry, floriculture, and horticulture activities, the production of plant and animal products for domestic or commercial purposes, and the harvesting and sale of agricultural products grown on the Property (such as Christmas trees and pick-your-own fruits and vegetables), all of which utilize the productive capability of the Property and all as not detrimental to the purposes of the Easement.

Agriculture shall be performed in accordance with a written coordinated Agriculture Management Plan ("AMP") for the sites and soils of the Property. The AMP shall be prepared by the Fee Owner and approved by the Easement Holder, and may be included in the Stewardship Plan for the Property, as described in Section 2.E, or submitted as an independent document. Agricultural management activities shall be in accordance with the then-current scientifically based practices recommended by the UNH Cooperative Extension, U.S. Natural Resources Conservation Service, or other government or private, nonprofit natural resource conservation and management agencies then active and approved by the Easement Holder. Such management activities shall not be detrimental to the Purposes of the Easement, as described in Section 1 above.

2.B. Permitted Conservation Uses. The following non-commercial Conservation Activities (hereinafter "Conservation Activities") shall be allowed on the Property: Habitat Management, Natural Resource-Based Outdoor Education, and Outdoor Recreation all as defined below and as consistent with RSA 79-A, RSA 477:45-47, and Title 16 Conservation, Chapter 41, Section 2103c Forest Legacy Program.

i. "Habitat Management" shall mean the practical application of scientific and technical principles so as to maintain native plant and animal species and their habitats. Activities may include, but not be limited to, cutting, pruning, girdling, mowing, brush-hogging or burning of trees or other vegetation to improve habitat conditions for state-listed species or species of documented concern; installing denning or nesting structures for improving the utilization of natural resources and habitats by wildlife populations; controlling non-native and invasive species threatening native species through mechanical, chemical, or other means; and plant and animal habitat evaluation and planning.

ii. "Natural Resource Based Outdoor Education" shall mean outdoor activities intended to teach the general public about the environment and the natural resources on the Property and may include, the construction of blinds, observational platforms or signs; conducting experiments that do not involve the manipulation or modification of the Property; conducting tours or field walks; and the removal of an incidental amount of plant material.

iii. "Outdoor Recreation" shall mean low-impact, non-commercial nature-based pedestrian recreational activities pursued by the public including, but not limited to, hiking, nature study, bird watching, fishing, hunting, trapping, camping, walking, snow shoeing, hunting, and cross-country skiing both on and off unpaved paths and trails; riding mountain bicycles, and horses on designated trails; and constructing and maintaining unpaved paths and trails. The foregoing notwithstanding, riding snowmobiles shall be permitted as hereinafter provided, and other motorized recreational vehicles may be permitted upon written mutual agreement by the Fee Owner and Easement Holder. Outdoor Recreation shall also include pedestrian use of the Property by commercial guides, and by non-profit camping and educational and scientific institutions.

2.C. Stewardship Goals for the Property. All activities on the Property shall be managed so that the Stewardship Goals set forth in this Section are balanced and interpreted in the context of the traditional uses of the Property. The means to achieve the Stewardship Goals shall be set forth in the approved Stewardship Plan as required by Section 2.E hereof. The term "Stewardship Goals" shall mean the following goals for the Property:

- i. Maintenance of a "sustainable" (as defined in Section 2.H.iii) source of timber, pulpwood, and other commodity and non-commodity forest products;
- ii. Maintenance or improvement of the overall quality of forest resources through management that promotes the production of quality forest resources;
- iii. Regeneration of forest stands through silvicultural practices that promote forest types suited to site capability;
- iv. Maintenance of forest health through monitoring and control of fire, disease, and insect outbreaks;
- v. Long term maintenance of soil productivity;
- vi. Maintenance and protection of biological diversity and integrity through the promotion of a forest that reflects a diversity of stand ages and naturally occurring forest types in a majority of the forest, the conservation of rare and exemplary natural communities and the conservation and enhancement of native plant and animal species and their habitats; including establishment and retention across the Property of a range of sizes and types of downed woody debris, snag trees, cavity trees, very large/old trees, and early successional habitats;
- vii. Avoidance of the introduction of invasive plant and animal species and nonnative plant species;
- viii. Maintenance of a forest composed predominantly of plant species native to the northeastern United States and prevention, to the extent reasonably possible, of the introduction of non-native plant species;

- ix. Protection or enhancement of water quality and non-forested wetlands and conservation of forested wetlands, riparian areas and aquatic habitats;
- x. Conservation of unique historic archeological and cultural features;
- xi. Maintenance of traditional Outdoor Recreational and Natural Resource Based Outdoor Education Activities and the integration of Outdoor Recreation and Natural Resource Based Outdoor Education Activities with other uses of the Property;
- xii. Black Mountain Special Management Area Goal: To maintain spruce-fir habitat suitable for American marten as well as other wildlife species associated with high-elevation spruce-fir habitat; and
- xiii. Deer Wintering Special Management Area Goal: To perpetuate spruce-fir and hemlock dominated stands within deer wintering areas to provide core winter shelter for deer.

2.D. Management Standards for the Property.

- i. All activities on the Property, shall be performed:
 - a. In accordance with the Stewardship Goals (as set forth in Section 2.C.);
 - b. In compliance with the approved Stewardship Plan (as defined herein);
 - c. In accordance with RSA 485-A:17, Alteration of Terrain, which includes “Best Management Practices for Erosion Control On Timber Harvesting Operations in New Hampshire” (State of New Hampshire, Department of Resources and Economic Development) (“Timber Harvesting BMP’s”) and successor publications of the Timber Harvesting BMP’s as required by RSA 485-A:17 or successor statute (it being agreed that as of the date of execution of this Agreement adherence to successor publications is required by RSA 485-A:17). Should state law be altered or amended after the effective date of this Agreement to no longer require adherence to the Timber Harvesting BMP’s or successor publication, the Fee Owner shall thereafter be guided by the Timber Harvesting BMP’s and successor publications provided, however, that if the successor publication (other than those portions that may be required by law) is not acceptable to Fee Owner the provisions of Section 12 shall apply to resolve any such dispute. Until such dispute is resolved, Forest Management Activities on the Property shall continue to be in accordance with state law requirements and guided by the prior version of the Timber Harvesting BMP’s;
 - d. Guided by the “Best Management Practices for Erosion Control During Trail Maintenance and Construction” (State of New Hampshire, Department of Resources and Economic Development, Division of Parks and Recreation, Trails

Bureau, 2004), and successor publications provided, however, that if the successor publication (other than those portions that may be required by law) is not acceptable to Fee Owner the provisions of Section 12 shall apply to resolve any such dispute. Until such dispute is resolved, Forest Management Activities on the Property shall continue to be in accordance with state law requirements and guided by the prior version of the Best Management Practices for Erosion Control During Trail Maintenance and Construction; and

e. "Sustainably" as set forth in Section 2.H.iii hereof.

ii. In acknowledgement of the large size of the Property, to the extent reasonable and practicable, Forest Management Activities on the Property shall be guided by "Good Forestry in the Granite State: Recommended Voluntary Forest Management Practices for New Hampshire" (Division of Forests and Lands, Department of Resources and Economic Development and Society for the Protection of New Hampshire Forests, 2010) and successor documents provided that if the successor document is not acceptable to Fee Owner the provisions of Section 12 shall apply to resolve any such dispute (hereinafter referred to as "Good Forestry in the Granite State"). Until such dispute is resolved, Forest Management Activities on the Property shall continue to be guided by the prior version of the Good Forestry in the Granite State.

iii. The parties agree that the Easement Holder's approval of the Stewardship Plan shall signify that the Forest Management Activities proposed in the Stewardship Plan meet:

a. The standard of "guided by" Good Forestry in the Granite State; and

b. The Purposes for and the Stewardship Goals of this Easement.

2.E. Stewardship Plan. The Fee Owner shall manage the Property in a manner that is in compliance with this Easement and in compliance with a written and approved forest and land management plan (as the same may be updated and/or amended from time to time pursuant to the terms hereof, as so updated or amended, the "Stewardship Plan") signed by a professional forester licensed by the State of New Hampshire or other qualified persons approved in advance by the Easement Holder.

i. Content. The initial Stewardship Plan for the Property dated _____, 20____, was submitted by the Fee Owner and approved by the Easement Holder on _____, 20____ (the "Commencement Date"). An update to the Stewardship Plan shall be submitted by the Fee Owner to the Easement Holder at least 180 days prior to the tenth anniversary of the Commencement Date and at least once every ten (10) years thereafter (each an "Update"). The Stewardship Plan and all Updates shall be consistent with and specifically address how each of the Purposes and Stewardship Goals, as set forth in Section 1 and Section 2.C hereof are going to be achieved or progressed towards. The then current Stewardship Plan shall remain in effect until it is duly updated or amended pursuant to Section 2.E.ii and iii hereof. Information in the Stewardship Plan should be reasonably sufficient to assess that the Property is being managed "sustainably" (as defined in Section 2.H.iii) and in accordance with the Stewardship Goals. The

Stewardship Plan shall include at least the following elements:

a. Maps, Descriptions and Management Considerations for the following resources:

1. Forest types and/or natural communities including past management history, general tree growth rates and quality, insects and disease, access and operability;
2. Management units into which the Property will be divided ("Treatment Units");
3. Geological attributes including topography, soils, aquifers, wetlands, ponds and streams;
4. Known habitat features for wildlife, and rare, threatened or endangered animal species;
5. Known exemplary natural communities and rare, threatened or endangered plant species;
6. Known archeological, historic and cultural resources;
7. Aesthetic resources;
8. Forest access roads and trails;
9. Improvements ancillary to Forestry, Agriculture and Conservation Activities;
10. Outdoor recreational features including all roads, trails, primitive campsites, lean-to shelters, remote cabins, maintenance facilities, water access area and parking lots;
11. Adjacent conserved land as it affects the Property; and
12. Known aquifers, well-heads, and other public water features.

b. Description and Discussion of the Fee Owner's Goals and Objectives and Planned Activities for Management of the Property, including:

1. Forest management goals including forest structure and composition goals for the Property;
2. Agricultural management goals and objectives, including planned activities;
3. Management objectives and planned activities for the Treatment Units, including but not limited to harvest volumes;
4. Management objectives and planned activities for wildlife, and rare, threatened or endangered animal species;
5. Management objectives and planned activities for the conservation of exemplary natural communities, and rare, threatened or endangered plant species;
6. Management objectives and proposed structures and improvements for recreational uses of the Property;
7. Proposed user fee system, if applicable;
8. Management goals for aesthetic resources including consideration of visual impact of management activities on the Property;

9. Management objectives and proposed structures and improvements for Forestry, Agriculture and Conservation Activities on the Property;
10. Management goals and planned activities to provide access, to, on and across the Property; and
11. Proposed public access limitations.

c. Description and discussion of all of the Fee Owner's other proposed activities on and management of the Property.

ii. Amendment of Stewardship Plan. In its discretion, the Fee Owner may also submit to the Easement Holder for its approval amendments to the then current Stewardship Plan (each, an "Amendment"). Amendments shall be subject to the review described below. Amendments shall be required only in the event the Fee Owner proposes a Forest Management Activity, Agricultural Activity, Conservation Activity, other activities permitted in the Easement, or a user fee system not included in the then current Stewardship Plan. No such Amendment shall be required for any change in timing or sequence of treatments within a ten-year cycle described in an approved Stewardship Plan. Amendments may also be submitted in the discretion of the Fee Owner proposing an alternative treatment to treatments units substantially damaged by natural causes such as insect infestation, disease, fire, wind or ice.

iii. Approval of Updates and Amendments to the Stewardship Plan. The State Forester, on behalf of the Easement Holder, shall review and act to approve or disapprove Updates, or Amendments submitted by the Fee Owner within ninety (90)-days of the Easement Holder's receipt of each Update or Amendment. The 90-day review period may be extended upon the written agreement of both the Easement Holder and the Fee Owner. If the Easement Holder fails to act to approve or disapprove an Update or Amendment within the 90-day period or other mutually agreed upon extension period, such Update or Amendment shall be deemed approved. In acting to disapprove any Update or Amendment, or any provision thereof, the Easement Holder shall state in writing its reasons, referencing the specific provision or provisions of such Update, or Amendment with which it does not approve, and how such provision or provisions are inconsistent with the Purposes or Stewardship Goals. The Easement Holder may rely upon the advice and recommendations of the New Hampshire Fish and Game Department, the New Hampshire Natural Heritage Bureau, or their successor organizations, or other wildlife experts, conservation biologists, foresters or other experts as the Easement Holder may select to determine whether the Update or Amendment would be in accordance with the Purposes and Stewardship Goals identified in Section 1 and Section 2.C hereof. The then existing Stewardship Plan shall remain in full force and effect until such time as any Update or Amendment is approved.

When reviewing any Update or Amendment, the Easement Holder shall recognize that forest product markets have a great influence on the ability of the Fee Owner to practice Forest Management Activities and to meet timeframes and goals set forth in the Stewardship Plan.

iv. Failure to Provide Updates to the Stewardship Plan. The Easement Holder, in its sole discretion, may order that any and all activity by the Fee Owner on the Property be ceased in the event that the Fee Owner fails to timely submit an Update which contains all the necessary elements, subject to Section 2.E.iii; provided, however, if there is a good faith dispute as to whether the Update contains all of the required elements, then the Fee Owner can continue to carry out all activities allowed by this Easement, including Forest Management Activities, according to the terms of the then current Stewardship Plan until such dispute is resolved and a complying Update is approved.

2.F. Annual Operation Plan. By December 1 of each year, the Fee Owner shall submit an operation plan (the "Fee Owner's Annual Operation Plan") to the Easement Holder describing the planned implementation of the Stewardship Plan for the upcoming year. The Fee Owner's Annual Operation Plan shall be prepared by a New Hampshire licensed, professional forester or other qualified person approved in advance by the Easement Holder. The Fee Owner shall certify that the Fee Owner's Annual Operation Plan is consistent with the approved Stewardship Plan and the terms of this Easement. The parties hereto understand that Fee Owner's Annual Operation Plan is the Fee Owner's best estimate of where harvesting and other activities will occur, but understand and agree that based on weather conditions, fire, disease, pest outbreaks or market forces these activities may change. Fee Owner will use its best efforts to notify Easement Holder of these changes and will as part of each Fee Owner's Annual Operation Plan include the actual harvesting activity for the prior year. Operational deviation from the Fee Owner's Annual Operations Plan shall not constitute a default hereunder.

2.G. Special Management Areas. Certain areas of the Property shall be designated "Black Mountain Special Management Area" and "Deer Wintering Special Management Area", and collectively known as "Special Management Areas" or "SMA" The Special Management Areas consist of 202.4 acres of high elevation land along the Black Mountain ridgeline, and 423.2 acres of Deer Wintering Areas located in the southeastern and northeastern corners of the Property, for a total Special Management Area acreage of 625.6 acres. The locations of the Special Management Areas on the date of the Easement are identified in a plan included in the Stewardship Plan and Baseline Documentation (the "SMA Plan").

i. Adjustments. Either the Fee Owner or the Easement Holder may propose to the other party adjustments to the location and/or boundaries of the Special Management Areas, provided, however, that such adjustments shall occur only with the mutual consent of the parties. After any adjustment, certain areas previously designated as Special Management Areas may, with the mutual consent of the parties, no longer be deemed Special Management Areas and other areas may, with the mutual consent of the parties, be deemed Special Management Areas. Any such adjustment or additional acreage shall be reflected in an amendment to the SMA Plan of the Special Management Areas included in the Baseline Documentation and shall be incorporated in the Stewardship Plan. No adjustment shall reduce the Special Management Areas total acreage below what it is on the date of the Easement.

ii. Management of Special Management Areas. Special Management Areas shall be managed to protect the natural and/or cultural resource qualities associated with these areas as follows:

- a. In accordance with the Stewardship Goals set forth in Section 2.C.
- b. The Black Mountain High Elevation SMA shall be a no-cut area with forest management operations permitted only with the consent of the Easement Holder and the concurrence of the NH Fish and Game Department.
- c. In accordance with “Good Forestry in the Granite State”, and successor documents, as they apply to the qualities to be protected within the Special Management Areas. Provided, however, that the Fee Owner may, with the consent of the Easement Holder, take such actions or pursue such treatments of the Special Management Areas that are not in accordance with such publication if such actions or treatments continue to protect the special qualities of these areas and such actions and treatments are consistent with the Purposes and the Stewardship Goals.
- d. In accordance with “Erosion Control on Timber Harvesting” and successor documents.
- e. The Deer Wintering SMAs will include significant limitations on Forest Management Activities as are more specifically set forth in the SMA Plan that is a part of the Stewardship Plan.

iii. Management for wildlife, and rare, threatened or endangered animal species. The parties hereto acknowledge that as of the date of this grant, there exists on the Property certain “threatened and endangered animal species” which have been identified, in collaboration with the New Hampshire Department of Fish and Game, and are listed on the Baseline Documentation. For the purposes of this Easement and the Stewardship Plan, “threatened and endangered animal species” shall mean: animal species that are listed as such by the state of New Hampshire under NH RSA 212-A, or any successor statute, or by the US Fish and Wildlife Service under the Endangered Species Act. The parties hereto have developed standards that are operationally feasible and practical to implement in a working forest to conserve the habitat for these threatened and endangered species. These standards are included in the Stewardship Plan. In the event additional threatened and endangered species are identified by the Easement Holder or other wildlife agency, the Fee Owner agrees to work collaboratively with the New Hampshire Department of Fish and Game or its successor agency to develop a protocol that is operationally feasible and practical to conserve the necessary habitat on the Property. Should the parties be unable to develop a mutually acceptable protocol, then such dispute shall be resolved according to Section 12 hereof. Until such dispute is resolved, the Fee Owner shall continue to comply with the existing Stewardship Plan and in accordance with all state and federal laws governing the protection of wildlife.

iv. Management for the conservation of exemplary natural communities, and protected or listed plant species. The parties hereto acknowledge that at the date of this grant that the Property contains no known “exemplary natural communities” or “protected species” or “listed species” as defined by NH RSA ch 217-A (hereinafter “ch. 217-A”).

For purposes of this Easement and the Stewardship Plan, “exemplary natural

communities” shall mean the best remaining examples of New Hampshire’s biological diversity. For purposes of this Easement and the Stewardship Plan, “protected or listed species” shall mean any plant species designated as endangered or threatened under ch 217-A or the Endangered Species Act of 1973, Public Law 93-205, as amended.

In the event that any exemplary natural communities or rare, threatened or endangered plant species are identified by the Easement Holder or relevant state agency, the Fee Owner shall work in collaboration with the New Hampshire Natural Heritage Bureau, or its successor agency to develop a protocol that is operationally feasible and practical to implement in a working forest to conserve the exemplary natural communities and threatened and endangered species. Should the parties be unable to develop a mutually acceptable protocol, then such dispute shall be resolved according to Section 12 hereof. Until such dispute is resolved, the Fee Owner shall continue to comply with the existing Stewardship Plan and in accordance with all state and federal laws governing the protection of plants.

In addition, the parties expressly agree that subject to the terms of this Conservation Easement, the Fee Owner retains all customary exemptions and liability protections afforded to private landowners under New Hampshire Statutes, including RSA 217A:9 (“Native Plant Protection”) with regard to the inadvertent taking of undocumented exemplary natural communities or rare, threatened or endangered plant species. However, taking of a known exemplary natural community or rare, threatened or endangered plant species identified for protection in the Stewardship Plan by negligent or willful action on the part of Fee Owner or Fee Owner’s designee may be considered an easement violation.

2.H. Additional Restrictions. In addition to the requirements above, the following restrictions shall apply:

- i. Compliance with Law. All activities on the Property shall be performed in compliance with all applicable local, state and federal laws and regulations.
- ii. Licensed Forester. All timber harvesting activities shall be supervised by an agent of the Fee Owner who is a professional forester licensed by the State of New Hampshire, or other qualified persons approved in advance by the Easement Holder, to ensure compliance with the terms and conditions of this Easement.
- iii. Sustainable Harvest Techniques. Sustainable harvest techniques shall mean:
 - a.. The parties acknowledge that prior to the grant of this Easement, the Property was managed using a mix of even-aged, and uneven-aged management techniques. The parties further acknowledge that the Property has historically been managed such that trees have generally been harvested at economic maturity according to a long range harvest plan with such short term deviations as were necessary to adjust for markets, weather and other natural phenomena. Accordingly, the inventory of timber on the Property as at the date of the grant of this Easement is not evenly divided between the various age classes.

b. The Fee Owner and Easement Holder agree that the Fee Owner may continue to manage portions of the Property as even-aged stands and that even-aged and uneven-aged forest management techniques are equally appropriate silvicultural practices for achieving the Stewardship Goals for the Property. The parties further agree, given the uneven division of age classes of timber on the Property, that timber harvests in any decade in the future may vary substantially depending on when the timber becomes economically mature.

c. The parties agree that "sustainable" shall mean that the Property is capable of being maintained over time while balancing environmental, social and economic factors to meet the needs of the present without compromising the ability of future generations to meet their needs.

While the parties acknowledge that levels of harvest in any decade may vary substantially as noted above, the parties agree that there shall be no "liquidation harvest practices". A "Liquidation Harvest Practice" shall be defined as timber harvesting on more than 35% of the acreage of the Property in each 10-year period (the "35% Test"), excluding those acres that are harvested for stand improvement purposes including improvement thinning or pre-commercial thinning. Overstory removal harvests in stands where a shelterwood harvest was previously done to establish regeneration within 10 years will not be double counted against the 35%. The 35% Test shall be conducted once every ten years commencing on the tenth anniversary of the Commencement Date and then in ten year intervals thereafter. Notwithstanding this provision, the Fee Owner shall have the right to cut and remove, by any Forest Management techniques allowable under law, dead, dying, and diseased trees which result from natural occurrences, including wildfire, disease, insect infestation, and blow down, to prevent or mitigate greater harm to forest health, or the scenic and recreational values of the Property. Notwithstanding the foregoing, the parties hereto agree that over a fifty year period, beginning on the Commencement Date and for each successive fifty year period harvest shall not exceed growth on the Property. (The parties hereto agreeing that a current growth rate on the Property shall be determined in the Stewardship Plan and shall be based on the best available data.)

2.I. Structures. No structure or improvement, including, but not limited to, a dwelling, portion of a septic system, tennis court, swimming pool, dock, aircraft landing strip, tower, telecommunications facilities, or mobile home, shall be constructed, placed, or introduced onto the Property. However, ancillary structures and improvements, including, but not limited to merchandizing yards, roads, dams, bridges, culverts, maple sugar houses, or sheds may be constructed, placed or introduced onto the Property, as allowed in Section 3.B ("Structures, Improvements and Trails"), and Section 3.H ("Water Resources Extraction") provided they:

- i. Are common and necessary in the accomplishment of the Forestry, Agriculture or Conservation Activities;
- ii. Meet the requirements of the Stewardship Plan and State and Federal law to protect

State or federally recognized threatened, or endangered species. The Easement Holder shall provide the Fee Owner with information on threatened and endangered species and best practices for protection based upon information from the New Hampshire Natural Heritage Bureau and/or the New Hampshire Fish and Game Department, Non-game Program, or the State agencies then-recognized by the State of New Hampshire as having responsibility for identification and/or conservation of such species, with consideration given to the full range of the Purposes of the Easement and the Stewardship Goals; and

iii Are in accordance with the Purposes and Stewardship Goals of the Easement as described in Section 1 ("Purposes") and Section 2.C ("Stewardship Goals of the Property") above.

2.J. Permitted Excavation. The mining, drilling, quarrying, excavation, or removal of rocks, minerals, natural gas, petroleum, gravel, sand, topsoil, or other similar materials, and the removal, filling, or other disturbances of soil surface, changes in topography, surface or subsurface water systems, or wetlands shall not be allowed on the Property unless such activities:

i. Are common and necessary in the accomplishment of the Forestry, Agriculture or Conservation Activities;

ii. Meet the requirements of the Stewardship Plan and State and Federal law to protect State or federally recognized threatened, or endangered species. The Easement Holder shall provide the Fee Owner with information on threatened and endangered species and best practices for protection based upon information from the New Hampshire Natural Heritage Bureau and/or the New Hampshire Fish and Game Department, Non-game Program, or the State agencies then recognized by the State of New Hampshire as having responsibility for identification and/or conservation of such species, with consideration given to the full range of the Purposes of the Easement and the Stewardship Goals;

iii. Are in accordance with the Purposes and Stewardship Goals of the Easement as described in Section 1 ("Purposes") and Section 2.C ("Stewardship Goals for the Property"); and

iv. Are in compliance with and identified in the Stewardship Plan.

2.K. Subdivision. The Property shall not be subdivided; and the individual tracts which comprise the Property, shall be conveyed together as a whole. The Property shall not be used to meet any designated open space requirements as a result of the provisions of any subdivision approval or land use regulation process or in calculating allowable unit density.

2.L. Permits. Prior to commencement of any such activities, all necessary Federal, State and local permits and approvals shall be secured.

2.M. Signage. No outdoor advertising structures such as signs and billboards shall be displayed on the Property except as common and necessary in the accomplishment of Forestry, Agriculture or Conservation Activities on the Property or to advertise the land for sale or to

publicize the Forest Legacy Program, or to recognize the partnership that created the Easement. Any allowed advertising structure shall not be detrimental to the Purposes of this Easement. No advertising structure shall exceed thirty-two (32) square feet in size or be artificially illuminated.

2.N. Hazardous Materials. Except as specifically allowed in Section 2.A.i. Forest Management Activities or otherwise in this Easement, there shall be no:

- i. Dumping, injection, burning, spreading, storage or burial of hazardous materials on the Property; or
- ii. Dumping, injection, burning, spreading, storage or burial of manmade materials or municipally plowed snow.

2.O. Closure of Property. There shall be no posting of signs to or other limitations of public pedestrian access and Outdoor Recreation Activities to, on, or across on the Property, except as specifically allowed in Sections 3.C (“Signage”), and 5.E (“Public Access”).

2.P. Rights of Way. No rights-of-way or easements of ingress or egress in favor of any third party shall be created or developed into, on, over, or across the Property without prior written approval of the Fee Owner and the Easement Holder, except those of record as of the execution of this Easement and those specifically permitted in the provisions of the Easement.

3. RESERVED RIGHTS.

All acts and uses not prohibited or otherwise restricted in Section 2.A (“Prohibited Uses”) are permissible provided that such acts and uses do not materially impair the Purposes of the Easement as set forth in Section 1 (“Purposes”), are in accordance with the Stewardship Goals as set forth in Section 2.C (“Stewardship Goals of the Property”), and are set forth in and performed subject to and in compliance with the Stewardship Plan required under Section 2.E (“Stewardship Plan”). The Fee Owner retains all other customary rights and privileges accruing from the ownership of the Property which are not expressly prohibited or restricted by the Easement, including but not limited to the right to conduct Forest Management Activities as defined in Section 2.A.i., and the right to permit the following activities on the Property:

3.A. Conservation Activities. The right to conduct conservation activities as defined in Section 2.B (“Permitted Conservation Uses”) and subject to the Use Limitations in Section 2. (“Conservation Activities”) shall be conducted as not for profit activities. Fees may be charged for these activities provided that the fees cover only the cost of providing, maintaining, supervising, or enhancing the activity, are approved in writing by the Easement Holder, are in compliance with the Stewardship Plan, and are in accordance with the Goals and Purposes of the Easement. This right is an exception to Section 2.A (“Prohibited Uses”):

- i. Fees may be charged for the following Outdoor Recreational Activities provided to the public on the Property:
 - a. Nature walks and programs for outdoor educational purposes;

- b. Use of primitive campsites and lean-to shelters;
 - c. Equestrian access; and
 - d. Other Outdoor Recreation Activities as set forth in the Stewardship Plan or otherwise approved in advance and in writing by the Easement Holder;
- ii. All fees collected shall be comparable to fees charged for similar activities on other lands including fees on State lands and used exclusively to pay for costs directly associated with developing, maintaining and administering the Outdoor Recreation Activities; and
- iii. The right to charge fees may be assigned to a third party with the written approval of the Easement Holder.

3.B. Structures, Improvements, Trails.

i. The development, construction, maintenance, installation, replacement and repair at any time and from time to time, of the following improvements as are reasonably necessary for Forest Management, Agriculture and Conservation Activities on the Property: roads, parking lots, dams, bridges, trails, culverts, merchandizing yards, gates, gatehouses, information kiosks, sheds and maple sugar houses for processing sap produced on the Property (collectively, the "Fee Owner Improvements").

ii. Fee Owner shall have the reserved right to excavate or alter the Property by removal (by quarrying or otherwise) and storage of rock, gravel, aggregate, sand and other similar construction materials (collectively, "Construction Materials") in connection with:

a. Forest Management Activities on the Property;

b. Forest Management activities of Fee Owner on other lands owned by Fee Owner within the Androscoggin Headwaters Forest Legacy Project Area in Errol, Wentworth's Location, and Cambridge;

c. Forest Management Activities on other lands owned on the date of this grant by Grantor in Dummer and Cambridge, Coos County New Hampshire, and that approximately 298 acres parcel in Grafton, Oxford County, Maine; or

d. The maintenance, construction, and use of roads on abutting properties not owned by Fee Owner but which are used by Fee Owner to access the Property or its Errol, Wentworth's Location, Cambridge and Dummer lands for forest management purposes, as identified in the Stewardship Plan. Fee Owner's excavation of Construction Materials, including any reclamation undertaken following such activities, shall be conducted in accordance with applicable laws, rules and regulations.

iii. In addition, the Fee Owner may construct, maintain and replace unpaved paths and

trails for Natural Resource Based Outdoor Education and Outdoor Recreation. The Fee Owner may construct, maintain and replace primitive campsites and lean-to shelters for Outdoor Recreation. Campsites and lean-to shelter areas may contain tent platforms, pit or backcountry toilets, fire rings, picnic tables, and other rustic campsite improvements. This Section is an exception to Section 2.I ("Structures") and must be performed in compliance with Section 2.J. ("Permitted Excavation".)

iv. The Fee Owner shall provide written notice to the Easement Holder thirty (30) days prior to any construction of the Fee Owner's Improvements, provided however that no notice shall be required for the following:

- a. Routine maintenance, including, but not limited to road maintenance, development and maintenance of unpaved paths and trails, and other routine activities arising out of routine Forest Management Activities. Notwithstanding the foregoing, the Fee Owner shall give the Easement Holder informal courtesy notification by email if such routine maintenance is expected to last more than seven (7) days after its commencement; and
- b. Emergency actions required to protect public safety or natural resources, including closure of roads and trails and prohibition of access to portions of the Property, except that notice of such action shall be provided to the Easement Holder immediately and the affected road, trail, or portion of the Property shall not remain closed for greater than forty-eight (48) hours without the approval of the Easement Holder; provided, however, that if the Easement Holder's approval is delayed for any reason, then a longer period shall be allowed as is necessary to protect public safety or natural resources.

v. The Fee Owner's Improvements, if newly installed or constructed, shall be sited and constructed to the extent possible taking into consideration the function and location requirements of such improvements and in a manner that in the Easement Holder's reasonable judgment is consistent with the Purposes and Stewardship Goals of the Easement. Such structures shall be identified in the Stewardship Plan.

3.C. Signage. The erection, maintenance, and replacement of signs to identify the interest of the Easement Holder or the Fee Owner, and regulatory signs, including trail directions, such as the Easement Holder or Fee Owner of the Property may deem necessary or desirable. To protect human safety, the Fee Owner may post signs prohibiting public access in the immediate vicinity of active road construction or timber harvesting operations. The prohibition shall end at the conclusion of those activities and all signs shall be removed. This Section is an exception in Section 2.O ("Closure of Property") and subject to the limitations of Section 2.M ("Signage").

3.D. Motor Vehicles. The use of motor vehicles is allowed by the Fee Owner as reasonably necessary for the practice of Forestry, Agriculture and Conservation Activities and for exercising any of the Fee Owner's reserved rights, the use of snowmobiles on existing snowmobile trails and roadways as shown on the Plan. The use of other vehicles for the purposes

of Outdoor Recreation shall be permitted with written mutual agreement by the Fee Owner and Easement Holder, shall be in accordance with the Goals and Purposes of the Easement, and shall be approved in the Stewardship Plan.

3.E. Limitation of Public Access. The erection of gates and barriers and appropriate signage, except as may otherwise be provided in Section 5.F (“Snowmobile Use”) for the control of motorized or wheeled vehicles and equestrian access into, on, over, or across the Property.

3.F. Special Needs Access Permit. The Fee Owner reserves the right to issue permits for persons with special needs to allow them to access the Property by vehicular means, so long as the use is in accordance with the Stewardship Goals and Purposes of the Easement.

3.G. Historic Preservation. “Historic Preservation” shall mean the research, excavation, protection, restoration and rehabilitation of buildings, structures, objects, districts, areas and sites significant in the history, architecture, archeology or culture of this State, its communities, or the nation (RSA 227-C:1).

i. Archaeological Investigations: Fee Owner reserves the right to permit archaeological investigations on the Property after receiving written approval from the Easement Holder. Prior to permitting any such investigations, Fee Owner shall send written notice to the New Hampshire State Archaeologist (or other person or agency then recognized by the State as having responsibility for archaeological resources) for review and comment, and to the Easement Holder, such notice describing the nature, scope, location, timetable, qualifications of investigators, site restoration, research proposal, and any other material aspect of the proposed activity. The Fee Owner and Easement Holder shall request the State Archaeologist (or other person or agency, as above) to consider the proposal, to apply the standards as specified in rules implementing RSA 227-C:7 (Permits Issued for State Lands and Waters), and to provide written comments to the Fee Owner and Easement Holder. The Easement Holder may, in its sole discretion, approve the proposed investigations only if it finds that all of the following conditions are met:

- a. The archaeological investigations shall be conducted by qualified individuals and according to a specific research proposal;
- b. The proposed activities will meet the requirements of State and federal law to protect State or federally recognized endangered, or threatened species; and
- c. The proposed activities will not be materially detrimental to the purposes of the Easement.

3.H. Water Resources Extraction. Subject to written approval from the Easement Holder, the Fee Owner, including the Fee Owner’s designee, reserves the right to withdraw groundwater on a sustainable yield basis and to remove said groundwater from the Property only for the purpose of providing a public water supply system, as defined by NH RSA 485:1-a, XV, as may be amended from time to time. Withdrawal or removal of groundwater for private, commercial purposes is expressly prohibited. For the purposes hereof, permitted activities in

conjunction with said withdrawal and/or removal shall consist of: the installation, maintenance, monitoring, and replacement of temporary wells for exploratory and/or testing purposes, long-term water production wells, monitoring wells, underground water distribution piping, pumping stations, and ancillary improvements such as but not limited to gravel roads, signs, underground utilities, and security fencing; and the extraction and removal of groundwater from the Property. This provision is an exception to Section 2.I ("Structures") and Section 2.J ("Permitted Excavation") above. In its written approval, the Easement Holder shall assure that the impact to forest land is minimized.

4. NOTIFICATION OF TRANSFER, TAXES, MAINTENANCE

4.A. Notice of Transfer. The Fee Owner agrees to notify the Easement Holder in writing ten (10) days before the transfer of title to the Property.

4.B. Property Taxes. The Easement Holder shall be under no obligation to maintain the Property or pay any taxes or assessments thereon. All taxes and assessments are the sole responsibility of the Fee Owner.

4.C. Right of First Offer.

i. In the event Fee Owner desires to sell and convey the Property (the "Offered Property") to any department or agency of the United States of America (the "United States"), Fee Owner shall provide notice pursuant to Section 7 hereof to Easement Holder of Fee Owner's offer price for the Offered Property ("Offer Price"). Easement Holder shall have thirty (30) days following the date of such notice to elect to purchase or not purchase the Offered Property at the Offer Price. Such election shall be made by notice given pursuant to Section 7 hereof and the date of notice is herein referred to as the "Election Date".

ii. If Easement Holder elects to purchase the Property at the Offer Price or if Fee Owner and Easement Holder otherwise reach agreement with respect to the sale and purchase of the Offered Property, then within 60 days after the Election Date, Fee Owner shall execute and deliver to Easement Holder a Quit Claim Deed for the Property upon receipt of the Offer Price or such other price as agreed between the parties.

iii. If Easement Holder:

a. Elects not to purchase the Offered Property;

b. Fails to timely notify Fee Owner of its election, (in which event Easement Holder shall be deemed to have elected not to purchase the Offered Property); or

c. Fails to timely provide the Offer Price pursuant to Section 4.C (b), then Fee Owner shall be free to sell and convey the Offered Property to the United States at a price that is not less than the Offer Price. The foregoing notwithstanding, Fee Owner has the unfettered right to sell and convey the Property to any person or

entity other than the United States without an obligation to first offer such Property to Easement Holder.

5. BENEFITS, BURDENS AND ACCESS

5.A. Assignment. The burden of the Easement shall run with the Property and shall be enforceable against all future owners and tenants in perpetuity. The benefits of the Easement shall not be appurtenant to any particular parcel of land but shall be in gross and assignable or transferable only to a governmental entity, in accordance with the Forest Legacy Program (16 USC Section 2103c), which entity agrees to and is capable of enforcing the conservation purposes of the Easement. Any such assignee or transferee shall have similar authority to assign or transfer.

5.B. Access. The Easement Holder, at its sole risk, shall have reasonable access to, over, on and across the Property, including with motorized vehicles, for such inspection as is necessary to determine compliance with and to enforce the Easement and to exercise the rights conveyed hereby, fulfill the responsibilities, and carry out the duties assumed by the acceptance of the Easement.

5.C. Signage. The Easement Holder shall have the right to post signs on the Property (subject to the limitations of Section 2.M ("Signage")) to identify the interest of the Easement Holder and in association with public access to the Property. The Fee Owner will be consulted with respect to design, size, and location of any signs.

5.D. Collection of Data. The Easement Holder, at its sole risk, shall have the right to enter the Property for the purpose of collecting data for studies and research for the purposes of understanding the status, trends and distribution of significant ecological, cultural, archaeological, recreational and wildlife resources provided such research does not interfere with the Forestry, Agriculture and Conservation Activities of the Fee Owner. This right of entry shall not entitle the Easement Holder to conduct any research or studies that involve the manipulation of or modification to the Property. Proprietary information related to economic values, earnings or profits resulting from studies and research shall not be released to the public without the written approval of the Fee Owner.

5.E. Public Access. Subject to Fee Owner's reserved rights set forth in Section 3 above:

i. The Easement Holder shall have the right to allow public pedestrian access to, on, over and across the Property for low-impact, non-commercial Outdoor Recreational and Natural Resource Based Outdoor Education activities such as hiking, hunting, fishing, trapping (by required state permit), cross country skiing, and snowshoeing. The Easement Holder has the right to allow snowmobile use as set forth in Section 5.F. ("Snowmobile Use"). Any other motorized Outdoor Recreation Activities shall be allowed only with the prior written approval of the Fee Owner, and shall be in accordance with the Goals and Purposes of the Easement. The Fee Owner may restrict or prohibit public access in areas involved in active timber harvesting and road construction. The Property may be posted against public access to, on and across the Property or otherwise

restricted by the Easement Holder in the interest of public safety or to prevent natural resource degradation.

ii. The Fee Owner and the Easement Holder agree to cooperatively monitor public access to and use of areas that are ecologically fragile or that contain exemplary natural communities or populations of rare species. The Easement Holder agrees to meet with the Fee Owner to discuss public access and use issues that may develop and consider management options including posting to limit or restrict public access to these areas. This Section is exception to Section 2.O (“Closure of Property”);

iii. The Easement Holder shall retain the right after consulting with the Fee Owner to grant permits for persons with special needs to allow them to access those portions of the Property that are appropriate for such special needs access as are specified in the Stewardship Plan, the Annual Operating Plan, or as is otherwise specified pursuant to such consultation. Such access may be by vehicular means, so long as the use is in accordance with the Goals and Purposes of the Easement. The Easement Holder shall collaborate with the Fee Owner to assure that Fee Owner is aware of any special needs access and such access will not interfere with the Fee Owner’s Forest Management Activities or other activities on the Property; and

iv. The Fee Owner and the Easement Holder may enter into a separate “Public Access Memorandum of Understanding” to further describe the rights and responsibilities of the parties related to public use of the Property.

5.F. Snowmobile Use. The Easement Holder shall have the right to allow the public to use and operate snowmobiles on the designated trails on the Property, and the Easement Holder shall have the right to maintain the designated trails as shown on the Plan (Appendix B, “Plan: Snowmobile Trail Map”). The Plan may be amended from time to time to relocate trails or to include additional snowmobile trails upon mutual written agreement of the Fee Owner and Easement Holder. Public use of these snowmobile trails and their maintenance by the Easement Holder shall be governed by a separate “Snowmobile Agreement” (Appendix C) between the Fee Owner and the Easement Holder. The Snowmobile Agreement may be amended from time to time with the mutual written consent of the Fee Owner and the Easement Holder. All amendments to the Snowmobile Agreement shall be recorded in the Coos County Registry of Deeds as provided in Section 13 (“Limitation on Amendment”) of the Snowmobile Agreement.

5.G. Third Party Liability. Nothing contained in the Easement shall create any liability on behalf of the Fee Owner or the Easement Holder to any third party or create any right, claim or cause of action on behalf of any party other than the Fee Owner or the Easement Holder and their successors and assigns.

5.H. Limitation on Liability. The Fee Owner specifically retains all protections from liability provided under New Hampshire Law to private owners of land, including, but not limited to, the protections contained in RSA 212:34, RSA 215:A34 II, or RSA 508:14 (or any successor or other statutory or regulatory provision then applicable). The Easement Holder

specifically retains all protections from liability provided under New Hampshire Law including those referenced above and sovereign immunity.

6. BREACH OF EASEMENT

6.A. Notice of Breach. When a breach of the Easement or conduct by anyone inconsistent with the Easement comes to the attention of the Easement Holder, it shall notify the Fee Owner in writing of such breach or conduct, delivered in hand or by certified mail, return receipt requested.

6.B. Response. The Fee Owner shall, within thirty (30) days after receipt of such notice or after otherwise learning of such breach or conduct, undertake those actions, including restoration, where practicable, which are reasonably calculated to immediately correct or cure the breach, or to terminate the conduct and to repair any damage. If the land cannot be restored because physical conditions have been changed to the extent that such restoration is not reasonably practicable, the Easement Holder and the Fee Owner may consider other remedies to the Property or compensation due to the Easement Holder. Monetary damages shall be limited to those ordered in connection with required replacement or restoration, as well as those monetary damages to eliminate economic benefits gained by Fee Owner from activities in violation of the terms of this Easement. If a court or other agreed upon decision maker finds that a violation was knowing, intentional or willful, the court or other agreed upon decision maker may award in addition to damages awarded in connection with required replacement or restoration, monetary damages up to and including twice the economic benefit gained by Fee Owner from activities in violation. The Fee Owner shall promptly notify the Easement Holder of its actions taken under this Section.

6.C. Right to Cure. If the Fee Owner fails to take such proper action under the preceding paragraph, the Easement Holder shall, as appropriate to the purposes of the Easement, undertake any actions that are reasonably necessary to cure such breach or to repair any damage in the Fee Owner's name or to terminate such conduct. The cost of such action, including the Easement Holder's reasonable expenses, court costs, and legal fees shall be paid by the Fee Owner provided that the Fee Owner is directly or primarily responsible for the breach.

6.D. Breach Caused by Others. Notwithstanding the foregoing paragraphs, nothing contained in the Easement shall be construed to entitle either party to bring any action against the other for any injury to or change in the Property resulting from causes beyond either party's control, including, but not limited to, unauthorized actions by third parties, natural disasters such as fire, flood, storm and earth movement, or from any prudent action taken by either party under emergency conditions to prevent, abate, or mitigate significant injury to the Property resulting from such causes.

6.E. Third Party Claims. The Easement Holder and the Fee Owner reserve the right, separately or collectively, to pursue all legal remedies against any third party responsible for any actions detrimental to the Purposes of the Easement.

6.F. Best Management Practices. Best Management Practices include a wide variety of

techniques that, due to the significant variation of harvest site conditions on the Property, require judgment and flexibility in applying those practices that most appropriately and effectively meet the intent of the BMP principles set forth in Section 2.D.i.c and 2.D.ii hereof (a "BMP"). Accordingly, if the Easement Holder notifies the Fee Owner that in its opinion, a BMP has not been applied appropriately pursuant to Section 6.A, then the Easement Holder will first collaborate with the Fee Owner to develop a mutually agreed approach to that BMP. If that collaboration does not result in a mutually agreed upon solution, then the parties hereto shall attempt to resolve such dispute pursuant to Section 12 hereof. If, no resolution is achieved pursuant to Section 12, the Easement Holder may then seek to enforce this Easement pursuant to Section 6. B. and C.

7. NOTICES

All notices, requests and other communications, required or permitted to be given under the Easement shall be in writing, except as otherwise provided herein, and shall be delivered in hand, sent by certified mail, postage prepaid, return receipt requested to the appropriate address set forth on page 1 of this Easement, or at such other address, or by facsimile, as the Easement Holder or the Fee Owner may hereafter designate by notice given in accordance herewith. Notice shall be deemed to have been given when hand delivered or delivered by facsimile; or, if delivered by mail, three business days after such notice has been placed in the mail for delivery. The Fee Owner shall provide the Easement Holder with notification of any activities on the Property that require legal notices to abutters or to the public under New Hampshire law. Notwithstanding the foregoing, notices under Section 3.B. may be given by email communication and shall be deemed delivered when sent. The parties hereto agree to update email addresses for such notification at least as often as each Annual Meeting as provided in Section 8 below.

8. ANNUAL MEETING

The Fee Owner and the Easement Holder shall meet annually at a date, time and place convenient for both. The annual meeting shall provide an opportunity for the parties to discuss any questions or concerns regarding the Property and the exercise of the rights by either party under the Easement and the Stewardship Plan. The parties may mutually agree to forego the meeting or hold additional meetings for such purposes as they deem necessary.

9. SEVERABILITY

If any provision of the Easement, or its application to any person or circumstance, is found to be invalid by a court of competent jurisdiction or otherwise, the remainder of the provisions of the Easement or the application of such provision to persons or circumstances other than those to which it is found to be invalid, as the case may be, shall not be affected thereby.

10. CONDEMNATION

10.A. Full Damages. Whenever all or part of the Property is taken in exercise of eminent domain by public, corporate, or other authority so as to abrogate the Easement in whole or in part

or whenever all or a part of the Property is lawfully sold without the restrictions imposed hereunder in lieu of condemnation or exercise of eminent domain, the Fee Owner and the Easement Holder shall thereupon act jointly to recover the full damages resulting from such taking or lawful sale with all incidental or direct damages and expenses incurred by them to be paid out of the damages recovered.

10.B. Apportionment of Damages. The balance of the land damages recovered from such taking or lawful sale in lieu of condemnation or exercise of eminent domain shall be divided between the Fee Owner and the Easement Holder in proportion to the fair market value, at the time of such taking or lawful sale in lieu of condemnation or exercise of eminent domain, of their respective interests in that part of the Property condemned. The values of the Easement Holder's and Fee Owner's interests shall be determined by an appraisal prepared by a qualified appraiser licensed in the State of New Hampshire in conformance with the Uniform Appraisal Standards for Federal Land Acquisition, at the time of condemnation.

10.C. Use of Easement Holder's Share. The Easement Holder shall use its share of the proceeds in a manner consistent with and in furtherance of one or more of the Purposes set forth herein subject to the provisions of Section 14 ("Easement Conversion").

11. ADDITIONAL EASEMENT AND RIGHTS

The Fee Owner shall not convey, grant, exchange, or otherwise grant permanent rights such as rights-of-way, or other easements into, on, over, under, or across the Property without the prior written permission of the Easement Holder. The Fee Owner shall be allowed to grant temporary access rights not to exceed one year in duration across existing roads to third parties for any purpose, including, but not limited, for the hauling of forest products, not inconsistent with the Goals and Purposes of the Easement.

No permanent rights-of-way, easements of ingress or egress, driveways, roads, utility lines or other easements shall be constructed, developed, or maintained into, on, over, under, or across the Property without the prior written permission of the Easement Holder, except as may be otherwise specifically permitted in the Easement. The Easement Holder may grant permission if it determines, in its sole discretion, that any such interest would be in accordance with the Purposes of the Easement.

12. DISPUTES

12.A. Non-Binding Mediation. The Fee Owner and the Easement Holder shall have the right to have any dispute arising under the Easement determined by the Coos County Superior Court or submitted to mediation in accordance with this Section. In this Section, any reference to "mediation" shall mean non-binding mediation. The parties agree that mediation shall not operate to stay any proceedings that either party may institute in the Superior Court. If either party requests that mediation of a particular matter or matters be undertaken and if that matter is not at the time of the request the subject of an action in the Superior Court, or if it does not become the subject of an action in the Superior Court during the course of the mediation, then the parties shall agree that the matter will be submitted to mediation. The agreement for

mediation shall be in writing, signed by both parties, and include a statement of the matter or matters that are the subject of the mediation.

12.B. Selecting a Mediator. If mediation is requested in a manner consistent with Section 12.A, the Fee Owner and the Easement Holder shall choose a mediator within fifteen (15) days of the date of the written agreement for mediation. The mediator shall be notified, in writing, that he or she has been chosen as a mediator. The fees and costs for the mediator shall be agreed to, in writing, by the parties and the mediator. Each party shall pay one-half the total fees and costs of the mediator.

12.C. Scheduling Mediation. When the mediator has been selected, he or she shall, with the agreement of the parties, schedule a date or dates for the mediation hearing as soon as practicable. The mediator shall be present for the mediation hearing. The mediation hearing date may only be postponed for good cause accepted by all parties involved.

12.D. No Waiver of Action. The Easement Holder does not waive or forfeit the right to take action as may be necessary to insure compliance with the Easement by any prior failure to act and the Fee Owner hereby waives any defense of laches with respect to any delay, omission, or any past failure to act by the Easement Holder, its successors or assigns, with respect to enforcement of any restriction or exercise any rights under the Easement, any such delay or omission shall not impair the Easement Holder's rights or remedies or be construed as a waiver.

12.E. Avoidance of Disputes. The parties hereto desire to avoid disputes in the interpretation and implementation of this Easement, the Stewardship Plan and the Annual Operating Plan. Accordingly, the parties agree to act reasonably and in good faith when taking any required action or in making any decision required by this Easement, the Stewardship Plan, and the Annual Operating Plan.

13. LIMITATION ON AMENDMENT

The Fee Owner and the Easement Holder may, by mutual written agreement, jointly amend the Easement provided that no amendment shall be made that will adversely affect the qualifications of the Easement or the status of the Easement Holder under any applicable laws including Section 170(h) of the Internal Revenue Code and the Forest Legacy Program (16 USC Section 2103c) and NH RSA 477:45-47, and must be reviewed by the Charitable Trust Division of the New Hampshire Department of Justice. Any amendment shall be in accordance with the Purposes of the Easement, shall not affect its perpetual duration, shall not permit any residential or any commercial development of the Property, and shall not permit any impairment of the conservation values of the Property. Any amendment shall be recorded in the Coos County Registry of Deeds after all approvals required by law have been obtained. Nothing in this Section shall require the Fee Owner and the Easement Holder to agree to any amendment or to consult or negotiate regarding any amendment.

14. EASEMENT CONVERSION

The Easement Holder acknowledges that the Easement was acquired with Federal funds

under the Forest Legacy Program (16 USC Section 2103c) and the interest acquired cannot be sold, exchanged or otherwise disposed of, except as provided in Section 5.A ("Assignment"), unless the United States is reimbursed the fair market value of the interest in the land at the time of disposal. Provided, however, the Secretary of Agriculture may exercise discretion to consent to such sale, exchange, or disposition upon the State of New Hampshire's tender of equal valued consideration acceptable to the Secretary.

15. MERGER

The Fee Owner and the Easement Holder agree that the terms of the Easement shall survive any merger of the fee and easement interest in the Property.

16. BASELINE DOCUMENTATION.

The originals of the Baseline Documentation are on file at the offices of the Easement Holder and consist of descriptions, maps, and other documentation that the parties acknowledge and agree in writing (the "Acknowledgement") and provide, collectively, the parties' best efforts to assemble an accurate representation of the Property as reasonably known by them upon the execution of the Easement, and certain other material referenced in the Easement. The Acknowledgement must be signed at, or prior to, the closing. The Baseline Documentation is intended to serve as an objective, although not exclusive, information baseline for monitoring compliance with the terms of the Easement.

17. BINDING EFFECT

The Easement Holder and Fee Owner, by signing and recording the Easement, agree to be bound by, observe, and enforce its provisions and assume the rights and responsibilities herein granted to and incumbent upon them, all in the furtherance of the Purposes for which the Easement is delivered.

18. STATE LAW CONTROLLING

This agreement shall be governed by the laws of the State of New Hampshire as it applies to matters wholly arising within the state and by relevant federal laws.

[Signatures on following pages]

IN WITNESS WHEREOF, we have hereunto set our hands this ____ day of _____, 20__.

Attest/Witness

PLUM CREEK MAINE TIMBERLANDS,
L.L.C., a Delaware limited liability
company

By: _____
Sheri L. Ward, Asst. Sect

By: _____
Rick R. Holley, Pres & CEO
Duly Authorized

**STATE OF WASHINGTON
COUNTY OF KING**

This instrument was acknowledged before me on this ____ day of _____, 20__, by Rick R. Holley and Sheri L. Ward, to me known to be the President and CEO and Assistant Secretary of Plum Creek Maine Timberlands, L.L.C., the limited liability company that executed the within and foregoing instrument, and acknowledged the said instrument to be the free and voluntary act and deed as said limited liability company for the uses and purposes therein mentioned, and on oath stated that they were authorized to execute said instrument on behalf of the limited liability company and that the seal affixed is the seal of said limited liability company.

Washington

Notary Public/ in and for the State of

My Commission expires: _____

(seal)

**THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES
AND ECONOMIC DEVELOPMENT**

By: _____
Name: Jeffrey J. Rose
Title: Commissioner
Duly Authorized

**STATE OF NEW HAMPSHIRE
COUNTY OF MERRIMACK**

This instrument was acknowledged before me on this ____ day of _____, 20__,
by Jeffrey J. Rose, Commissioner of the Department of Resources and Economic Development
of the State of New Hampshire, on behalf of the State of New Hampshire.

Justice of the Peace/Notary Public
My Commission Expires _____

Approved by Governor and Council: Date: _____, Agenda Item: _____

(seal)

Approved as to form, substance, and execution by the Office of the Attorney General

Date

Anthony Blenkinsop
Senior Assistant Attorney General

APPENDIX C
SNOWMOBILE AGREEMENT

This Snowmobile Agreement ("Snowmobile Agreement") is made this _____ day of _____, 201_, for consideration paid, by and between PLUM CREEK MAINE TIMBERLANDS, L.L.C., a Delaware limited liability company, its agents, employees, successors and assigns ("Fee Owner"), with a mailing address of with a mailing address of 999 Third Avenue, Suite 4300, Seattle, Washington 98104, and the State of New Hampshire, acting through the Department of Resources and Economic Development and its agents, employees, successors and assigns ("DRED"), with a mailing address of P.O. Box 1856, Concord, New Hampshire 03302-1856.

1. **PURPOSE.** By Conservation Easement Deed dated _____, 201_ ("Conservation Easement"), the Fee Owner has granted to DRED a perpetual Conservation Easement on certain lands in the towns of Errol and Wentworth's Location, Coos County, State of New Hampshire (the "Property") of which this Snowmobile Agreement is a part. The Conservation Easement grants to DRED, the right to construct, maintain and use, and to permit the public to use, snowmobile trails on the Property (the "Trails"). Management and use of the Trails shall be consistent with the terms of the Conservation Easement. The purpose of this Snowmobile Agreement is to set forth the terms, conditions and obligations under which DRED will manage the Trails. DRED and the Fee Owner acknowledge that this Snowmobile Agreement is to provide the public with access for Snowmobile use consistent herewith only, and is not intended to provide access for use by "4-wheelers", All Terrain Vehicles or any type of Off-highway recreational vehicles other than snowmobiles, except for otherwise provided in the Conservation Easement.

2. **OBLIGATIONS OF THE PARTIES.** DRED shall have the right to construct, maintain and use, and permit the public to use, snowmobile trails only on the Property, along the routes established and within the corridors designated and depicted on the Plan.

The right to construct, maintain and permit the public to use the snowmobile trails shall be subject to the following terms and conditions:

- A. The Trails shall be adequately marked by DRED in accordance with the "Trail Signing Handbook: Guidelines for Signing Snowmobile Trails" (State of New Hampshire, Department of Resources and Economic Development, Division of Parks and Recreation, Trails Bureau), or such successor standard, to indicate location of the Trails, to restrict snowmobile use to within the designated Trail corridors, and to restrict access by vehicles other than snowmobiles. All spur, side or connecting trails will be posted to indicate snowmobile access and use thereon is prohibited. DRED shall work cooperatively with the Fee Owner to do such things as are reasonably necessary and practicable (including the use of gates and barriers and appropriate official signs) to keep snowmobile use on the Trails and within the Trail corridors and to restrict access by vehicles other than snowmobiles.
- B. Limit the corridor within which Trails may be constructed and maintained to a total

width of no greater than twenty (20 feet), which shall include the travel way, and as necessary, clearing and drainage structures on either side of the travel way.

- C. DRED shall promptly close any Trail or portion thereof to the general public upon the request of the Fee Owner whenever active forestry activities or road construction along or in proximity to the trail corridor create a hazard to the public. In the event DRED chooses to temporarily relocate a Trail or a portion thereof as a result of forestry activities, the Fee Owner and DRED shall work together to designate an alternate route, to be constructed and maintained by DRED at its sole expense.
- D. No less than annually, and within ninety (90) days of the closing of the Trails at the end of the winter season, DRED shall conduct a general clean-up of the Trails to remove litter, trash and manmade debris, and promptly respond to the Fee Owner's request for additional litter and trash removal directly related to the rights granted in this Snowmobile Agreement.
- E. DRED shall maintain the Trails using best management practices as described in "Best Management Practices for Erosion Control During Trail Maintenance and Construction" (State of New Hampshire, Department of Resources and Economic Development, Division of Parks and Recreation, Trails Bureau) or such successor standard. DRED and its agents shall have the right to enter the Property with persons and equipment for purposes of maintaining the Trails. Maintenance activities shall include, but not be limited to, installation and replacement of bridges and culverts, rocks and stump removal, smoothing the trail surface, placement of gravel and natural fill, installation of broad based dips, water bars and ditches, removal of fallen trees, cutting back encroaching vegetation and wintertime grooming. Except for wintertime grooming and removal of fallen trees, all maintenance activities shall be done in consultation with the Fee Owner.
- F. The Fee Owner shall notify DRED in writing should the Fee Owner determine that the Trails or portions thereof require maintenance. DRED shall, within thirty (30) days of receipt of such notice, respond to the Fee Owner, indicating DRED's determination of trail maintenance needs and the timing of such maintenance. Nothing in this Agreement shall require DRED to perform maintenance necessitated by or resulting from the Fee Owner's activities on the Property, including Forestry.
- G. DRED shall, at its discretion, but in consultation with the Fee Owner, close the Trails when weather, snow cover and ground conditions make the Trails unsuitable for snowmobile use.
- H. Bridges and other trail improvements shall be designed and constructed for multi-use and multi-season recreational use and shall be maintained by DRED. Bridge design shall be reviewed with the Fee Owner and DRED shall make reasonable efforts to incorporate Fee Owner's suggestions into the design. To the extent that a bridge is designed and constructed at the request of the Fee Owner for uses other than snowmobile crossing, the Fee Owner and DRED shall allocate the cost of construction accordingly. If a portion of the Trails are permanently relocated or abandoned, DRED shall consult with the Fee

Owner and shall remove all bridges the Fee Owner requests be removed there from. DRED shall not be responsible for any actions or use of the Trails taken by or on behalf of the Fee Owner.

- I. DRED shall obtain all necessary Federal, State and local permits and approvals, and remain in compliance with and abide by the terms of those permits and approvals, and all Federal, State, and local laws and regulations regarding the construction, maintenance and supervision of use upon the Trails.

3. MONITORING TRAIL USE. Fee Owner and DRED agree to cooperatively monitor snowmobile usage on the Property to ensure that the current ecological conditions and the Purposes of the Conservation Easement are not diminished or degraded by snowmobile use and that snowmobile use is limited to the designated Trails and is done in compliance with then existing state laws, administrative rules and this Agreement. DRED agrees to meet with the Fee Owner at least annually, and more often at the request of either party, to discuss snowmobile use issues that may develop and consider management options, including posting to limit or close access to some Trails to address those issues within the context and Purposes of the Conservation Easement.

4. DISPUTE RESOLUTION. The Fee Owner and DRED shall have the right to resolve any dispute arising hereunder by the same means as provided in Section 12 of the Conservation Easement Deed referred to in Paragraph 1 above.

5. RIGHT TO RECORD. DRED shall record this Agreement in the Coos County Registry of Deeds. DRED shall record any Amendment hereto, including any change in the location of the Trails that would result in the change to Conservation Easement Deed, Appendix B.

6. LIMITATION OF LIABILITY. Nothing in the Snowmobile Agreement shall be a basis for any liability on the part of the Fee Owner with respect to personal injury or property damage sustained by any person. The Fee Owner and DRED are entitled to the protections of RSA 508:14, RSA 215:34(II) and RSA 212:34. DRED shall name the Fee Owner as a named insured in any and all general liability insurance policy obtained by DRED for the Property and for activities undertaken by DRED hereunder. Notwithstanding and in addition to the foregoing, DRED claims all of its rights and protections under the doctrine of sovereign immunity.

7. ASSIGNMENT AND TRANSFER. This Snowmobile Agreement and all of the provisions hereof shall be binding upon and inure to the benefit of the parties hereto, their successors, heirs or assigns. The rights, interests or obligations hereunder may be assigned by DRED, but compliance with the terms of this Agreement shall remain the responsibility of State of New Hampshire.

8. AMENDMENT. This Snowmobile Agreement represents the final agreement of the parties. This Snowmobile Agreement can be amended only by a writing signed by both parties and recorded in the Coos County Registries of Deeds. Any attempted oral modification of this Snowmobile Agreement shall be of no force and effect.

9. GOVERNING LAW. This Snowmobile Agreement shall be governed by, construed by, and enforced in accordance with the laws of the State of New Hampshire. The rights granted herein are subject to the laws, rules and regulations governing the use of snowmobile trails by the public.

IN WITNESS WHEREOF, the parties have executed this Snowmobile Agreement as of the date first written.

IN WITNESS WHEREOF, we have hereunto set our hands this ____ day of _____, 20__.

Attest/Witness

PLUM CREEK MAINE TIMBERLANDS,
L.L.C., a Delaware limited liability
company

By: _____
Sheri L. Ward, Asst. Sect

By: _____
Rick R. Holley, Pres & CEO
Duly Authorized

**STATE OF WASHINGTON
COUNTY OF KING**

This instrument was acknowledged before me on this ____ day of _____, 20__, by Rick R. Holley and Sheri L. Ward, to me known to be the President and CEO and Assistant Secretary of Plum Creek Maine Timberlands, L.L.C., the limited liability company that executed the within and foregoing instrument, and acknowledged the said instrument to be the free and voluntary act and deed as said limited liability company for the uses and purposes their in mentioned, and on oath stated that they were authorized to execute said instrument on behalf of the limited liability company and that the seal affixed is the seal of said limited liability company.

Notary Public/ in and for the State of
Washington
My Commission expires:_____

THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF RESOURCES
AND ECONOMIC DEVELOPMENT

By:
Name: Jeffrey J. Rose
Title: Commissioner
Duly Authorized

STATE OF NEW HAMPSHIRE
COUNTY OF MERRIMACK

This instrument was acknowledged before me on this _____ day of _____, 20____,
by Jeffrey J. Rose, Commissioner of the Department of Resources and Economic Development
of the State of New Hampshire, on behalf of the State of New Hampshire.

(seal)

Justice of the Peace/Notary Public

My Commission Expires _____

Approved by Governor and Council:
Date: _____, Agenda Item: _____

**FEDERAL FINANCIAL ASSISTANCE
AWARD OF DOMESTIC GRANT 11-DG-11420004-162**

**Between The
NEW HAMPSHIRE DEPARTMENT OF RESOURCES AND ECONOMIC
DEVELOPMENT
And The
USDA, FOREST SERVICE
NORTHEASTERN AREA STATE AND PRIVATE FORESTRY**

Project Title: Androscoggin Headwaters

Upon execution of this document, an award to the New Hampshire Department of Resources and Economic Development, hereinafter referred to as "the recipient," in the amount of \$4,100,000, is made under the Cooperative Forestry Assistance Act of 1978, P.L. 95-313, as amended; Food Agriculture Conservation and Trade Act of 1990, as amended P.L. 101-624. The New Hampshire Department of Resources and Economic Development accepts this award for the purpose described in the application narrative. Your application for Federal financial assistance, dated July 1, 2011, and the attached U.S. Forest Service provisions, 'U.S. Forest Service Award Provisions,' are incorporated into this letter and made a part of this award.

The Grantee shall adhere to the final draft recording keeping policy as outlined in Attachment "C" by keeping "State" held mandatory and recommended documents and providing the Grantor, upon grant close-out, copies of the mandatory and recommended acquisition documents identified under "Forest Service" and "Program" sections. The Grantee is responsible to monitor periodically, not less than annually, the lands or interests in land acquired under this grant.

This is an award of Federal financial assistance and is subject to OMB Circular A-102, as implemented by regulations 7 CFR 3016, 2 CFR 225, and OMB Circular A-133 as implemented by USDA regulation 7 CFR 3052. All Federal and Recipient matching/cost-share contributions are subject to all relevant OMB Circulars and Code of Federal Regulations.

The OMB Circulars are available on the internet at http://www.whitehouse.gov/omb/grants_default/. Electronic copies of the CFRs can be obtained at the following internet site: <http://www.gpoaccess.gov/cfr/index.html>. If you are unable to retrieve these regulations electronically, please contact your Grants and Agreements Office at Linda Haenn, 610-557-4238.

Effective October 1, 2010, recipients are required to report information on subaward and executive total compensation, as required by the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109-282), as amended by section 6202 of Public Law 110-252, hereinafter referred to as "the Transparency Act." See the award terms in Attachment B: 2 CFR Part 170.

The following administrative provisions apply to this award:



- A. **LEGAL AUTHORITY.** The recipient shall have the legal authority to enter into this award, and the institutional, managerial, and financial capability to ensure proper planning, management, and completion of the project, which includes funds sufficient to pay the nonfederal share of project costs, when applicable.
- B. **PRINCIPAL CONTACTS.** Individuals listed below are authorized to act in their respective areas for matters related to this award.

Principal Cooperator Contacts:

| Cooperator Program Contact | Cooperator Administrative Contact |
|---|------------------------------------|
| Name: Brad Simpkins Telephone: 603-271-2214 Email: brad.simpkins@dred.state.nh.us | Name: Same Telephone: Email: |

Principal U.S. Forest Service Contacts:

| U.S. Forest Service Program Manager Contact | U.S. Forest Service Administrative Contact |
|--|---|
| Name: Neal Bungard Telephone: 603-868-7719 Email: nbungard@fs.fed.us | Name: Linda Haenn Telephone: 610-557-4238 Email: lhaenn@fs.fed.us |

- C. **AVAILABILITY OF FUNDS.** U.S. Forest Service funds in the amount of \$4,100,000 are currently available for performance of this award through September 30, 2012. The U.S. Forest Service's obligation for performance of this award beyond this date is contingent upon the availability of appropriated funds from which payment can be made. No legal liability on the part of the U.S. Forest Service for any payment may arise for performance under this award beyond September 30, 2012, until funds are made available to the U.S. Forest Service for performance and until the recipient receive(s) notice of availability to be confirmed in a written modification by the U.S. Forest Service.

Payments must be requested and approved by the Forest Legacy Program Manager at least 60 days in advance of acquisition closing date and are limited to the minimum amount needed to carry out the approved purpose of the grant and shall be timed as close as is administratively feasible to the actual disbursement.

- D. **REIMBURSABLE PAYMENTS – FINANCIAL ASSISTANCE.** See provision "L" in the attachment, 'U.S. Forest Service Award Provisions.'
- E. **PRE-AWARD COSTS FOR STATE, LOCAL, AND INDIAN TRIBAL GOVERNMENTS** Pursuant to OMB Circular A-87, Attachment B, No. 31, pre-award costs incurred as of May 15, 2011, are hereby authorized under this award.

F. PROGRAMMATIC CHANGES. The recipient shall obtain prior approval for any change to the scope of objectives of the approved project, key personnel, or transfer of substantive programmatic work to another party.

G. MODIFICATIONS. Modifications within the scope of this award shall be made by mutual consent of the parties, by the issuance of a written modification signed and dated by all properly authorized, signatory officials, prior to any changes being performed. Requests for modification should be made, in writing, at least 30 days prior to implementation of the requested change. The U.S. Forest Service is not obligated to fund any changes not properly approved in advance.

H. COMMENCEMENT/EXPIRATION DATE. This award is executed as of the date of the last signature and is effective through September 30, 2012 at which time it will expire, unless extended by an executed modification, signed and dated by all properly authorized, signatory officials.

I. AUTHORIZED REPRESENTATIVES. By signature below, each party certifies that the individuals listed in this document as representatives of the individual parties are authorized to act in their respective areas for matters related to this award. In witness whereof, the parties hereto have executed this award as of the last date written below.



BRAD SIMPKINS, Interim Director
New Hampshire Department of Resources and
Economic Development

8/30/11
Date



TONY L. FERGUSON, Area Director
U.S. Forest Service
Northeastern Area State and Private Forestry

8/26/2011
Date

The authority and format of this award have been reviewed and approved for signature.



LINDA HAENN
U.S. Forest Service
Grants & Agreements Specialist

8/26/11
Date



MODIFICATION OF GRANT OR AGREEMENT

| PAGE | OF PAGES |
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| 1 | 2 |

| | | | | | |
|---|--|--|---|-------------------------------|--|
| 1. U.S. FOREST SERVICE GRANT/AGREEMENT NUMBER: 11-DG-11420004-162 | | 2. RECIPIENT/COOPERATOR GRANT or AGREEMENT NUMBER, IF ANY: | | 3. MODIFICATION NUMBER: A1 | |
| 4. NAME/ADDRESS OF U.S. FOREST SERVICE UNIT ADMINISTERING GRANT/AGREEMENT (unit name, street, city, state, and zip + 4): Tony L. Ferguson, Area Director Northeastern Area State & Private Forestry 11 Campus Blvd., Suite 200 Newtown Square, PA 19073 vcaldwell@fs.fed.us/(610)-557-4131 | | | 5. NAME/ADDRESS OF U.S. FOREST SERVICE UNIT ADMINISTERING PROJECT/ACTIVITY (unit name, street, city, state, and zip + 4): Jada Jackson USDA Forest Service, Northeastern Area 11 Campus Blvd., Suite 200 Newtown Square, PA 19073 jsjackson@fs.fed.us/(610) 557-4135 | | |
| 6. NAME/ADDRESS OF RECIPIENT/COOPERATOR (street, city, state, and zip + 4, county): Mr. Brad Simpkins Interim Director NH DRED 172 Pembroke Road Concord, NH 03302 | | | 7. RECIPIENT/COOPERATOR'S HHS SUB ACCOUNT NUMBER (For HHS payment use only): G42192309002 - \$5,000,000 | | |

8. PURPOSE OF MODIFICATION

| | |
|-------------------------------------|--|
| CHECK ALL THAT APPLY: | This modification is issued pursuant to the modification provision in the grant/agreement referenced in item no. 1, above. |
| <input checked="" type="checkbox"/> | CHANGE IN PERFORMANCE PERIOD: This action changes the ending date to September 30, 2013 |
| <input checked="" type="checkbox"/> | CHANGE IN FUNDING: This action adds Federal funding in the amount of \$5,000,000 |
| <input type="checkbox"/> | ADMINISTRATIVE CHANGES: |
| <input type="checkbox"/> | OTHER (Specify type of modification): |

Except as provided herein, all terms and conditions of the Grant/Agreement referenced in 1, above, remain unchanged and in full force and effect.

9. ADDITIONAL SPACE FOR DESCRIPTION OF MODIFICATION (add additional pages as needed):

Additional funding in the amount of \$ 5,000,000 is made under the authority of the Cooperative Forestry Assistance Act of 1978, 16 U.S.C. 2101, et. seq., as amended by the 1990 Farm Bill, Section 1217 of Title XII of the Food, Agriculture, Conservation and Trade Act of 1990, Public Law 101-624, 104 Stat. 3359, 16 U.S.C. 2103c; later amended by the 1996 Farm Bill, Federal Agricultural Improvement and Reform Act of 1996; Public Law 104-127; Title III, Conservation; Subtitle G Forestry; Section 374, Optional State Grants for Forest Legacy Program, for the above project and accepted for the purposes described in the attached narrative. The Application for Federal Assistance dated May 30, 2012, submitted by you is incorporated and made a part of the award. By acceptance of this supplemental award, the grantee agrees to comply with the applicable Federal requirements for agreements and to the prudent management of all expenditures and actions affecting the award, as outlined in the original award documents.

NEW PROCEDURE: Attachment C is a comprehensive listing of award conditions for this program which now requires signatures from both the Area Director and the recipient as a way of acknowledging acceptance of these terms and conditions.

10. ATTACHED DOCUMENTATION (Check all that apply):

| | |
|-------------------------------------|------------------------|
| <input type="checkbox"/> | Revised Scope of Work |
| <input type="checkbox"/> | Revised Financial Plan |
| <input checked="" type="checkbox"/> | Other: ATTACHMENT C |




11. SIGNATURES

AUTHORIZED REPRESENTATIVE: BY SIGNATURE BELOW, THE SIGNING PARTIES CERTIFY THAT THEY ARE THE OFFICIAL REPRESENTATIVES OF THEIR RESPECTIVE PARTIES AND AUTHORIZED TO ACT IN THEIR RESPECTIVE AREAS FOR MATTERS RELATED TO THE ABOVE-REFERENCED



USDA Forest Service

OMB 0596-0217
FS-1500-19

| GRANT/AGREEMENT | | | |
|--|-------------------|---|------------------------------|
| 11.A. SIGNATURE  | 11.B. DATE SIGNED | 11.C. U.S. FOREST SERVICE SIGNATURE  | 11.D. DATE SIGNED 6-12-12 |
| (Signature of Signatory Official) | | (Signature of Signatory Official) | |
| 11.E. NAME (type or print): Brad W. Simpkins | | 11.F. NAME (type or print): TONY L. FERGUSON | |
| 11.G. TITLE (type or print): Interim Director | | 11.H. TITLE (type or print): Area Director | |
| 12. G&A REVIEW | | | |
| 12.A. The authority and format of this modification have been reviewed and approved for signature by:  VICKIE M. CALDWELL U.S. Forest Service Grants & Agreements Specialist | | | 12.B. DATE SIGNED 6/11/12 |



MODIFICATION OF GRANT OR AGREEMENT

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| PAGE | OF PAGES |
| 1 | 2 |

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|---|---|-------------------------------|
| 1. U.S. FOREST SERVICE GRANT/AGREEMENT NUMBER: 11-DG-11420004-162 | 2. RECIPIENT/COOPERATOR GRANT or AGREEMENT NUMBER, IF ANY: | 3. MODIFICATION NUMBER: A2 |
| 4. NAME/ADDRESS OF U.S. FOREST SERVICE UNIT ADMINISTERING GRANT/AGREEMENT (unit name, street, city, state, and zip + 4): Tony L. Ferguson, Area Director Northeastern Area State & Private Forestry 11 Campus Blvd., Suite 200 Newtown Square, PA 19073 vcaldwell@fs.fed.us/(610)-557-4131 | 5. NAME/ADDRESS OF U.S. FOREST SERVICE UNIT ADMINISTERING PROJECT/ACTIVITY (unit name, street, city, state, and zip + 4): Jada Jackson USDA Forest Service, Northeastern Area 11 Campus Blvd., Suite 200 Newtown Square, PA 19073 jsjackson@fs.fed.us/(610) 557-4135 | |
| 6. NAME/ADDRESS OF RECIPIENT/COOPERATOR (street, city, state, and zip + 4, county): Mr. Brad Simpkins Interim Director NH DRED 172 Pembroke Road Concord, NH 03302 | 7. RECIPIENT/COOPERATOR'S HHS SUB ACCOUNT NUMBER (For HHS payment use only): | |

8. PURPOSE OF MODIFICATION

| | |
|-------------------------------------|--|
| CHECK ALL THAT APPLY: | This modification is issued pursuant to the modification provision in the grant/agreement referenced in item no. 1, above. |
| <input checked="" type="checkbox"/> | CHANGE IN PERFORMANCE PERIOD: This action changes the ending date to September 30, 2014 |
| <input type="checkbox"/> | CHANGE IN FUNDING: |
| <input type="checkbox"/> | ADMINISTRATIVE CHANGES: |
| <input type="checkbox"/> | OTHER (Specify type of modification): |

Except as provided herein, all terms and conditions of the Grant/Agreement referenced in 1, above, remain unchanged and in full force and effect.

ADDITIONAL SPACE FOR DESCRIPTION OF MODIFICATION (add additional pages as needed):

Jada Jackson, Forest Legacy Program Coordinator, approves this request for extension until September 30, 2014.

10. ATTACHED DOCUMENTATION (Check all that apply):

| | |
|--------------------------|------------------------|
| <input type="checkbox"/> | Revised Scope of Work |
| <input type="checkbox"/> | Revised Financial Plan |
| <input type="checkbox"/> | Other: |

11. SIGNATURES

AUTHORIZED REPRESENTATIVE: BY SIGNATURE BELOW, THE SIGNING PARTIES CERTIFY THAT THEY ARE THE OFFICIAL REPRESENTATIVES OF THEIR RESPECTIVE PARTIES AND AUTHORIZED TO ACT IN THEIR RESPECTIVE AREAS FOR MATTERS RELATED TO THE ABOVE-REFERENCED GRANT/AGREEMENT.

| | | | |
|---|-------------------------------|--|-------------------------------|
| A. SIGNATURE | 11.B. DATE SIGNED 10/29/13 | 11.C. U.S. FOREST SERVICE SIGNATURE | 11.D. DATE SIGNED 10/29/13 |
| E. NAME (type or print): Brad W. Simpkins | | 11.F. NAME (type or print): TONY L. FERGUSON | |
| G. TITLE (type or print): Interim Director | | 11.H. TITLE (type or print): Area Director | |

12. G&A REVIEW

12.A. The authority and format of this modification have been reviewed and approved for signature by:

Vickie M. Caldwell

VICKIE M. CALDWELL

U.S. Forest Service Grants & Agreements Specialist

12.B. DATE
SIGNED

10/29/13



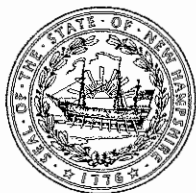
- | | |
|-----------------------------------|--------------------------|
| Androscoggin Headwaters Phase III | Snowmobile Trails |
| Forest Roads and Private Roads | Local Club Trail |
| Federal Land | NH Corridor Trail System |
| State Land | NH Primary Trail System |
| Municipal Land | |

Androscoggin Headwaters North Recreation and Access



Map created by the Trust for Public Land on July 31, 2012. Boundaries are from 2011 survey by York Land Services. Roads from same survey, Plum Creek, and aerial photos. Information on this map is provided for purposes of discussion and visualization only.





STATE OF NEW HAMPSHIRE

FIS 13 256

DEPARTMENT OF HEALTH AND HUMAN SERVICES
DIVISION OF COMMUNITY BASED CARE SERVICES

BUREAU OF ELDERLY & ADULT SERVICES

Nicholas A. Toumpas
Commissioner

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9203 1-800-351-1888

Nancy L. Rollins
Associate
Commissioner

Fax: 603-271-4643 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

October 29, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, authorize the Department of Health and Human Services, Division of Community Based Care Services, Bureau of Elderly and Adult Services to accept and expend Aging and Disabilities Resource Center Options Counseling Enhancement Program federal funds from the Administration for Community Living in the amount of \$414,964 effective upon date of Fiscal Committee and Governor and Executive Council approval, through June 30, 2015, and further authorize the allocation of these funds in the accounts below.

05-95-48-481010-7872 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVCS,
HHS: ELDERLY - ADULT SERVICES, GRANTS TO LOCALS, ADM ON AGING GRANTS

Table with 5 columns: Class/Object, Class Title, Current Authorized, Increase/(Decrease) Amount, Revised Authorized. Rows include SFY 2014, 000-404871 Federal Funds, Total Revenue, and various service codes like 010-500100, 020-500200, etc.

| | | | | |
|---------------|-----------------------------------|---------------------|------------------|---------------------|
| 060-500602 | Benefits | 252,985 | 0 | 252,985 |
| 066-500543 | Employee Training | 663 | 0 | 663 |
| 070-500704 | In-State Travel Reimbursement | 5,678 | 3,728 | 9,406 |
| 072-500575 | Grants Federal | 798,191 | 302,630 | 1,100,821 |
| 080-500713 | Out-Of State Travel Reimbursement | 6,604 | 1,854 | 8,458 |
| 502-500891 | Payments to Providers | 1,300,000 | 0 | 1,300,000 |
| 512-500352 | Transportation of Clients | 2,214,117 | 0 | 2,214,117 |
| 540-500382 | Social Service Contracts | 1,626,147 | 0 | 1,626,147 |
| 541-500383 | Meals Home Del and Cong | 5,696,844 | 0 | 5,696,844 |
| 570-500928 | Family Care Giver | <u>1,254,806</u> | <u>0</u> | <u>1,254,806</u> |
| Total Expense | | <u>\$13,760,129</u> | <u>\$311,223</u> | <u>\$14,071,352</u> |

**05-95-48-481010-7872 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVCS,
 HHS: ELDERLY – ADULT SERVICES, GRANTS TO LOCALS, ADM ON AGING GRANTS**

| Class/Object | Class Title | Current Authorized | Increase/ (Decrease) Amount | Revised Authorized |
|-----------------|-----------------------------------|-----------------------|--------------------------------|-----------------------|
| SFY 2015 | | | | |
| 000-404871 | Federal Funds | \$ 8,301,095 | \$103,741 | \$ 8,404,836 |
| | General Fund | <u>4,074,825</u> | <u>0</u> | <u>4,074,825</u> |
| Total Revenue | | <u>\$12,375,920</u> | <u>\$103,741</u> | <u>\$12,479,661</u> |
| 010-500100 | Personal Services Perm Class | \$ 516,170 | \$ 0 | \$ 516,170 |
| 020-500200 | Current Expenses | 6,873 | 900 | 7,773 |
| 022-500255 | Rents Leases Other Than State | 1,895 | 0 | 1,895 |
| 039-500188 | Telecommunications | 1,744 | 0 | 1,744 |
| 040-500800 | Indirect Costs | 7,294 | 0 | 7,294 |
| 041-500801 | Audit Fund Set Aside | 12,522 | 104 | 12,626 |
| 042-500620 | Additional Fringe Benefits | 20,724 | 0 | 20,724 |
| 049-500246 | Transfer To Other State Agency | 43,641 | 0 | 43,641 |
| 060-500602 | Benefits | 265,652 | 0 | 265,652 |
| 066-500543 | Employee Training | 676 | 0 | 676 |
| 070-500704 | In-State Travel Reimbursement | 5,678 | 1,243 | 6,921 |
| 072-500575 | Grants Federal | 798,191 | 100,876 | 899,067 |
| 080-500713 | Out-Of State Travel Reimbursement | 6,603 | 618 | 7,221 |
| 502-500891 | Payments to Providers | 1,300,000 | 0 | 1,300,000 |
| 512-500352 | Transportation of Clients | 1,697,657 | 0 | 1,697,657 |
| 540-500382 | Social Service Contracts | 1,374,914 | 0 | 1,374,914 |
| 541-500383 | Meals Home Del and Cong | 5,282,353 | 0 | 5,282,353 |
| 570-500928 | Family Care Giver | <u>1,033,333</u> | <u>0</u> | <u>1,033,333</u> |
| Total Expense | | <u>\$12,375,920</u> | <u>\$103,741</u> | <u>\$12,479,661</u> |

EXPLANATION

The Department of Health and Human Services, Division of Community Based Care Services seeks approval to accept and expend in State Fiscal Years 2014 and 2015 Aging and Disabilities Resource Center (ADRC) Options Counseling Enhancement Program grant funds in the amount of \$414,964 from the Administration On Aging. This request represents the second year of a multi-year grant award (September 30, 2012 - September 29, 2015). A copy of the grant award is attached.

The Administration for Community Living requires that uses of ADRC Options Counseling Enhancement Program funds must satisfy the following requirements:

1. Strengthen the capacity of the State's ServiceLink Resource Center network, New Hampshire's designated ADRC Program, to link people of all ages, income levels, and disabilities through a "No Wrong Door" approach to service access by including a variety of community agencies and organizations throughout the community to assist individuals to secure services;
2. Build stronger partnerships between health and long term care systems to reduce unnecessary readmissions and promote better health, better care, and lower costs by using trained and certified Options Counselors on interdisciplinary care teams and other methods of linking individuals discharged from hospitals and nursing homes to community based long term services and supports; and
3. Adopt national performance and outcome standards and align data collection and reporting methods across the State's long term care providers to document the impact of the project on the quality of life and well-being of the population being served, as well as the utilization and costs of services.

Through a collaborative partnership between the Department, consumers, families, caregivers and providers, New Hampshire will improve information about long-term care services and supports (including those available in local communities), streamline the application and eligibility determination processes, assist those applying for these services, and strengthen the existing infrastructure across the continuum of care. These efforts will build on New Hampshire's successes over the last 30 years, leverage the strengths of our current agencies and providers, and coordinate closely with current initiatives going forward.

| | |
|-----------|---|
| Class 020 | The funds will be used for supplies, materials and operating expenses associated with the administering this grant. |
| Class 041 | The funds will be used to pay audit fund set aside expense. |
| Class 070 | The funds will be used to cover travel expenses associated with site visits. |
| Class 072 | The funds will be used for provider contracts meeting the goals and objectives of the grant. |
| Class 080 | The funds will be used to cover travel expenses associated with mandatory out of state grant meetings. |

Should Fiscal Committee and Governor and Executive Council determine not to approve this request, more individuals in need of long-term care will be unable to obtain needed services and supports in their communities, resulting in increased utilization of higher cost institutional services. In addition, a certain number of people currently being cared for in institutional settings will have to remain in those settings, due to the continued shortage of available community services and supports that could fulfill their needs. Without access to Options Counseling, the rate of hospital readmissions is expected to increase.

In response to the anticipated two-part question, "Can these funds be used to offset general funds?" and "What is the compelling reason for not offsetting general funds?" the Bureau offers the following information: these Federal funds cannot be used to offset general funds, as they are restricted to the activities referenced herein. Should this request be denied, the funds in question must be returned to the Federal government.

Geographic area served: Statewide.

The Honorable Mary Jane Wallner, Chairman, and
Her Excellency, Governor Margaret Wood Hassan
October 29, 2013
Page 4

Source of Funds: 100% Federal.

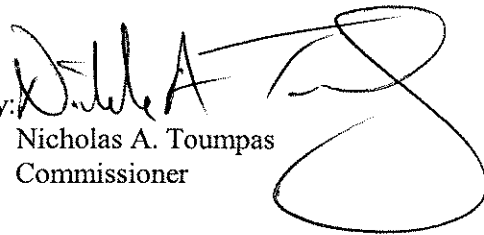
If federal funds become no longer available, general funds will not be requested to support the program expenditures.

Respectfully submitted:



Nancy L. Rollins
Associate Commissioner

Approved by:



Nicholas A. Toumpas
Commissioner

Enclosures

Bureau of Elderly and Adult Situation

Aging and Disabilities Resource Center (ADRC) Option Counseling Grant

Fiscal Situation

Adm on Aging Grants
010-095-048-481010-78720000

| | | |
|--------------------------------------|------------------|------------------|
| Grant Award | | \$768,691 |
| Allocated Cost Adjustment FY14 | (265,296) | |
| Allocated Cost Adjustment FY15 | <u>(88,431)</u> | |
| Total Allocated Cost Adjustments | | <u>(353,727)</u> |
| Available to Accept in FY14 and FY15 | | \$414,964 |
| This request FY14 | 311,223 | |
| This request FY15 | <u>103,741</u> | |
| Total this request | | <u>\$414,964</u> |

1. DATE ISSUED MM/DD/YYYY 09/26/2013
 2. CFDA NO. 93.517
 3. ASSISTANCE TYPE COOPERATIVE AGREEMENT

Department of Health and Human Services
 Administration For Community Living
 Center for Disability and Aging Policy (CDAP)
 ACL Office of Grants Management
 Washington, DC 20201-1401

1a. SUPERSEDES AWARD NOTICE dated
 except that any additions or restrictions previously imposed remain
 in effect unless specifically rescinded

4. GRANT NO. 90RO0028-02-00 Formerly
 5. ACTION TYPE Non-Competing Continuation

6. PROJECT PERIOD MM/DD/YYYY
 From 09/30/2012 Through 09/29/2015

7. BUDGET PERIOD MM/DD/YYYY
 From 09/30/2013 Through 09/29/2014

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
 Section 2405 P.L. 111-148 (Affordable Care Act)

8. TITLE OF PROJECT (OR PROGRAM)
 New Hampshire ADRC Options Counseling Enhancement Program

9a. GRANTEE NAME AND ADDRESS
 New Hampshire Dept of Health & Human Services
 129 Pleasant St
 Bureau of Elderly & Adult Serv
 Concord, NH 03301-3852

9b. GRANTEE PROJECT DIRECTOR
 Ms. Mary Maggioncalda
 129 Pleasant St.
 Concord, NH 03301
 Phone: 603-271-4410

10a. GRANTEE AUTHORIZING OFFICIAL
 Ms. Diane Langley
 129 PLEASANT ST
 CONCORD, NH 03301-3852
 Phone: 603-271-9093

10b. FEDERAL PROJECT OFFICER
 Mr. Eric Weakly
 One Massachusetts Ave.
 Administration for Community Living
 Washington, DC 20201-1401
 Phone: 202 357-3432

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)

| | | |
|--|------------|-------------------------------------|
| I Financial Assistance from the Federal Awarding Agency Only | | <input type="checkbox"/> |
| II Total project costs including grant funds and all other financial participation | | <input checked="" type="checkbox"/> |
| a. Salaries and Wages | 107,446.00 | |
| b. Fringe Benefits | 55,126.00 | |
| c. Total Personnel Costs | 162,572.00 | |
| d. Equipment | 0.00 | |
| e. Supplies | 3,416.00 | |
| f. Travel | 7,062.00 | |
| g. Construction | 0.00 | |
| h. Other | 172,780.00 | |
| i. Contractual | 422,860.00 | |
| j. TOTAL DIRECT COSTS | 768,690.00 | |
| k. INDIRECT COSTS | 0.00 | |
| l. TOTAL APPROVED BUDGET | 768,690.00 | |
| m. Federal Share | 768,690.00 | |
| n. Non-Federal Share | 0.00 | |

12. AWARD COMPUTATION

| | |
|--|--------------|
| a. Amount of Federal Financial Assistance (from item 11m) | 768,690.00 |
| b. Less Unobligated Balance From Prior Budget Periods | 0.00 |
| c. Less Cumulative Prior Award(s) This Budget Period | 0.00 |
| d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION | 768,690.00 |
| 13. Total Federal Funds Awarded to Date for Project Period | 1,468,690.00 |

14. RECOMMENDED FUTURE SUPPORT

(Subject to the availability of funds and satisfactory progress of the project):

| YEAR | TOTAL DIRECT COSTS | YEAR | TOTAL DIRECT COSTS |
|------|--------------------|------|--------------------|
| a. 3 | | d. 6 | |
| b. 4 | | e. 7 | |
| c. 5 | | f. 8 | |

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

| | |
|---|-------------------------------------|
| a. DEDUCTION b. ADDITIONAL COSTS c. MATCHING d. OTHER RESEARCH (Add / Deduct Option) e. OTHER (See REMARKS) | <input checked="" type="checkbox"/> |
|---|-------------------------------------|

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

- a. The grant program legislation
 - b. The grant program regulations
 - c. This award notice including terms and conditions, if any, noted below under REMARKS.
 - d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.
- In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)
 This award approves year 02 continuation funding.

GRANTS MANAGEMENT OFFICER: Rimas T Liogys, Director, OGM

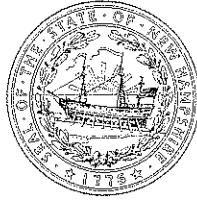
| | | | | |
|---------------------|-------------------------------|---------------------|---------------------|--------------------|
| 17. OBJ CLASS 41.45 | 18a. VENDOR CODE 1026000618B3 | 18b. EIN 026000618 | 19. DUNS 011040545 | 20. CONG. DIST. 02 |
| FY-ACCOUNT NO. | DOCUMENT NO. | ADMINISTRATIVE CODE | AMT ACTION FIN ASST | APPROPRIATION |
| 21. a. 3-299999S | b. 90RO002801 | c. ACLCDAP | d. \$0.00 | e. 75130142 |
| 22. a. 3-299999S | b. 90RO002802 | c. ACLCDAP | d. \$768,690.00 | e. 75130142 |
| 23. a. | b. | c. | d. | e. |

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 13 257

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

October 29, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to budget and expend prior year carry forward funds in the amount of \$22,316,661 from the MtBE settlement funds for the remediation of MtBE contamination in groundwater and drinking water effective with the approvals of the Fiscal Committee and Governor and Council through June 30, 2015. 100% Other Funds (MTBE Settlements).

These funds are to be budgeted in account #02-20-20-200010-3343, Department of Justice, MtBE Settlements as follows:

| MtBE Settlements | | Current | Requested | New |
|----------------------|--------------------------------|---------|----------------|----------------|
| 02-20-20-200010-3343 | | Budget | Action | Budget |
| <u>Class</u> | <u>Description</u> | | | |
| 073-500582 | Grants to other State Agencies | \$0 | \$22,316,661 | \$22,316,661 |
| Revenue | | | | |
| 009-407129 | Other Income | \$0 | (\$22,316,661) | (\$22,316,661) |
| MtBE Settlements | | | | |

2. Contingent upon approval of requested action number one, authorize the Department of Justice to enter into a Memorandum of Understanding (MOU) with the Department of Environmental Services (DES) and grant DES \$22,316,661 to establish and begin the implementation of an MtBE corrective action plan for cleanup of the contamination of groundwater and drinking water effective with the date of Governor and Council approval through June 30, 2015.

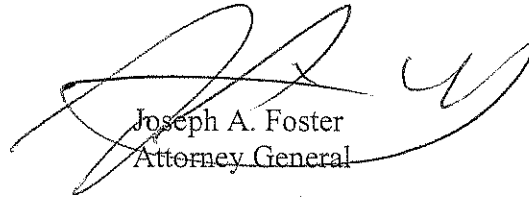
EXPLANATION

In 2003, the Department of Justice (DOJ) sued gasoline manufacturers and marketers seeking damages for the statewide problem of MtBE contamination. The State settled with all but one of the defendants. After deductions from the settlement payments for legal fees, costs, and administrative fees, the State has \$81,630,000 available for remediation of MtBE contamination in groundwater. The settlements, entered as orders of the court, require that the money be spent on MtBE-related remediation. The Department of Environmental Services (DES) has developed a plan for the efficient use of these funds. This plan is being presented to the committee as a separate DES item. DOJ requests authorization to grant \$22,316,661 to DES for implementation of the plan during the current biennium. DOJ and DES have entered into a separate Memorandum of Understanding (MOU) that allows DES to implement this plan while DOJ retains the right to review DES expenditures quarterly and to review any single expenditure over one million dollars (MOU attached).

Please let me know if you have any questions concerning this request.

Your consideration is greatly appreciated.

Respectfully submitted,



Joseph A. Foster
Attorney General

JAF/k
#964192

MEMORANDUM OF UNDERSTANDING
STATE OF NEW HAMPSHIRE
DEPARTMENT OF JUSTICE
DEPARTMENT OF ENVIRONMENTAL SERVICES

A. Agreement

This Memorandum of Understanding between the Department of Justice (hereinafter "DOJ") and the New Hampshire Department of Environmental Services (hereinafter "DES") sets forth the terms and conditions whereby DOJ will dispense up to \$81,630,242.00 in settlement funds received in the case of *State v. Hess, et al* (hereinafter "MTBE case") to DES to implement mutually agreed-upon programs to prevent exposure to MTBE by identifying and remediating contamination of groundwater in New Hampshire, and providing safe, clean drinking water to those who have been affected.

B. Development of a Project Plan

DOJ and DES agree that the settlement funds should be used in a manner that will provide benefit to the greatest number of affected citizens, while focusing on those high priority sites that pose the most immediate danger to drinking water sources. DOJ and DES recognize that it is critical to the success of the MTBE remediation projects that the input of legislators and other stakeholders be considered as a detailed project plan is developed. DOJ and DES agree that by March 31, 2014, they will jointly schedule and attend meetings with legislators and various other stakeholders to seek input as to the use of the funds. Thereafter, DES will develop a long-range work proposal for: (1) investigation and remediation of existing contamination sites, (2) testing at-risk private drinking water wells within a location radius of contamination source sites, (3) providing safe, clean drinking water to impacted citizens, (4) installation and improvement of public water supply infrastructure in areas having significant MTBE contamination, and (5) implementation of measures to prevent further MTBE contamination. The plan must be approved by DOJ prior to implementation.

C. Scope of Work

DES will create an MTBE Remediation Bureau within the agency, which will have primary responsibility for implementing the approved work plan. The Bureau's efforts will include coordination of MTBE site cleanup, drinking water quality assessment, public and private drinking water supply improvements, and prevention work. Staff will be responsible for establishing and implementing comprehensive plans to sample at-risk private and transient public water supply wells to identify areas affected by MTBE contamination and for the investigation and cleanup of priority MTBE-contaminated sites. Where drinking water impacts are identified, staff will work to provide appropriate treatment or connection to new or existing private or public water systems. DES may engage the services of outside professionals as needed to implement the plan.

D. Payment

DOJ will reimburse DES on a quarterly basis for expenditures incurred.

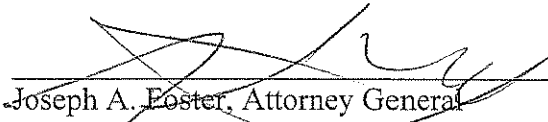
Prior to the ends of FY 2015, and FY 2017, respectively, DOJ and DES shall jointly determine the amount of funds that will be necessary to support the MTBE remediation program for the following biennium. Unless instructed otherwise by the Governor or the Legislature, DOJ will seek the approval of the Fiscal Committee and the Governor and Council to transfer that sum to DES by the beginning of the following fiscal year. Payments in total shall not exceed \$81,630,242.00.

E. Reporting

On a quarterly basis, DES will provide a report to DOJ that will include the name of each project, the total amount spent, what the money was spent on, and a copy of its appropriation summary report. DOJ may audit DES expenditures.

DES shall seek DOJ approval of any single project expenditure in excess of \$1,000,000.00.

10/31/13
Date

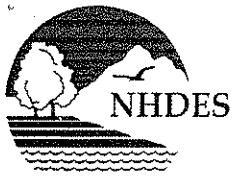


Joseph A. Foster, Attorney General
New Hampshire Department of Justice

10/31/2013
Date



Thomas S. Burack, Commissioner
Department of Environmental Services



The State of New Hampshire
DEPARTMENT OF ENVIRONMENTAL SERVICES

FIS 13 246



Thomas S. Burack, Commissioner

November 22, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 and

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTIONS

- Pursuant to RSA 14:30-a VI, authorize the Department of Environmental Services (DES) to accept and expend \$22,316,661 in "MTBE" settlement funds from the Department of Justice (DOJ) to implement an MTBE corrective action plan, effective upon Fiscal Committee and Governor and Council approval through June 30, 2015.

Funding to be appropriated as follows:

100% MTBE settlement funds.

MTBE Settlement
03-44-44-444010-88930000

| Class | Title | FY 14 Current Budget | Requested Action | Revised Budget |
|------------|-------------------------------|----------------------|------------------|----------------|
| | Revenue | | | |
| 009-407624 | MtBE Funds | \$0 | (\$22,316,661) | (\$22,316,661) |
| | Expenditures | | | |
| 18-500106 | Overtime | \$0 | \$12,000 | \$12,000 |
| 20-500200 | Current Expense | \$0 | \$32,773 | \$32,773 |
| 22-500255 | Rents/Leases | \$0 | \$4,301 | \$4,301 |
| 24-500227 | Contract Repairs | \$0 | \$420 | \$420 |
| 27-502799 | Transfers to OIT | \$0 | \$74,146 | \$74,146 |
| 28-500292 | Transfers to General Services | \$0 | \$67,906 | \$67,906 |
| 30-500320 | Equipment | \$0 | \$52,500 | \$52,500 |
| 37-500173 | PC Desktop Hardware | \$0 | \$25,736 | \$25,736 |
| 38-500175 | PC Desktop Software | \$0 | \$3,237 | \$3,237 |
| 39-500188 | Telecommunications | \$0 | \$9,750 | \$9,750 |
| 40-500800 | Indirect Costs | \$0 | \$186,605 | \$186,605 |
| 42-500620 | Additional Fringe Benefits | \$0 | \$40,658 | \$40,658 |
| 50-500109 | Temporary Personnel | \$0 | \$755,201 | \$755,201 |
| 59-500117 | Full Time Temporary | \$0 | \$599,394 | \$599,394 |
| 60-500601 | Benefits | \$0 | \$702,001 | \$702,001 |

DES Web Site: www.des.nh.gov

P.O. Box 95, 29 Hazen Drive, Concord, New Hampshire 03302-0095

Telephone: (603) 271-3899 Fax: (603) 271-2181 TDD Access: Relay NH 1-800-735-2964

| | | | | |
|------------|--------------------------------|-----|--------------|--------------|
| 66-500543 | Employee Training | \$0 | \$3,750 | \$3,750 |
| 70-500705 | In-State Travel | \$0 | \$4,533 | \$4,533 |
| 101-500729 | Medical Providers | \$0 | \$6,750 | \$6,750 |
| 102-500731 | Contracts for Program Services | \$0 | \$4,825,000 | \$4,825,000 |
| 300-500830 | Reimbursements | \$0 | \$14,910,000 | \$14,910,000 |
| | Total: | \$0 | \$22,316,661 | \$22,316,661 |

2. Pursuant to the provisions of RSA 124:15,I, authorize DES to establish five (5) full-time, temporary positions effective upon Fiscal Committee and Governor and Council approval through June 30, 2015. The positions were approved by the Division of Personnel on October 23, 2013. A copy of the approval documentation is attached.

| | | | | |
|-----------------|---------------------|---------------------|---------------------|--------------------------------------|
| Classification: | Administrator IV | Administrator III | Civil Engineer VI | Environmentalist IV (2 positions) |
| Position #: | 9T2655 | 9T2666 | 9T2669 | 9T2667 / 9T2668 |
| Labor Grade: | 33 | 31 | 32 | 27 |
| Salary Range: | \$67,343 - \$92,209 | \$61,411 - \$84,561 | \$64,325 - \$88,386 | \$51,696 - \$69,968 |

EXPLANATION

In 2003, the Department of Justice (DOJ) sued gasoline manufacturers and marketers to obtain damages for the statewide problem of MTBE contamination. DOJ has since settled with every defendant other than ExxonMobil.¹ After deductions from the settlement payments for legal fees, costs, and administrative expenses, \$81,630,000 in settlement money is available for remediation of MTBE contamination in groundwater and drinking water. The purpose of this request is to authorize DES to accept and expend \$22,316,661 in settlement funds from DOJ, to establish and begin implementation of a comprehensive MTBE corrective action plan. This includes the use of state cleanup/remediation contractors, reimbursements to affected parties for approved remedial costs, and DES personnel. Activity will be reported annually to the Fiscal Committee, Governor and Council, and House and Senate leadership.

In consultation with DOJ, DES is developing a detailed plan for use of the settlement funds that will be presented in early 2014, after public input. The estimated time period for implementing the entire plan is 6 years. The plan will include funds allocated for: (1) installation and improvement of public water supply infrastructure in areas having significant MTBE contamination, (2) investigation and remediation of existing contamination sites where private water wells are impacted, (3) testing at-risk private water wells within a location radius of contamination source sites, and (4) implementation of measures to prevent further MTBE contamination. In the near term, work will begin on plan elements that involve the most significant public health risk concerns. This includes private water well testing and work at highly contaminated sites. Due to the significant technical complexity and expertise needed for the development and implementation of the plan, as well as annual reporting, high-level professional personnel are necessary.

The five requested full-time temporary positions will be combined with eight (8) temporarily reassigned existing vacant DES positions to form an MTBE remediation bureau that will implement the workplan. The

¹ The State also obtained a jury verdict of approximately \$236M against ExxonMobil. The verdict is currently under appeal. This request applies only to the settlement funds.

bureau's efforts will be divided between MTBE site cleanup and drinking water quality assessment. Three staff will work on the investigation and cleanup of priority MTBE-contaminated sites. Three staff will work on establishing and implementing comprehensive plans to sample at-risk private and transient public water supply wells to identify areas impacted by MTBE contamination. An additional five staff will support the field sampling efforts, and will only be hired after comprehensive sampling plans and lab analysis contracts are in place. The bureau will be led by an administrator and supported by an executive secretary. Staff in the bureau will also utilize existing DES staff in related programs on an as-needed basis to provide support to this important work.

Expenditures itemized by Class included in this request are budgeted for a partial year in FY 2014 and a full year in FY 2015, and described as follows:

- Class 018 To cover the cost of overtime for full-time temporary personnel.
- Class 020 To cover costs for public outreach, postage, office supplies, and vehicle expenses.
- Class 022 To cover costs for rented office equipment.
- Class 024 To cover costs for equipment repairs and maintenance.
- Class 027 To cover costs for Information Technology services.
- Class 028 To cover costs for building rent and related services.
- Class 030 To cover start up costs including vehicles, scientific instrumentation, and office equipment.
- Class 037 To cover the costs for desktop computers for the new staff
- Class 038 To cover the costs for desktop software for the new staff
- Class 039 To cover the costs for telecommunications.
- Class 040 To cover the program share of statewide indirect costs.
- Class 042 To cover the program share of additional fringe benefit costs.
- Class 050 To cover the costs for 8 full-time, permanent positions currently funded in other programs. These vacant positions will be filled and temporarily reassigned to the MTBE program. To cover the costs for 9 part-time equivalent personnel that work in related programs and will perform support services and charge those costs to the MTBE program. MTBE funds will be used to supplant funding of the positions, which are currently budgeted as follows:

Vacant Positions to be Temporarily Reassigned to MTBE Program

| Position # | Title | Budget | Funding Mix | \$ Amount |
|------------|----------------------|--------------------------|--------------|-----------|
| 40492 | Hydrogeologist V | 03-44-44-444010-25140000 | 100% Federal | 118,191 |
| 12030 | Hydrogeologist III | 03-44-44-444010-53920000 | 100% Other | 93,754 |
| 19746 | Environmentalist III | 03-44-44-443010-91030000 | 100% Other | 93,754 |
| 19736 | Environmentalist II | 03-44-44-442010-38550000 | 100% Other | 58,715 |
| 43355 | Environmentalist II | 03-44-44-442010-36730000 | 100% Other | 58,715 |
| 43359 | Environmentalist II | 03-44-44-442010-36730000 | 100% Other | 58,715 |
| 12105 | Environmentalist II | 03-44-44-442010-12000000 | 100% Other | 66,082 |
| 42224 | Executive Secretary | 03-44-44-443010-90030000 | 100% Other | 47,672 |
| Total: | | | | \$595,598 |

Existing Positions That Will Charge Limited Time to the MTBE Program

| Position # | Title | Budget | Funding Mix | \$ Amount |
|------------|-------------------|--------------------------|-------------|-----------|
| 12127 | Administrator IV | 03-44-44-444010-14000000 | 100% Other | 12,756 |
| 16792 | Civil Engineer VI | 03-44-44-444010-14090000 | 100% Other | 25,892 |
| 42135 | Civil Engineer V | 03-44-44-444010-14210000 | 100% Other | 17,673 |

| | | | | |
|-------|--------------------|--------------------------|--------------|-------------------------------|
| 42140 | Civil Engineer V | 03-44-44-444010-14210000 | 100% Other | 23,144 |
| 19883 | Hydrogeologist III | 03-44-44-444010-20750000 | 100% Federal | 21,154 |
| 18330 | Hydrogeologist IV | 03-44-44-444010-14210000 | 100% Other | 19,619 |
| 41644 | Administrator IV | 03-44-44-442010-47900000 | 100% Other | 7,029 |
| 19124 | Hydrogeologist V | 03-44-44-442010-47900000 | 100% Other | 32,336 |
| | | | | Total: \$159,603 |
| | | | | Total for Class 50: \$755,201 |

Funding that is budgeted in the accounts for the current positions referenced above will either be lapsed or closed-to-control.

- Class 059 To cover the costs for the 5 full-time, newly-established temporary positions for management, administration, and technical support that are listed on page 2.
- Class 060 To cover benefits for full-time and part-time personnel.
- Class 066 To cover costs for employee training.
- Class 070 To cover travel to various contaminated sites, and to perform outreach and education.
- Class 101 To cover costs for personnel medical monitoring.
- Class 102 To cover costs for DES contractors performing work at MTBE contaminated sites, work to prevent further contamination, and work to provide safe drinking water.
- Class 300 To cover costs for reimbursement of approved remedial project costs, including site investigation and remediation, and provision of safe drinking water.

The following is intended to address the seven questions required by the Fiscal Committee with respect to the acceptance of federal and other funds in which new positions are established or extended:

Q-1. List All Personnel by Classification, Labor Grade, and Salary:

See tables above for new temporary full-time personnel and temporary personnel reassignments.

Q-2. Explain the Nature, Need, and Duration of the Program:

The positions subject to this request will be funded until June 30, 2015. Additional FY funding requests are anticipated to fully utilize the MTBE settlement funding. The primary responsibility of the new and reassigned positions is to develop, administer, and manage a statewide MTBE corrective action plan, including work by DES contractors and distribution of financial assistance to affected parties. This requires a level of technical knowledge and skill that is consistent with the position classifications.

Q-3. What is the Relationship to Existing Programs?

The new positions are needed to provide DES with specific and dedicated expertise to implement a complex and comprehensive plan to address statewide MTBE contamination. Although current DES personnel perform MTBE contamination work in existing programs using existing funding, and are expert in that regard, their workload is too great to absorb all the new work planned for the settlement funds.

Q-4. Has a Substantially Similar Program been Requested of the Legislature and Denied?

No. This situation is unique and unprecedented.

Q-5 Why was this Program and Its Funding Not Included in the Operating Budget?

At the time that the State Operating Budget was being prepared the case was still in litigation, and settlements with settling parties had not been completed.


Q-6 Can any Portion of the Funds Be Utilized if Either, None, or Only Part of the Positions are Authorized?

Yes. However, due to existing workload, significant limitations would be placed on timely implementation of the full settlement funding plan. A much longer timeframe than 6 years would be necessary.

Q-7 What is the Estimate of the Amount of Funding Required to Continue the Positions in Future Years?

The positions created with settlement funds will not be converted to permanent when the settlement funds are expended. At this time, potential future funding needs are too difficult to predict, but in no case would they involve any General Funds.

We respectfully request your approval.


Thomas S. Burack, Commissioner

SUPPLEMENTAL JOB DESCRIPTION

Classification: Administrator IV

Function Code: 0072-044

Position Title: MTBE Remediation Bureau Administrator

Date Established: 10/23/13

Position Number: 9T2655

Date of Last Amendment:

SCOPE OF WORK: Develops and administers programs for the investigation and cleanup of methyl-t-butyl ether (MTBE) contaminated properties/sites, including public and private water supplies and contamination source properties, and provides related technical and financial assistance to affected parties.

ACCOUNTABILITIES:

- Develops and recommends strategies to the Waste Management Division Director and Assistant Director, Commissioner, and Department of Justice for the management and allocation of special purpose funds to achieve statewide investigation and cleanup goals and objectives related to "MTBE" and other colocated contamination.
- Develops and implements investigation and cleanup projects to accomplish the annual and strategic goals of the MTBE Remediation Bureau.
- Provides technical support to the Department of Justice in all matters related to MTBE special purpose funds, including settlement negotiations and litigation support. Develops and presents testimony and annual reports to the Legislature and Governor & Council on Bureau activities, and acts as liaison to the Legislature and Department of Justice in all matters related to the management, allocation, and distribution of funds.
- Coordinates activities and develops procedures to assist the in development and implementation of budgets, service contracts and various reports to meet goals and objectives and ensure effective financial management of funds. Works in collaboration with the WMD Director and Assistant Director, other Department Bureaus and the Department Accounting Unit .
- Develops and maintains one or more detailed spreadsheets to monitor program expenditures and budgets including expenditures associated with transfer of staff costs from other supporting programs within the Department, reimbursements to eligible parties, encumbrances for approved work being completed by responsible parties, encumbrances for approved work being completed by Department contractors, reimbursements to eligible parties, and assistance grants to monitor bureau/program status relative to the current balance of the MTBE funding provided to the Department.
- Develops, organizes and coordinates outreach and education programs for local officials, property owners, and residents concerning fund allocation strategies. Conducts public meetings as necessary on such strategies and implementation plans.
- Communicates with senior federal, state, and local officials, attorneys, legislators, engineering consultants and other state agencies as necessary.
- Manages bureau staff to implement MTBE contamination investigation and cleanup strategies, goals, and objectives.
- Continuously seeks to improve practices to add quality and value in support of the Department's mission while identifying and eliminating unnecessary or redundant actions.

MINIMUM QUALIFICATIONS:

Education: Master's degree from a recognized college or university with a major concentration in civil, mechanical, environmental, sanitary or chemical engineering, geology, or hydrogeology.

Administrator IV (MTBE Remediation Bureau Administrator)

Position #9T2655

Page 2 of 2

Experience: Eight years' experience in the practice of civil, mechanical, environmental, sanitary, or chemical engineering, geology, or hydrogeology post Professional Engineer or Professional Geologist licensure, five years of which must have been in a management level position involving administrative or supervisory duties concerned with program administration, program planning and evaluation, business management or related management experience.

OR

Education: A Bachelor's degree from a recognized college or university with a major concentration in civil, mechanical, environmental, sanitary or chemical engineering, geology or hydrogeology.

Experience: Nine years' work experience in the practice of civil, mechanical, environmental, sanitary, or chemical engineering, geology or hydrogeology post Professional Engineer or Professional Geologist licensure, five years of which must have been in a management level position involving administrative or supervisory duties concerned with program administration, program planning and evaluation, business management or related management experience.

License/Certification: Required to be a Licensed Professional Engineer or Professional Geologist in the State of New Hampshire or in another state and obtain registration in NH within one year of employment.

SPECIAL REQUIREMENTS: The ideal candidate will have specific experience in management of petroleum contamination investigation and cleanup projects and related funds in New Hampshire, or similar programs in another state. A working knowledge and experience with EXCEL spreadsheets and graphing functions and POWERPOINT is required. For appointment consideration, applicants must successfully participate in a structured interview measuring possession of knowledge, skills and abilities identified as necessary for satisfactory job performance by this class specification.

DISCLAIMER STATEMENT: The supplemental job description lists typical examples of work and is not intended to include every job duty and responsibility specific to a position. An employee required to perform other related duties not listed on the supplemental job description provided that such duties are characteristic of that classification.

SIGNATURES:

The above is an accurate reflection of the duties of my position.

Employee's Name and Signature

Date Reviewed

Supervisor's Name and Title: H. Keith DuBois, P.G., Assistant Director, Position # 16785

The above job description accurately measures this employee's job description.

Supervisor's Signature

Date Reviewed

Jennifer J. Elberfeld MR

10-23-13

Division of Personnel

Date Approved

SUPPLEMENTAL JOB DESCRIPTION

CLASSIFICATION: Administrator III

FUNCTION CODE: 0071-044

POSTION TITLE: Drinking Water Quality Administrator

DATE ESTABLISHED: 10/23/13

POSITION NUMBER: 9T2666

DATE OF LAST AMENDMENT:

SCOPE OF WORK: Administers the drinking water well sampling program related to the contamination of groundwater with methyl-t-butyl ether (MTBE) including program planning and strategy development as well as interpreting data to draw conclusions and identify appropriate responses.

ACCOUNTABILITIES:

- Develops, authorizes and monitors statewide drinking water well sampling program related to MTBE contamination.
- Provides testimony to elected officials for the purpose of explaining the private well sampling plan and addressing inquiries relative to the agency's objectives and goals for the program.
- Formulates and implements drinking water well sampling program budgets.
- Evaluates drinking water sampling program operations for effectiveness and proper allocation of program resources and implements required fiscal and programmatic changes.
- Plans, develops and evaluates training programs for staff and contractors associated with developing and implementing the drinking water well sampling program.
- Develops scope of work and associated contractor solicitation documents and manages contracts associated with implementing the drinking water well sampling program.
- Oversees the development, design and operation of a database for the drinking water well sampling program with the Water Division – Water Supply Engineering Bureau (WSEB) to: (a) assure database compatibility with existing WSEB databases; (b) data collected is of appropriate quality for future use by WSEB; and (c) assist in facilitating field work WSEB may wish to complete concurrently with the MTBE Remediation Bureau's drinking water well sampling program activities
- Formulates and implements a public communication plan to promote and support the drinking water well sampling program.
- Oversees the development of appropriate spreadsheets and POWERPOINT presentations for tracking the MTBE water supply sampling program and presentation of data at internal and external meetings.
- Continuously seeks to improve practices to add quality and value in support of the Department's mission while identifying and eliminating unnecessary or redundant actions.

MINIMUM QUALIFICATIONS

Administrator III (Drinking Water Quality Administrator)

Position: 9T2666

Page 2

Education: Master's degree from a recognized college or university with major study in a science discipline.

Experience: Seven years' experience in a science field, four years of which must have been in a management level position involving administrative or supervisory duties concerned with program administration, program planning and evaluation or related management experience.

OR

Education: Bachelor's degree from a recognized college or university with major study in a science field.

Experience: Eight years' experience in a science field or occupation relevant to program area in which position is assigned, four years of which must have been in a management level position involving administrative or supervisory duties concerned with program administration, program planning and evaluation or related management experience.

SPECIAL REQUIREMENTS: Five years experience in an environmental resource conservation position with substantial involvement with the development or implementation of GIS, database, and spreadsheet programs to track and manage data collection, data analysis and reporting and proficiency in the use of GIS, database and spreadsheet programs. Must have excellent oral and written communication skills. Must have working knowledge of POWERPOINT. For appointment consideration, applicants must successfully participate in a structured interview measuring possession of knowledge, skills and abilities identified as necessary for satisfactory job performance by this class specification.

DISCLAIMER STATEMENT: The supplemental job description lists typical examples of work and is not intended to include every job duty and responsibility specific to a position. An employee may be required to perform other related duties not listed on the supplemental job description provided that such duties are characteristic of the classification.

SIGNATURES:

The above is an accurate reflection of the duties of my position.

Employee's Signature

Date Reviewed

Supervisor's Name and Title: Administrator IV, Position # 9T2655

The above job description accurately measures this employee's job duties.

Supervisor's Signature

Date Reviewed

Jennifer J. Elberfeld
MR

10/23/13

Division of Personnel

Date Approved

SUPPLEMENTAL JOB DESCRIPTION

CLASSIFICATION: Environmentalist IV

FUNCTION CODE: 4098-044

POSITION TITLE: Environmentalist IV

DATE ESTABLISHED: 10-23-13

POSITION NUMBER: 9T2667

DATE OF LAST AMENDMENT:

SCOPE OF WORK: To supervise environmental program activities associated with the drinking water well sampling program related to the contamination of groundwater with methyl-t-butyl ether (MTBE).

ACCOUNTABILITIES:

- Supervises the drinking water sampling program for wells related to the contamination of groundwater with MTBE, planning, developing and implementing the program statewide.
- Plans and coordinates the drinking water well sampling program using databases and geographical information systems software to organize, coordinate, monitor and analyze data to conduct sampling and assess environmental conditions.
- Reviews the results of the drinking water testing program, provides well owners with information explaining the results and coordinates with applicable program staff to recommend appropriate remedial action.
- Consults with state officials, local officials, contractors and owners of drinking water wells to ensure coordination and implementation of the drinking water well sampling program.
- Provides technical and logistical support for internal and external meetings.
- Continuously seeks to improve practices to add quality and value in support of the Department's mission while identifying and eliminating unnecessary or redundant actions.

MINIMUM QUALIFICATIONS:

Education: Bachelor's degree from a recognized college or university with major study in a related environmental science. Each additional year of approved formal education may be substituted for one year of required work experience.

Experience: Six years' experience as an Environmental Engineer, Hydrogeologist, Geologist or Environmentalists in a public or private organization with responsibility for program management, research, planning, soil and water sampling for laboratory analysis, and evaluation and presentation of analytical data, two years of which shall have involved supervisory duties as well as the preparation of various environmental documents, legal briefs or related research.

License/Certification: Eligibility for N.H. driver's license.

SPECIAL REQUIREMENTS: Experience in GIS, database and spreadsheet programs for the purposes implementing the drinking water well sampling program. Experience in collecting environmental samples and processing data. Must have excellent oral and written communication. For appointment consideration, applicants must successfully participate in a structured interview measuring possession of knowledge, skills and abilities identified as necessary for satisfactory job performance by this class specification. skills.

DISCLAIMER STATEMENT: The supplemental job description lists typical examples of work and is not intended to include every job duty and responsibility specific to a position. An employee may be required to perform other related duties not listed on the supplemental job description provided that such duties are characteristic of that classification.

SIGNATURES:

The above is an accurate reflection of the duties of my position.

Employee's Signature

Date Reviewed

Supervisor's Name and Title: Administrator III, Position # 9T2666

The above job description accurately measures this employee's job duties.

Supervisor's Signature

Date Reviewed

Jennifer J. Elberfeld
MR

10-23-13

Division of Personnel

Date Approved

SUPPLEMENTAL JOB DESCRIPTION

Classification: Environmentalist IV

Function Code: 4098 -044

Position Title: Hazardous Waste Site Risk Assessor

Date Established: 10/23/13

Position Number: 9T2668

Date of Last Amendment:

SCOPE OF WORK: Supports the Department of Environmental Services, MTBE Remediation Bureau, by assessing potential and existing human health risks associated with exposure to hazardous substances in water supply wells, formulates recommendations and guidance for reduction of those health risks, engages in risk communication with impacted supply well users, and assists in the development and maintenance of a groundwater quality sampling program and related data base.

ACCOUNTABILITIES:

- Reviews and evaluates compiled environmental sampling data and reports to identify actual and potential human health risks associated with chemical contaminants at methyl-t-butyl ether (MTBE) sites and other MTBE-impacted properties and water supplies.
- Reviews, analyzes and evaluates technical data from the sampling of drinking water wells to evaluate the human health risk of exposure to detected contaminants.
- Explains and clarifies technical information regarding well water testing results in response to inquiries from well owners and other interested parties.
- Consults with state officials, local officials, contractors and drinking water well owners/users to convey and explain drinking water analytical results in the context of potential health impacts.
- Prepares highly technical, comprehensive multi-media assessments of the effects of environmental contamination on human populations to develop risk reduction strategies.
- Researches and analyzes scientific literature to develop fact sheets and pamphlets to educate the public regarding the presence of MTBE and other hazardous substances in water supplies, and actions that can be taken to limit exposure and reduce risk.
- Provides technical logistical support for the preparation of reports and presentations for use at internal and external meetings.
- Presents technical information at public meetings to educate the public regarding potential and actual health risks associated with contaminants in drinking water, and actions that can be taken to reduce risk.
- Assists in the development and maintenance of a groundwater quality sampling program and its related data base.
- Continually seeks to improve practices and to add quality and value in support of the Department's mission while identifying and eliminating unnecessary or redundant actions.

MINIMUM QUALIFICATIONS:

Education: Bachelor's degree from a recognized college or university with major study in environmental health, public health, toxicology, chemistry, biology, or a closely related biological or chemical sciences field. Each additional year of approved formal education may be substituted for one year of required work experience.

Experience: Six year's experience as an Environmentalist in a public or private organization with responsibility for program management, research, planning, soil and water sampling for laboratory analysis, and evaluation and presentation of analytical data, two years of which shall have involved supervisory duties as well as the preparation of various environmental documents, legal briefs or related research.

LICENSE/CERTIFICATION: Eligibility for a NH driver's license.

SPECIAL REQUIREMENTS: For appointment consideration, applicants must successfully participate in a structured interview measuring possession of knowledge, skills and abilities identified as necessary for satisfactory job performance by this class specification. The structured interview is developed and administered, according to Division of Personnel guidelines, by representatives of the state agency in which the vacancy exists. The incumbent must satisfactorily complete a baseline medical examination and the personal protection training required by the National Institute of Occupational Safety and Health or other federal and state agencies. Must have a working knowledge of EXCEL and POWERPOINT.

DISCLAIMER STATEMENT: The supplemental job description lists typical examples of work and is not intended to include every job duty and responsibility specific to a position. An employee may be required to perform other related duties not listed on the supplemental job description provided that such duties are characteristic of that classification.

SIGNATURES:

The above is an accurate reflection of the duties of my position.

Employee's Signature

Date Reviewed

Supervisor's Name and Title: _____ Administrator III, Position # 9T2666

The above job description accurately measures this employee's job duties.

Supervisor's Signature

Date Reviewed

Jennifer J. Elberfeld MR

10/23/13

Division of Personnel

Date Approved

SUPPLEMENTAL JOB DESCRIPTION

CLASSIFICATION: Civil Engineer VI

FUNCTION CODE: 2026 - 044

POSITION TITLE: Civil Engineer VI

DATE ESTABLISHED: 10-23-13

POSITION NUMBER: 9T2669

DATE OF LAST AMENDMENT:

SCOPE OF WORK: Manages and oversees engineering-related activity for the investigation and cleanup of methyl-t-butyl ether (MTBE) contaminated properties/sites, including public and private water supplies and contamination source properties, and provides related technical assistance to affected parties.

ACCOUNTABILITIES:

- Manages and oversees the implementation of engineering activities for achieving annual and strategic statewide goals for the investigation and cleanup of "MTBE" and other gasoline contamination.
- Directs and supervises the MTBE remediation-related work of project managers (hydrogeologists and engineers) within the Site Remediation Programs of the Waste Management Division as planned and budgeted
- Provides engineering technical expertise for design, installation, operation, and maintenance of remediation systems to remedy MTBE and other gasoline contamination, and ensure compliance with technical specifications and regulatory requirements for such systems.
- Provides engineering technical expertise for design and installation of public and private water supply systems to remedy MTBE and other gasoline contamination, and ensure compliance with technical specifications and regulatory requirements for such systems.
- Provides engineering technical expertise for design, installation, operation, and maintenance of point-of-entry treatment or other temporary systems for public and private water supplies to remedy MTBE and other gasoline ether contamination, and ensure compliance with technical specifications and regulatory requirements for such systems.
- Oversees development of plans, specifications, and bid documents, and performs bid administration and other work as necessary to implement various engineered cleanup projects. Oversees cleanup work performed by state and contaminated site-owner contractors, and supervises major engineering projects in the field to assure cleanup is completed in accordance with plans, specifications, regulations, and approved budgets.
- Communicates with local and state agency representatives, property owners, residents, federal agencies and other Department staff to coordinate various engineered cleanup work. Coordinates all public water supply work with the Drinking Water & Groundwater Bureau.
- Negotiates with industry officials, attorneys, engineers, and consultants to achieve cleanup project goals and objectives, and assure compliance with state and federal technical and regulatory requirements.
- Continuously seeks to improve practices to add quality and value in support of the Department's mission while identifying and eliminating unnecessary or redundant actions.
- Provides technical support for the development of presentation material for internal and external meetings. Participates in meetings as required.

MINIMUM QUALIFICATIONS:

Education: Master's degree from a recognized college or university with a major concentration in civil engineering, or possession of a Bachelor of Science degree from a recognized college or university with a major in civil engineering and possession of a Master of Business Administration degree from a recognized college or university.

Experience: Three years' work experience in the practice of civil engineering post Professional Engineer licensure; or seven years' post baccalaureate experience in the practice of civil engineering.

OR

Education: Possession of a Bachelor of Science degree from a recognized college or university with a major concentration in civil engineering.

Experience: Five years' work experience in the practice of civil engineering post Professional Engineer licensure, or nine years' post baccalaureate experience in the practice of civil engineering.

OR

Education: Possession of an Associate degree from a recognized college or university, with a major study in civil technology.

Experience: Seven years' work experience in the practice of civil engineering post Professional Engineer registration, or nine years' experience in the practice of civil engineering at a level equivalent to Civil Engineer I (or higher), plus two additional years' experience in a position comparable to an Engineering Technician.

LICENSE/CERTIFICATION: Must be, or within one year of employment, registration as a Professional Engineer in the State of New Hampshire. Eligibility for N.H. driver's license required for performing professional field work.

SPECIAL REQUIREMENTS: The ideal candidate will have specific experience in petroleum contamination cleanup projects in New Hampshire or other states with similar geologic conditions and experience working with EXCEL spreadsheets and POWERPOINT. For appointment consideration, applicants must successfully participate in a structured interview measuring possession of knowledge, skills and abilities identified as necessary for satisfactory job performance by this class specification.. The incumbent must satisfactorily complete a baseline medical examination and the personal protection training requirements of the Occupational Safety and Health Agency or other federal and state agencies.

DISCLAIMER STATEMENT: The supplemental job description lists typical examples of work and is not intended to include every job duty and responsibility specific to a position. An employee may be required to perform other related duties not listed on the supplemental job description provided that such duties are characteristic of that classification.

SIGNATURES:

The above is an accurate reflection of the duties of my position.

Employee's Name and Signature

Date Reviewed

Supervisor's Name and Title: Administrator IV, Position #9T2655

The above job description accurately measures this employee's job duties.

Supervisor's Signature

Date Reviewed

Jennifer J. Elberfeld
MR

10/23/13

Division of Personnel

Date Approved

THE STATE OF NEW HAMPSHIRE
INSURANCE DEPARTMENT

FIS 13 247

21 SOUTH FRUIT STREET SUITE 14
CONCORD, NEW HAMPSHIRE 03301

Roger A. Sevigny
Commissioner

Alexander K. Feldvebel
Deputy Commissioner

November 22, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Executive Council
State House
Concord, NH 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI, authorize the Insurance Department to accept and expend federal grant funds in the amount of \$2,318,181.00 for FY 2014-15 from the U.S. Department of Health and Human Services ("USDHHS") for the purpose of improving the health insurance rate review process, enhancing the quality of data collected on health insurance claims, improving the transparency of information for consumers, and enhancing the HealthCost website as a centralized location for health care price information. This action is to be effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2015.

Source of Funds is 100% Federal Funds.

2. Pursuant to RSA 124:15 authorize the Insurance Department to establish a Class 046 (Consultants) to enter into contracts for consulting services with various vendors in Account Number 02-24-24-2400-88870000 for the period of Fiscal Committee and Governor and Executive Council approval through June 30, 2015.

Funds will be budgeted as set forth in the table below. Source of funds: 100% Federal Funds.

FY 2014-2016 Health Insurance Premium Review Cycle III Grant
02-24-24-2400-88870000

| | | Fiscal Year 2014 | Fiscal Year 2015 |
|-------|-------------------------------------|----------------------|----------------------|
| Class | Description | New Appropriation | New Appropriation |
| 20 | Current Expense | \$8,500 | \$11,500 |
| 30 | Equipment | \$1,000 | \$0 |
| 41 | Audit Set Aside | \$953 | \$1,498 |
| 46 | Consultants | \$666,023 | \$1,186,167 |
| 49 | Transfer To Other State Agencies | \$0 | \$400,000 |
| 50 | Personal Services Part Time Temp | \$4,500 | \$23,400 |
| 60 | Benefits | \$350 | \$1,790 |
| 69 | Promotional (Outreach) | \$3,750 | \$5,000 |
| 70 | In-State Travel | \$1,250 | \$2,500 |
| | Total Appropriation | \$686,326 | \$1,631,855 |
| | Source of Funds | | |
| 0 | Federal Funds | \$686,326 | \$1,631,855 |

EXPLANATION

The New Hampshire Insurance Department has received a federal grant to support improving the health insurance premium rate review process and transparency related to health insurance premiums and medical care costs in New Hampshire. The Health Insurance Premium Rate Review Grant –Cycle III is made available pursuant to Public Law 111-148 (The Patient Protection and Affordable Care Act). The purpose of the grant program is to help make private health insurance more accessible and affordable and

increase the transparency of the health insurance system by providing grants to states to help them improve the health insurance rate review process. The Insurance Department currently reviews health insurance premium rates, and has been deemed to be an effective rate review state by USDHHS. We are requesting the acceptance of this grant in the amount of \$3,020,048 to allow for the continuation of the efforts to improve our health insurance rate review process during FY 2014, FY 2015 and FY2016. The balance of the grant funds in the amount of \$702,067 not contemplated to be budgeted through this action will be included in the Agency FY2016 Budget.

The following appropriation authority is being requested for Fiscal Year 2014 and 2015:

1. Class 020 – Current Expenses – FY14 - \$8,500; FY15 - \$11,500. These funds are required in order to provide general supplies to the part-time employee and outreach materials consisting of publications and pamphlets.
2. Class 030 – Equipment (new/replacement) – FY14 - \$1,000. These funds are required to purchase necessary computer systems, software, and technical support for the part-time employee, the contracted project manager and internal Department support personnel who will be overseeing and/or supplementing the contractors' efforts.
3. Class 041 – Audit set aside – FY14 - \$953; FY15 - \$1,498. These funds are required in order to meet audit costs associated with the grant program.
4. Class 046 – Consultants – FY14 - \$666,023; FY15 - \$1,186,167. These funds are required to hire consultants to perform quantitative analysis of various data sources, create futuristic model of the insurance marketplace, improve the transparency of information for consumers, and enhance the HealthCost website as a centralized location for health care price information.
5. Class 049 – Transfers to Other State Agencies – FY14 - \$0; FY15 - \$400,000. These funds are required to allow for the transfer of funds to DHHS to support NHCHIS data consolidation efforts.
6. Class 050 – Personal Services – PT Temp – FY14 - \$4,500; FY15 - \$23,400. These funds are set aside in order to pay the part time employee to administer the grant program.
7. Class 060 – Benefits – FY14 - \$350; FY15 - \$1,790. These funds are necessary for the payroll contributions for the part-time employee.
8. Class 069 – Promotional – FY14 - \$3,750; FY15 - \$5,000. These funds are required in order to rent meeting rooms for the Out Reach Program of the grant program.
9. Class 070 – In State Travel – FY14 - \$1,250; FY15 - \$2,500. These funds are required for travel related to grant administration within the state.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981:

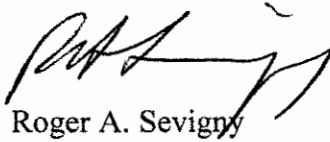
1. List of personnel involved: This federal grant will continue to fund a part time temporary position of Grants and Contracts Technician (Labor Grade 15). We are using the Supplemental Job Description that was approved by the Director of Personnel on December 1, 2010. This position is currently funded by the "Grant to Support States in Health Insurance Rate Review Grant Cycle II which ends 09/30/2014. A copy of the director's approval is attached. Upon Fiscal Committee and Governor and Executive Council approval, the Insurance Department will award contracts to various vendors and pay them from the Consultants line item.
2. Nature, need, and duration: The position of Grants and Contracts Technician will be serving as the financial records assistant, maintaining all financial records and creating requisite financial reports for the grant. Department will also employ various contractors to work on this project. Funding for this position and various consultant contracts will be good through June 30, 2015.
3. Relationship to existing agency program: The funds from this federal grant will be used to enhance Insurance Department functions related to our existing health insurance premium rate review process. Funds will be used to improve the transparency of cost drivers in the New Hampshire health insurance system by providing the means to improve current data sources and in turn the health insurance rate review process.
4. Has a similar program been requested of the legislature and denied? The nature of the work to be completed under the grant has never been requested and denied by the General Court.
5. Why wasn't funding included in the agency's budget request? The September 23, 2013 notification of the federal government's funding of this grant occurred after the 2014/2015 state budget closed.
6. Can portions of the grant funds be utilized? 100% of federal grant funds can be used to fund the personnel costs and all program costs associated with this request.
7. Estimate the funds required to continue this position(s): There will be no cost to the State of New Hampshire associated with this position or any consultants as all will terminate at the end of the grant period.

FISCAL SITUATION

| | |
|--|----------------------|
| U.S. Department of Health and Human Services Appropriation | \$3,020,248.00 |
| FY2014 Requested Appropriation | \$ 686,326.00 |
| FY2015 Requested Appropriation | \$1,631,855.00 |
| Anticipated FY16 Agency Budget Request | <u>\$ 702,067.00</u> |
| Total Appropriations | \$3,020,248.00 |

In the event that federal funds become no longer available, General Funds will not be requested to support this program. Thank you for your consideration.

Respectfully submitted,



Roger A. Sevigny

Enclosures

SUPPLEMENTAL JOB DESCRIPTION

Classification: Grants and Contracts Technician
Position Title: Bookkeeper – Grants
Position Number: 9TEMP

Function Code: 4863-024
Date Established: 12-1-10
Date of Last Amendment:

SCOPE OF WORK:

Develop and administer a combination of fiscal and technical support (including bookkeeping), for federally funded grants awarded to the New Hampshire Insurance Department. Provide fiscal management, budget development, account monitoring, analysis, and reporting; maintain financial data and personnel data entry relative to grant funded activities. 100% of this position's 20 hour work week is devoted to the implementation of the Federal Affordable Care Act. .

ACCOUNTABILITIES:

- Completes regular and ongoing systematic analysis of business processes to ensure compliance with State of New Hampshire and Federal requirements and applicable auditing standards with respect to federal grant administration.
- Implements and monitors Insurance Department and federal grant financial and administrative procedures, including monitoring and reporting on federal grant budgets and expenditures.
- Complies with federal and state requirements for internal controls to monitor cash receipts and expenditures for federal grant funds.
- Prepares various State of New Hampshire and Federal financial and business reports, related to federal grant funds.
- Ensures appropriate audit trails, and properly completes assigned State of New Hampshire and Federal financial tasks.
- Completes financial reporting and data entry into applicable systems for Federal grant fund receipts and expenditures.
- Completes accounting tasks and financial reporting procedures in compliance with State of New Hampshire and federal grant requirements.

MINIMUM QUALIFICATIONS:

EDUCATION : Associate's degree from a recognized college or technical institute with a major study in business administration, accounting, or public administration, or related field. Each additional year of approved formal education may be substituted for one year of required work experience.

EXPERIENCE: Two years' experience in responsible office or business management activities with experience in grants and/or contracts processing or review. Each additional year of approved work experience may be substituted for one year of required formal education.

LICENSE/CERTIFICATION: None required

PREFERRED QUALIFICATIONS: Experience with, and training in the Lawson System and Excel software applications. Bookkeeping or business administration involving financial recordkeeping and reporting, preferably with federal grant bookkeeping and/or financial accounting experience preferred.

DISCLAIMER STATEMENT: The supplemental job description lists typical examples of work and is not intended to include every job duty and responsibility specific to a position. An employee may be required to perform other related duties not listed on the supplemental job description provided that such duties are characteristic of that classification.

SIGNATURES:

I have reviewed the above job description with my supervisor.

Employee's Name and Signature

Date Reviewed

Supervisor's Name and Title: _____

The above supplemental job description accurately describes this employee's job responsibilities and duties.

Appointing Authority/Supervisor

Date

Jennifer J. Elberfeld MR

12-1-10

Division of Personnel

Date Approved

1. DATE ISSUED 01/23/2013 2. CEDA NO. 99.311 3. ASSISTANCE TYPE Project Grant

14. SUPERSEDES AWARD NOTICE used
 Attach the all additions to regulations or award imposed remain in effect unless specifically rescinded

4. GRANT NO. 1 PRPER140083-01-00
 Formerly

5. PROJECT PERIOD From 10/01/2011 Through 09/30/2015
 ACTION TYPE New

7. BUDGET PERIOD From 10/01/2011 Through 09/30/2015

Department of Health and Human Services
 Centers for Medicare & Medicaid Services
 Office of Acquisitions and Grants Management
 7500 Security Boulevard
 Baltimore MD 21244-1850

NOTICE OF AWARD
 AUTHORIZATION (Legislation-Regulations)
 Section 2794 of the Public Health Service Act (Section 1003 of the Affordable Care Act)

11. TITLE OF PROJECT OR PROGRAM
Grants to States to Support Health Insurance Rate Review and Increase Transparency in Health Care

12. GRANTEE PROJECT DIRECTOR
 State of New Hampshire Insurance Department
 11 S Front St
 Concord, NH 03301-2425

10. GRANTEE PROJECT DIRECTOR
 Alexander R. Feltonwell
 11 S Front St
 Concord, NH 03301-2425
 Phone: 603-271-2261

10. GRANTEE AUTHORIZING OFFICIAL
 Alexander R. Feltonwell
 11 S Front St
 Concord, NH 03301-2425
 Phone: 603-271-2261

10. FEDERAL PROJECT OFFICER
 Mr. Sarah Motian
 100 Independence Ave SW Rm 778-2
 HALL
 Washington, DC 20201-0066
 Phone: 301-452-4113

ALL AMOUNTS ARE DOLLAR VALUES

13. APPROVED BUDGET FUNDING SOURCE

13.1 Financial Assistance from the Federal Government - Grant - Other

13.2 Other Federal Funds - Grants - Other

| | |
|---------------------------------|---------------------|
| a. Salaries and Wages | 66,800.00 |
| b. fringe benefits | 3,520.00 |
| c. Total Personnel Costs | 50,380.00 |
| d. Equipment | 1,000.00 |
| e. Supplies | 1,000.00 |
| f. Travel | 5,000.00 |
| g. Construction | 0.00 |
| h. Other | 95,020.00 |
| i. Contractual | 2,927,248.00 |
| j. TOTAL DIRECT COSTS | 3,020,248.00 |
| k. INDIRECT COSTS | 0.00 |
| l. TOTAL APPROVED BUDGET | 3,020,248.00 |
| m. Federal Share | 3,020,248.00 |
| n. Non-Federal Share | 0.00 |

13.3 Amount of Federal Financial Assistance from Title 11

13.4 Less Unavailable Funds from Prior Budget Periods

13.5 Less Cumulative Prior Awards This Budget Period

13.6 AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION 3,020,248.00

13.7 Total Federal Funds Awarded to Date for Project Period 3,020,248.00

14. WE COMMENDED FUTURE SUPPORT

| YEAR | TOTAL DIRECT COSTS | YEAR | TOTAL DIRECT COSTS |
|------|--------------------|------|--------------------|
| 2 | | 6 | |
| 3 | | 7 | |
| 4 | | | |

15. PROGRAM MECHANISM USED TO DELIVER SERVICES TO BENEFICIARIES OF THE FOLLOWING INTERVENTIONS

16. THIS ACTION IS TO BE USED TO FUND THE FOLLOWING ACTIVITIES APPROVED BY THE FEDERAL AGENCY AS SET FORTH IN THE FEDERAL FUNDING NOTICE TO THE GRANTEE AND CONDITIONS APPLY TO THE GRANTEE AS SET FORTH IN THE FOLLOWING

17. THE GRANTEE IS TO BE RESPONSIBLE FOR THE FOLLOWING:

18. THE GRANTEE IS TO BE RESPONSIBLE FOR THE FOLLOWING:

REMARKS: 1) See Terms and Conditions attached. 2) 190
 Refer to the following Award Attachments: 1) Standard Terms and Conditions 2) Programmatic Terms and Conditions

GRANTEE DATA SEARCH OFFICER Michelle Feagins, Grants Management Offices

| SY | ACCOUNTING NO. | DOCUMENT NO. | ADMINISTRATIVE CODE | ART ACTION FIN ASST | APPROPRIATION |
|------|----------------|--------------|---------------------|---------------------|---------------|
| 2013 | J-8590833 | PRPER0155A | ZEP | 53,020,248.00 | 7814011C |
| 2013 | | | | | |
| 2013 | | | | | |

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 13 252

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

October 31, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

Pursuant to RSA 7:12, authorize the Department of Justice (DOJ) to accept and expend a sum not to exceed \$900,000 from funds not otherwise appropriated for the purpose of covering projected shortfalls in the general litigation expenses incurred in the defense of the State and the prosecution of criminal law effective with the approvals of the Fiscal Committee and the Governor and Council through June 30, 2014. 100% General Funds.

These funds are to be budgeted in account #02-20-20-200010-2601 entitled "Attorney General" as follows:

| <u>Class</u> | <u>Description</u> | <u>Current Budget</u> | <u>Request</u> | <u>New Budget</u> |
|--------------|--------------------|---------------------------|----------------|-----------------------|
| 233-500769 | Litigation Expense | \$350,000 | \$900,000 | \$1,250,000 |

EXPLANATION

The Department of Justice was originally appropriated a sum of \$350,000 for general litigation expenses for SFY 2014. The department currently has less than \$100,000 available with approximately \$300,000 of outstanding invoices waiting to be paid. The DOJ expended a total of \$1,975,048 for Fiscal year 2013. This request for \$900,000 is to cover anticipated expenditures through the end of State Fiscal Year 2014. As we have indicated, litigation costs are very difficult to forecast.

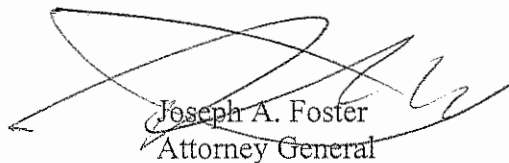
The Department is currently in the process of defending a complicated and expensive lawsuit challenging the constitutionality of the State's mental health system. There has been a substantial amount of electronic discovery as well as legal work to contest the certification of a class. To date we

have paid outside counsel over \$1.5 million and anticipate that these costs will exceed an additional \$700,000 for the remainder of this fiscal year. In addition the Department continues to incur ligation costs in the Criminal Bureau for cases that include the Addison appeal and three homicide cases that we estimate will cost in excess of \$55,000 for expert testimony and crime scene reconstruction. Other on-going cases in the Civil and Consumer Bureaus are estimated to cost in excess of \$80,000.

I would be happy to answer any questions concerning this request.

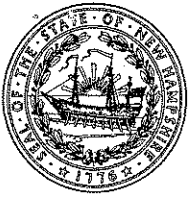
Your consideration is greatly appreciated.

Respectfully submitted,



Joseph A. Foster
Attorney General

JAF/k
#965521



STATE OF NEW HAMPSHIRE
DEPARTMENT OF CORRECTIONS
DIVISION OF ADMINISTRATION
P.O. BOX 1806
CONCORD, NH 03302-1806

FIS 13 248

William L. Wrenn
Commissioner

Bob Mullen
Director

603-271-5610 FAX: 603-271-5639
TDD Access: 1-800-735-2964

October 15, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, NH 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 144:56 Laws of 2013, the New Hampshire Department of Corrections respectfully requests permission to create a new expenditure class and to transfer \$1,032,000 within and among accounts listed on the attached detailed exhibit to reallocate appropriations and cover budget shortfalls effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2014. 100% General Funds.

Transfers are to occur from and within accounts listed on the attached detailed exhibits as follows:

| 02-46-46-461510-8360000 Security & Training | | | | |
|---|----------------------------------|-------------------------|------------------|------------------------|
| Account | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 318,105 | \$ - | \$ 318,105 |
| 011-500102 | Personal Services - Unclassified | \$ 77,181 | \$ - | \$ 77,181 |
| 018-500106 | Overtime | \$ 15,879 | \$ 30,000 | \$ 45,879 |
| 019-500105 | Holiday Pay | \$ 6,609 | \$ - | \$ 6,609 |
| 020-500200 | Current Expenses | \$ 952 | \$ - | \$ 952 |
| 022-500255 | Rents-Leases Other Than State | \$ 1,750 | \$ - | \$ 1,750 |
| 039-500188 | Telecommunications | \$ 669 | \$ - | \$ 669 |
| 050-500109 | Personal Service-Temp/Appointe | \$ 23,463 | \$ - | \$ 23,463 |
| 060-500602 | Benefits | \$ 212,204 | \$ - | \$ 212,204 |
| 070-500704 | In State Travel Reimbursement | \$ 1,216 | \$ - | \$ 1,216 |
| | Total | \$ 658,028 | \$ 30,000 | \$ 688,028 |
| | REVENUES | | | |
| | Total General Funds | \$ 658,028 | \$ 30,000 | \$ 688,028 |

| 02-46-46-462510-59290000 Professional Standards | | | | |
|---|----------------------------------|-------------------------|------------------|------------------------|
| Class | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 694,279 | \$ (12,000) | \$ 682,279 |
| 011-500102 | Personal Services - Unclassified | \$ 75,634 | \$ - | \$ 75,634 |
| 018-500106 | Overtime | \$ 22,997 | \$ - | \$ 22,997 |
| 019-500105 | Holiday Pay | \$ 2,055 | \$ - | \$ 2,055 |
| 020-500200 | Current Expenses | \$ 1,078 | \$ - | \$ 1,078 |
| 030-500331 | Equipment New/Replacement | \$ 3,194 | \$ - | \$ 3,194 |
| 050-500109 | Personal Service-Temp/Appointe | \$ - | \$ 12,000 | \$ 12,000 |
| 060-500602 | Benefits | \$ 454,707 | \$ - | \$ 454,707 |
| 070-500704 | In State Travel Reimbursement | \$ 177 | \$ - | \$ 177 |
| | Total | \$ 1,254,121 | \$ - | \$ 1,254,121 |
| | REVENUES | | | |
| | Total General Funds | \$ 1,254,121 | \$ - | \$ 1,254,121 |

| 02-46-46-463010-71030000 NHSP/M - Kitchen | | | | |
|---|---------------------------------|-------------------------|------------------|------------------------|
| Class | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 426,921 | \$ - | \$ 426,921 |
| 018-500106 | Overtime | \$ 4,169 | \$ 30,000 | \$ 34,169 |
| 019-500105 | Holiday Pay | \$ 20,078 | \$ - | \$ 20,078 |
| 020-500200 | Current Expenses | \$ 60,052 | \$ - | \$ 60,052 |
| 021-500211 | Food Institutions | \$ 1,461,554 | \$ - | \$ 1,461,554 |
| 022-500255 | Rents & Leases Other than State | \$ 483 | \$ - | \$ 483 |
| 024-500225 | Maint Other Than Build-Grn | \$ 7,256 | \$ - | \$ 7,256 |
| 030-500331 | Equipment New/Replacement | \$ 44,249 | \$ - | \$ 44,249 |
| 039-500188 | Telecommunications | \$ 1,630 | \$ - | \$ 1,630 |
| 048-500226 | Contractual Maint Build-Grn | \$ 7,833 | \$ - | \$ 7,833 |
| 060-500602 | Benefits | \$ 303,172 | \$ - | \$ 303,172 |
| | Total | \$ 2,337,397 | \$ 30,000 | \$ 2,367,397 |
| | REVENUES | | | |
| | Total General Funds | \$ 2,337,397 | \$ 30,000 | \$ 2,367,397 |

| 02-46-46-463010-71200000 NHSP/M - Security | | | | |
|--|--------------------------------|-------------------------|------------------|------------------------|
| Class | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 10,495,605 | \$ - | \$ 10,495,605 |
| 018-500106 | Overtime | \$ 2,254,370 | \$ 580,000 | \$ 2,834,370 |
| 019-500105 | Holiday Pay | \$ 447,450 | \$ - | \$ 447,450 |
| 020-500200 | Current Expenses | \$ 127,340 | \$ - | \$ 127,340 |
| 022-500255 | Rents-Leases Other Than State | \$ 8,848 | \$ - | \$ 8,848 |
| 024-500225 | Maint Other Than Build-Grn | \$ 3,712 | \$ - | \$ 3,712 |
| 030-500331 | Equipment New/Replacement | \$ 23,300 | \$ - | \$ 23,300 |
| 050-500109 | Personal Service-Temp/Appointe | \$ 23,453 | \$ - | \$ 23,453 |
| 060-500602 | Benefits | \$ 8,081,637 | \$ - | \$ 8,081,637 |
| 068-500565 | Remuneration | \$ 391,498 | \$ - | \$ 391,498 |
| 070-500704 | In State Travel Reimbursement | \$ 72,134 | \$ - | \$ 72,134 |
| 242-500787 | Transportation of Inmates | \$ 5,041 | \$ - | \$ 5,041 |
| | Total | \$ 21,934,388 | \$ 580,000 | \$ 22,514,388 |
| | REVENUES | | | |
| | Total General Funds | \$ 21,934,388 | \$ 580,000 | \$ 22,514,388 |

| 02-46-46-463010-71400000 NHSP/M - Maintenance | | | | |
|---|---------------------------------|-------------------------|------------------|------------------------|
| Class | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 519,509 | \$ - | \$ 519,509 |
| 018-500106 | Overtime | \$ 11,480 | \$ - | \$ 11,480 |
| 019-500105 | Holiday Pay | \$ 6,831 | \$ - | \$ 6,831 |
| 020-500200 | Current Expenses | \$ 186,847 | \$ - | \$ 186,847 |
| 022-500255 | Rents & Leases Other than State | \$ 3,079 | \$ - | \$ 3,079 |
| 023-500291 | Heat-Electricity-Water | \$ 2,716,501 | \$ (200,000) | \$ 2,516,501 |
| 024-500225 | Maint Other Than Build-Grn | \$ 55,124 | \$ - | \$ 55,124 |
| 030-500331 | Equipment New/Replacement | \$ 2,485 | \$ - | \$ 2,485 |
| 039-500188 | Telecommunications | \$ 5,307 | \$ - | \$ 5,307 |
| 047-500240 | Own Forces Maint Build-Grn | \$ 79,962 | \$ - | \$ 79,962 |
| 048-500226 | Contractual Maint Build-Grn | \$ 141,571 | \$ - | \$ 141,571 |
| 050-500109 | Personal Service-Temp/Appointe | \$ 23,353 | \$ - | \$ 23,353 |
| 060-500602 | Benefits | \$ 346,751 | \$ - | \$ 346,751 |
| 070-500704 | In State Travel Reimbursement | \$ 8,058 | \$ - | \$ 8,058 |
| | Total | \$ 4,106,858 | \$ (200,000) | \$ 3,906,858 |
| | REVENUES | | | |
| | Total General Funds | \$ 4,106,858 | \$ (200,000) | \$ 3,906,858 |

| 02-46-46-464510-71060000 Minimum Security Unit | | | | |
|--|-------------------------------|-------------------------|------------------|------------------------|
| Class | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 511,255 | \$ - | \$ 511,255 |
| 018-500106 | Overtime | \$ 71,002 | \$ 50,000 | \$ 121,002 |
| 019-500105 | Holiday Pay | \$ 19,693 | \$ - | \$ 19,693 |
| 020-500200 | Current Expenses | \$ 494 | \$ - | \$ 494 |
| 021-500211 | Food Institutions | \$ 158,140 | \$ - | \$ 158,140 |
| 030-500331 | Equipment New/Replacement | \$ 250 | \$ - | \$ 250 |
| 047-500240 | Own Forces Maint Build-Grn | \$ 1,500 | \$ - | \$ 1,500 |
| 048-500226 | Contractual Maint Build-Grn | \$ 11,819 | \$ - | \$ 11,819 |
| 060-500602 | Benefits | \$ 397,854 | \$ - | \$ 397,854 |
| 068-500565 | Remuneration | \$ 90,468 | \$ - | \$ 90,468 |
| 070-500704 | In State Travel Reimbursement | \$ 2,603 | \$ - | \$ 2,603 |
| | Total | \$ 1,265,078 | \$ 50,000 | \$ 1,315,078 |
| | REVENUES | | | |
| | Total General Funds | \$ 1,265,078 | \$ 50,000 | \$ 1,315,078 |

| 02-46-46-464510-71070000 North End House | | | | |
|--|---------------------------------|-------------------------|------------------|------------------------|
| Class | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 336,653 | \$ - | \$ 336,653 |
| 018-500106 | Overtime | \$ 15,258 | \$ 20,000 | \$ 35,258 |
| 019-500105 | Holiday Pay | \$ 9,356 | \$ - | \$ 9,356 |
| 020-500200 | Current Expenses | \$ 3,212 | \$ - | \$ 3,212 |
| 022-500255 | Rents & Leases Other than State | \$ 2,027 | \$ - | \$ 2,027 |
| 030-500331 | Equipment New/Replacement | \$ 2,035 | \$ - | \$ 2,035 |
| 039-500188 | Telecommunications | \$ 534 | \$ - | \$ 534 |
| 047-500240 | Own Forces Maint Build-Grn | \$ 2,158 | \$ - | \$ 2,158 |
| 048-500226 | Contractual Maint Build-Grn | \$ 12,719 | \$ - | \$ 12,719 |
| 060-500602 | Benefits | \$ 215,384 | \$ - | \$ 215,384 |
| 070-500704 | In State Travel Reimbursement | \$ 951 | \$ - | \$ 951 |
| | Total | \$ 600,287 | \$ 20,000 | \$ 620,287 |
| | REVENUES | | | |
| | Total General Funds | \$ 600,287 | \$ 20,000 | \$ 620,287 |

| 02-46-46-465010-58330000 Secure Psychiatric Unit | | | | |
|--|---------------------------------|-------------------------|------------------|------------------------|
| Class | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 1,655,181 | \$ - | \$ 1,655,181 |
| 018-500106 | Overtime | \$ 147,502 | \$ 40,000 | \$ 187,502 |
| 019-500105 | Holiday Pay | \$ 65,280 | \$ - | \$ 65,280 |
| 020-500200 | Current Expenses | \$ 18,665 | \$ - | \$ 18,665 |
| 021-500211 | Food Institutions | \$ 61,059 | \$ - | \$ 61,059 |
| 022-500255 | Rents & Leases Other than State | \$ 2,947 | \$ - | \$ 2,947 |
| 024-500225 | Maint Other Than Build-Grn | \$ 96 | \$ - | \$ 96 |
| 030-500331 | Equipment New/Replacement | \$ 6,764 | \$ - | \$ 6,764 |
| 039-500188 | Telecommunications | \$ 46,149 | \$ - | \$ 46,149 |
| 047-500240 | Own Forces Maint Build-Grn | \$ 2,434 | \$ - | \$ 2,434 |
| 060-500602 | Benefits | \$ 1,154,613 | \$ - | \$ 1,154,613 |
| 068-500565 | Remuneration | \$ 19,942 | \$ - | \$ 19,942 |
| 070-500704 | In State Travel Reimbursement | \$ 1,188 | \$ - | \$ 1,188 |
| 101-500730 | Medical Payments to Providers | \$ 18,920 | \$ - | \$ 18,920 |
| | Total | \$ 3,200,740 | \$ 40,000 | \$ 3,240,740 |
| | REVENUES | | | |
| | Total General Funds | \$ 3,200,740 | \$ 40,000 | \$ 3,240,740 |

| 02-46-46-465010-82310000 Mental Health | | | | |
|--|----------------------------------|-------------------------|------------------|------------------------|
| Class | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 748,677 | \$ - | \$ 748,677 |
| 018-500106 | Overtime | \$ 155 | \$ - | \$ 155 |
| 020-500200 | Current Expenses | \$ 222 | \$ - | \$ 222 |
| 022-500255 | Rents & Leases Other than State | \$ 1,958 | \$ - | \$ 1,958 |
| 030-500331 | Equipment New/Replacement | \$ 2,083 | \$ - | \$ 2,083 |
| 039-500188 | Telecommunications | \$ 51 | \$ - | \$ 51 |
| 049-500294 | Transfer to Other State Agencies | \$ 20,000 | \$ - | \$ 20,000 |
| 060-500602 | Benefits | \$ 426,471 | \$ - | \$ 426,471 |
| 070-500704 | In State Travel Reimbursement | \$ 1,107 | \$ - | \$ 1,107 |
| 101-500730 | Medical Payments to Providers | \$ 5,464,796 | \$ (150,000) | \$ 5,314,796 |
| | Total | \$ 6,665,520 | \$ (150,000) | \$ 6,515,520 |
| | REVENUES | | | |
| | Total General Funds | \$ 6,665,520 | \$ (150,000) | \$ 6,515,520 |

| 02-46-46-465010-82340000 Medical - Dental | | | | |
|---|----------------------------------|-------------------------|------------------|------------------------|
| Class | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 3,193,312 | \$ - | \$ 3,193,312 |
| 012-500128 | Personal Services - Unclassified | \$ 98,990 | \$ - | \$ 98,990 |
| 018-500106 | Overtime | \$ 84,415 | \$ - | \$ 84,415 |
| 019-500105 | Holiday Pay | \$ 57,008 | \$ - | \$ 57,008 |
| 020-500200 | Current Expenses | \$ 183,414 | \$ - | \$ 183,414 |
| 022-500255 | Rents & Leases Other than State | \$ 2,497 | \$ - | \$ 2,497 |
| 024-500225 | Maint Other Than Build-Grn | \$ 1,500 | \$ - | \$ 1,500 |
| 030-500331 | Equipment New/Replacement | \$ 43,561 | \$ - | \$ 43,561 |
| 039-500188 | Telecommunications | \$ 13,034 | \$ - | \$ 13,034 |
| 050-500109 | Personal Service-Temp/Appointe | \$ 80,412 | \$ - | \$ 80,412 |
| 060-500602 | Benefits | \$ 1,666,225 | \$ - | \$ 1,666,225 |
| 070-500704 | In State Travel Reimbursement | \$ 4,759 | \$ - | \$ 4,759 |
| 101-500729 | Medical Payments to Providers | \$ 5,277,718 | \$ (520,000) | \$ 4,757,718 |
| | Total | \$ 10,706,846 | \$ (520,000) | \$ 10,186,846 |
| | REVENUES | | | |
| | Total General Funds | \$ 10,706,846 | \$ (520,000) | \$ 10,186,846 |

| 02-46-46-465010-82350000 Residential Treatment Program | | | | |
|--|-------------------------------|-------------------------|------------------|------------------------|
| Class | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 1,181,640 | \$ - | \$ 1,181,640 |
| 018-500106 | Overtime | \$ 84,356 | \$ 40,000 | \$ 124,356 |
| 019-500105 | Holiday Pay | \$ 45,223 | \$ - | \$ 45,223 |
| 020-500200 | Current Expenses | \$ 15,040 | \$ - | \$ 15,040 |
| 030-500331 | Equipment New/Replacement | \$ 422 | \$ - | \$ 422 |
| 039-500188 | Telecommunications | \$ 349 | \$ - | \$ 349 |
| 060-500602 | Benefits | \$ 773,189 | \$ - | \$ 773,189 |
| 070-500704 | In State Travel Reimbursement | \$ 1,628 | \$ - | \$ 1,628 |
| | Total | \$ 2,101,847 | \$ 40,000 | \$ 2,141,847 |
| | REVENUES | | | |
| | Total General Funds | \$ 2,101,847 | \$ 40,000 | \$ 2,141,847 |

| 02-46-46-465010-82360000 Pharmacy | | | | |
|-----------------------------------|---------------------------------|-------------------------|------------------|------------------------|
| Class | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 523,735 | \$ - | \$ 523,735 |
| 018-500106 | Overtime | \$ 8,303 | \$ - | \$ 8,303 |
| 019-500105 | Holiday Pay | \$ 9,893 | \$ - | \$ 9,893 |
| 020-500200 | Current Expenses | \$ 32,550 | \$ 20,000 | \$ 52,550 |
| 022-500255 | Rents & Leases Other than State | \$ 11,771 | \$ - | \$ 11,771 |
| 030-500331 | Equipment New/Replacement | \$ 419 | \$ - | \$ 419 |
| 039-500188 | Telecommunications | \$ 524 | \$ - | \$ 524 |
| 060-500602 | Benefits | \$ 263,798 | \$ - | \$ 263,798 |
| 070-500704 | In State Travel Reimbursement | \$ 284 | \$ - | \$ 284 |
| 100-500726 | Prescription Drug Expenses | \$ 1,700,784 | \$ - | \$ 1,700,784 |
| | Total | \$ 2,552,061 | \$ 20,000 | \$ 2,572,061 |
| | REVENUES | | | |
| | Total General Funds | \$ 2,552,061 | \$ 20,000 | \$ 2,572,061 |

| 02-46-46-466010-71110000 NHSP/W - Prison for Women | | | | |
|--|----------------------------------|-------------------------|------------------|------------------------|
| Class | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 1,214,833 | \$ - | \$ 1,214,833 |
| 011-500102 | Personal Services - Unclassified | \$ 85,117 | \$ - | \$ 85,117 |
| 018-500106 | Overtime | \$ 113,853 | \$ 40,000 | \$ 153,853 |
| 019-500105 | Holiday Pay | \$ 42,815 | \$ - | \$ 42,815 |
| 020-500200 | Current Expenses | \$ 50,611 | \$ - | \$ 50,611 |
| 021-500211 | Food Institutions | \$ 122,489 | \$ - | \$ 122,489 |
| 022-500255 | Rents & Leases Other than State | \$ 237,764 | \$ - | \$ 237,764 |
| 023-500291 | Heat-Electricity-Water | \$ 218,400 | \$ - | \$ 218,400 |
| 024-500225 | Maint Other Than Build-Grn | \$ 10,392 | \$ - | \$ 10,392 |
| 030-500331 | Equipment New/Replacement | \$ 17,644 | \$ - | \$ 17,644 |
| 039-500188 | Telecommunications | \$ 8,784 | \$ - | \$ 8,784 |
| 047-500240 | Own Forces Maint Build-Grn | \$ 5,766 | \$ - | \$ 5,766 |
| 048-500226 | Contractual Maint Build-Grn | \$ 51,518 | \$ - | \$ 51,518 |
| 050-500109 | Personal Service-Temp/Appointe | \$ 32,298 | \$ - | \$ 32,298 |
| 060-500602 | Benefits | \$ 842,014 | \$ - | \$ 842,014 |
| 068-500565 | Remuneration | \$ 63,699 | \$ - | \$ 63,699 |
| 070-500704 | In State Travel Reimbursement | \$ 5,375 | \$ - | \$ 5,375 |
| 102-500731 | Contracts for Program Services | \$ 547,500 | \$ - | \$ 547,500 |
| | Total | \$ 3,670,872 | \$ 40,000 | \$ 3,710,872 |
| | REVENUES | | | |
| | Total General Funds | \$ 3,670,872 | \$ 40,000 | \$ 3,710,872 |

| 02-46-46-468010-8250000 Berlin Prison (NCF) | | | | |
|---|----------------------------------|-------------------------|------------------|------------------------|
| Class | Description | Current Modified Budget | Requested Action | Current Revised Budget |
| 010-500100 | Personal Services - Permanent | \$ 5,555,835 | \$ - | \$ 5,555,835 |
| 011-500102 | Personal Services - Unclassified | \$ 95,501 | \$ - | \$ 95,501 |
| 018-500106 | Overtime | \$ 485,256 | \$ 170,000 | \$ 655,256 |
| 019-500105 | Holiday Pay | \$ 187,356 | \$ - | \$ 187,356 |
| 020-500200 | Current Expenses | \$ 292,493 | \$ - | \$ 292,493 |
| 021-500211 | Food Institutions | \$ 634,833 | \$ - | \$ 634,833 |
| 022-500255 | Rents & Leases Other than State | \$ 8,939 | \$ - | \$ 8,939 |
| 023-500291 | Heat-Electricity-Water | \$ 1,252,269 | \$ (150,000) | \$ 1,102,269 |
| 024-500225 | Maint Other Than Build-Grn | \$ 5,735 | \$ - | \$ 5,735 |
| 030-500331 | Equipment New/Replacement | \$ 61,324 | \$ - | \$ 61,324 |
| 039-500188 | Telecommunications | \$ 68,888 | \$ - | \$ 68,888 |
| 047-500240 | Own Forces Maint Build-Grn | \$ 57,327 | \$ - | \$ 57,327 |
| 048-500226 | Contractual Maint Build-Grn | \$ 241,307 | \$ - | \$ 241,307 |
| 050-500109 | Personal Service-Temp/Appointe | \$ 32,549 | \$ - | \$ 32,549 |
| 060-500602 | Benefits | \$ 4,010,440 | \$ - | \$ 4,010,440 |
| 068-500565 | Remuneration | \$ 186,526 | \$ - | \$ 186,526 |
| 070-500704 | In State Travel Reimbursement | \$ 48,888 | \$ - | \$ 48,888 |
| | Total | \$ 13,225,467 | \$ 20,000 | \$ 13,245,467 |
| | REVENUES | | | |
| | Total General Funds | \$ 13,225,467 | \$ 20,000 | \$ 13,245,467 |

EXPLANATION

The Department requests to create a new expenditure class and to transfer \$12,000 of appropriated funds from Class 010 Personal Services – Permanent to Class 050 Personal Services – Temporary in order to reallocate resources within the Bureau of Professional Standards. The requested transfer would allow the Department to replace a full-time position dedicated to adjudicating inmate rule violations with a part-time position.

The Department also requests to transfer \$650,000 of appropriated funds from Class 101 Medical Payments to Providers and \$350,000 from Class 023 Heat, Electricity and Water to Class 018 Overtime; and \$20,000 from Class 101 Medical Payments to Providers to Class 020 Current Expenses in order to match appropriations to expenditures.

During the Agency phase of the SFY 2014 – 2015 Budget, the Department requested \$4,916,546 be appropriated for Class 018 Overtime. However, in the Governor's Recommended Budget, the Department's request was reduced by \$1,459,898 to its current level of \$3,456,648. Current projections estimate that SFY 2014 overtime expenditures will exceed appropriations by approximately \$2,900,000. This request will help close the gap between appropriations and expenditures. The Department expects to submit another request to transfer additional appropriations later in the fiscal year.

The transfer of appropriation of \$20,000 from Class 101 Medical Payments to Providers to Class 020 Current Expenses is necessary as it appears the Department under-budgeted this expenditure class line.

The following information is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions.

A. Does transfer involve continuing program or one-time projects?

These transfers involve continuing programs.

B. Is this transfer required to maintain existing program level or will it increase the program level?

All transfers listed will be used to maintain existing program levels.

C. Cite any requirements that make this program mandatory.

The requested action to transfer appropriations to Class 050 Personal Services – Temporary is required to maintain institutional security by providing the staffing necessary to maintain a system to hear and adjudicate inmate rule violations occurring at Departmental facilities.

The requested action to transfer appropriations to Class 018 Overtime is required to maintain institutional security by providing additional overtime funds in order to maintain minimum staffing levels at Departmental facilities.

D. Identify the source of the funds on all accounts listed on this transfer.

All accounts listed are 100% General Funds.

E. Will there be any effect on revenue if this transfer is approved or disapproved?

There will not be any effect on revenue.

F. Are funds expected to lapse if this transfer is not approved?

If the requested action to transfer appropriations to Class 050 Personal Services – Temporary is not approved, general funds will not lapse as the Department will pursue filling the vacant full-time position instead.

If the requested action to transfer appropriations to Class 018 Overtime is not approved, general funds will lapse at the end of the fiscal year. However, if this transfer is not approved, the Department will close SFY 2014 with a negative balance in many Overtime class lines as expenditures are necessary in order to maintain minimum staffing levels.

G. Are personnel services involved?

Yes.

H-1: Number of positions budgeted in each account (Full-time Classified)

12

H-2: Number of positions filled in each account (Full-time Classified)

9

H-3: Reason for vacant positions.

Retirement

H-4: Have any positions been transferred previously in these accounts?

No

H-5: Will any positions be transferred as a result of this request?

No

Respectfully Submitted,



William L. Wrenn

Commissioner

CHAPTER 144
HB 2-FN-A-LOCAL - FINAL VERSION
- Page 18 -

1 provider assessments.

2 (b) Evaluating state provider assessment programs in other states to obtain knowledge
3 of various approaches utilized in other states.

4 (c) Increasing the predictability of future annual Medicaid enhancement tax revenues,
5 taking into consideration the Medicaid enhancement tax revenue trends of recent years.

6 (d) Simplifying the Medicaid enhancement tax liability calculation.

7 (e) Obtaining input on how the Medicaid enhancement tax statute should be amended to
8 ensure accurate and consistent application of state and federal requirements.

9 V. The members of the commission shall elect a chairperson from among the legislative
10 members. The first meeting of the commission shall be called by the first-named house member.
11 The first meeting of the commission shall be held within 45 days of the effective date of this section.
12 Six members of the commission shall constitute a quorum.

13 VI. The commission shall report its findings and any recommendations for proposed
14 legislation to the speaker of the house of representatives, the president of the senate, the house
15 clerk, the senate clerk, the governor, and the state library on or before November 1, 2013.

16 144:54 Repeal. RSA 84-A:13, relative to the Medicaid enhancement tax study commission, is
17 repealed.

18 144:55 Department of Corrections; Authority to Fill Unfunded Positions. Notwithstanding any
19 provision of law to the contrary, the commissioner of corrections may fill unfunded positions during
20 the biennium ending June 30, 2015, provided that the total expenditure for such positions shall not
21 exceed the amount appropriated for personal services, permanent, and personal services,
22 unclassified.

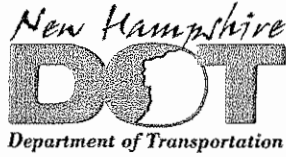
23 144:56 Department of Corrections; Transfers. Notwithstanding any provision of law to the
24 contrary, and subject to approval of the fiscal committee of the general court and governor and
25 council, for the biennium ending June 30, 2015, the commissioner of the department of corrections is
26 authorized to transfer funds within and among all accounting units within the department and to
27 create accounting units and expenditure classes as required and as the commissioner deems
28 necessary and appropriate to address present or projected budget deficits, or to respond to changes in
29 federal law, regulations, or programs, and otherwise as necessary for the efficient management of
30 the department.

31 144:57 Child Protection Act; Liability of Expenses. Amend RSA 169-C:27, I(f) to read as follows:

32 (f) ~~Neither~~ *Notwithstanding any provision of law to the contrary*, the department
33 ~~nor the judicial council~~ shall have ~~authority~~ *no responsibility* for the payment of the cost of
34 assigned counsel for any party under this chapter.

35 144:58 Adequate Representation Costs. Amend RSA 604-A:1-a to read as follows:

36 604-A:1-a Neglected or Abused Children. In cases involving a neglected or abused child, when a



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



CHRISTOPHER D. CLEMENT, SR.
COMMISSIONER

JEFF BRILLHART, P.E.
ASSISTANT COMMISSIONER

November 05, 2013
Division of Operations

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to Chapter 144:95, L2013, authorize the Department of Transportation to transfer \$260,450 between Highway Fund accounts and classes effective upon Fiscal Committee and the date of Governor and Council approval through June 30, 2014.

| 04-096-096-960515-3005 | Current Budget FY2014 | Requested Change | Revised Budget FY2014 |
|---|-----------------------------|---------------------|-----------------------------|
| Mechanical Services | | | |
| Expenses: | | | |
| 010 500100 Personal Services – Permanent | \$3,298,690 | \$0 | \$3,298,690 |
| 017 500147 FT Employees Special Pay | 20,160 | 0 | 20,160 |
| 018 500106 Overtime | 54,999 | 0 | 54,999 |
| 019 500105 Holiday pay | 1,801 | 0 | 1,801 |
| 020 500200 Current Expense | 8,315,604 | (61,000) | 8,254,604 |
| 022 500255 Rents-Leases Other than State | 26,618 | 0 | 26,618 |
| 023 500291 Heat, Electricity, Water | 54,182 | 0 | 54,182 |
| 024 500225 Maint. Other than Bldg-Grounds | 108,761 | 61,000 | 169,761 |
| 026 500251 Organizational Dues | 500 | 0 | 500 |
| 028 500292 Transfer to General Services | 315,358 | 0 | 315,358 |
| 030 500301 Equipment New Replacement | 4,887,331 | 0 | 4,887,331 |
| 037 500174 Technology-Hardware | 43,098 | 0 | 43,098 |
| 038 500175 Technology-Software | 85,000 | 0 | 85,000 |
| 039 500180 Telecommunications | 34,484 | 0 | 34,484 |
| 046 500463 Consultants | 199 | 0 | 199 |
| 047 500240 Own Forces Maint Bldgs & Grnds | 20,231 | 0 | 20,231 |

| | | | |
|--|--------------|-----|--------------|
| 048 500226 Contractual Maint Bldgs & Grnds | 7,000 | 0 | 7,000 |
| 050 500109 Personal Service Temp | 199 | 0 | 199 |
| 057 500535 Books Periodicals Subscriptions | 813 | 0 | 813 |
| 060 500601 Benefits | 2,102,829 | 0 | 2,102,829 |
| 066 500543 Employee Training | 50,400 | 0 | 50,400 |
| 070 500704 In-State Travel Reimbursement | 1,500 | 0 | 1,500 |
| 080 500710 Out of State Travel | 4,900 | 0 | 4,900 |
| 103 500103 Contracts for Op Services | 17,730 | 0 | 17,730 |
| Total | \$19,452,387 | \$0 | \$19,452,387 |
| Source of Funds | | | |
| Revenue: | | | |
| 004 403631 Intra Agency Transfer | \$630,752 | \$0 | \$630,752 |
| 009 405698 Agency Income | 327,441 | 0 | 327,441 |
| 000 000015 Highway Fund | 18,494,194 | 0 | 18,494,194 |
| Total | \$19,452,387 | \$0 | \$19,452,387 |

| 04-096-096-960515-3009 | Current Budget FY 2014 | Requested Change | Revised Budget FY2014 |
|---|------------------------|------------------|-----------------------|
| Traffic Bureau | | | |
| Expenses: | | | |
| 010 500100 Personal Services – Perm | \$2,730,953 | \$0 | \$2,730,953 |
| 017 500147 FT Employees Special Payments | 4,198 | 0 | 4,198 |
| 018 500106 Overtime | 310,000 | 0 | 310,000 |
| 019 500105 Holiday Pay | 501 | 0 | 501 |
| 020 500200 Current Expense | 4,209,425 | (20,450) | 4,188,975 |
| 022 500255 Rents-Leases Other than State | 8,773 | 450 | 9,223 |
| 023 500291 Heat Electricity Water | 275,940 | 0 | 275,940 |
| 024 500225 Maint Other than Build-Grn | 56,700 | 0 | 56,700 |
| 030 500311 Equipment New Replacement | 83,362 | 0 | 83,362 |
| 037 500174 Technology- Hardware | 10,000 | 0 | 10,000 |
| 038 500175 Technology-Software | 500 | 0 | 500 |
| 039 500180 Telecommunications | 28,650 | 0 | 28,650 |
| 047 500240 Own Forces Maint Build-Grn | 9,000 | 0 | 9,000 |
| 048 500226 Contractual Maint Build-Grn | 137,371 | 0 | 137,371 |
| 050 500109 Personal Services – Temp | 40,000 | 50,000 | 90,000 |
| 057 500535 Books Periodicals Subscription | 199 | 0 | 199 |
| 059 500117 Temp Full Time | 40,000 | (30,000) | 10,000 |
| 060 500601 Benefits | 1,654,554 | 0 | 1,654,554 |
| 066 500543 Employee Training | 7,200 | 0 | 7,200 |
| 070 500704 In-State Travel Reimbursement | 41,000 | 0 | 41,000 |
| 103 500103 Contracts for Op Services | 66,000 | 0 | 66,000 |
| Total | \$9,714,326 | \$0 | \$9,714,326 |

| Source of Funds | | | |
|-----------------------------------|-------------|-----|-------------|
| Revenue: | | | |
| 000-409151 Federal Funds | \$4,236,493 | \$0 | \$4,236,493 |
| 004-403630 Intra Agency Transfers | 440,000 | 0 | 440,000 |
| 007-402193 Agency Income | 26,700 | 0 | 26,700 |
| 009-405699 Agency Income | 160,513 | 0 | 160,513 |
| 000-000015 Highway Funds | 4,850,620 | 0 | 4,850,620 |
| Total | \$9,714,326 | \$0 | \$9,714,326 |

| 04-096-096-960515-3031 | Current Budget FY2014 | Requested Change | Revised Budget FY2014 |
|--|------------------------------|-------------------------|------------------------------|
| Reimbursable Maintenance & Repair | | | |
| Expenses: | | | |
| 018 500106 Overtime | \$800,000 | 0 | 800,000 |
| 019 500105 Holiday Pay | 1,000 | 11,000 | 12,000 |
| 020 500200 Current Expense | 1,050,271 | 0 | 1,050,271 |
| 022 500255 Rents-Leases Other than State | 1,000,000 | 0 | 1,000,000 |
| 024 500225 Maint. Other than Bldg-Grounds | 20,000 | 0 | 20,000 |
| 033 509033 Land Acquisition and Easement | 50,000 | 0 | 50,000 |
| 046 500463 Consultants | 122,000 | 0 | 122,000 |
| 050 500109 Personal Service Temp | 60,000 | 0 | 60,000 |
| 060 500601 Benefits | 24,567 | 138,000 | 162,567 |
| 070 500704 In-State Travel Reimbursement | 50,000 | 0 | 50,000 |
| 400 500871 Construction Repair Material | 4,137,678 | (149,000) | 3,988,678 |
| Total | \$7,315,516 | \$0 | \$7,315,516 |
| Source of Funds | | | |
| Revenue: | | | |
| 000 409151 Federal Funds | \$5,555,567 | \$0 | \$5,555,567 |
| 005 402596 Private Local Funds | 1,759,949 | 0 | 1,759,949 |
| Total | \$7,315,516 | \$0 | \$7,315,516 |

EXPLANATION

The Department requests authorization to transfer budgeted account funds among the various accounts in order to pay for services to accomplish and meet the State's transportation demands. Specific explanations relating to the Department's spending requests are as follows:

Mechanical Services (3005) – 3.24% Intra Agency Transfer, 1.68% Agency Income, 95.08% Highway Funds

Class 020 Decrease Current Expense by \$61,000 to align appropriation to correct class for three inspection and repair service contracts for lifts and cranes that were originally budgeted as a current expense.

Class 024 Increase Maintenance Other than Building and Grounds by \$61,000 to align appropriation to correct class for three inspection and repair service contracts for lifts and cranes that were originally budgeted as a current expense.

Traffic Bureau (3009) 43.61% Federal Funds; 49.93% Highway Funds; 4.53% Intra-Agency Transfers and 1.93% Agency Income

Class 020 Decrease Current Expenses by \$20,450. This amount is available due to the reduction in pavement marking paint prices.

Class 022 Increase Rents-Leases Other than State by \$450 to account for a projected shortfall due to an unanticipated increase in cost for the rental of winter salt/sand spreaders for the Bureau of Traffic maintenance facility.

Class 050 Increase Personal Services - Temporary by \$50,000. Traffic Bureau budgets both class 050 (non-benefitted) and 059 (benefitted). For FY 2014, the Bureau had experienced less temporary employees qualifying for benefits and this request reflects the need to transfer. No additional positions are created only current approved positions are being utilized.

Class 059 Decrease Temp Full Time by \$30,000. More class 050 personnel were used in FY14 than estimated for budget.

Reimbursable Maintenance and Repairs (3031) 75.94% Federal Funds; 24.06% Private Local Funds

Class 019 Increase Holiday Pay by \$11,000 to cover emergency response from severe rain storms on July 4, 2013 around the State.

Class 060 Increase Benefits by \$138,000 to cover anticipated benefit costs not budgeted at sufficient level to cover overtime and holiday pay appropriations.

Class 400 Decrease Construction Repair Material by \$149,000 to cover emergency response on July 4, 2013 and benefit costs to align appropriations with emergency response needs.

The following is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

1. Does transfer involve continuing programs or one-time projects?
Transfers are for continuing programs (not one-time).
2. Is this transfer required to maintain existing program level or will it increase program level?
Transfers are to maintain existing program levels (no increase in program level).
3. Cite any requirements, which make this program necessary.

RSA 21-L:2, in part, establishes that the Department will be responsible for planning, developing, and maintaining a state transportation network. This transfer will facilitate the accomplishment of this responsibility.

4. Identify the source of funds on all accounts listed on this transfer.
Source of funds is Intra Agency Transfer, Agency Income, Private Local Funds, Federal Funds and Highway Funds.
5. Will there be any effect on revenue if this transfer is approved or disapproved?
This transfer will have no effect on revenue.
6. Are funds expected to lapse if this transfer is not approved?
Should funds lapse as a result of not approving this request for project funding, such funds will lapse to their respective account fund balances.
7. Are personal services involved?
This transfer is a result of increased use of current temporary employees to complete pavement marking program. No new positions will be created with this transfer.

Your approval of this resolution is respectfully requested.

Sincerely,



Christopher D. Clement, Sr.
Commissioner



LINDA M. HODGDON
Commissioner
(603) 271-3201

State of New Hampshire

DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

JOSEPH B. BOUCHARD
Assistant Commissioner
(603) 271-3204

November 22, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Requested Action

Pursuant to Laws 2013, Ch. 144:177, authorize the Department of Administrative Services (DAS), Risk Management Unit, to change the health plan design, dental plan design and cost sharing amounts, and the level of life insurance of unrepresented active state employees who participate in the State Employee Health Plan with an effective date of January 1, 2014.

Explanation

Pursuant to Laws 2013, Ch. 144:177, "the fiscal committee of the general court may approve changes to the above plan design cost sharing provisions consistent with RSA 21-I:30, I." RSA 21-I:30 describes in general terms the State's obligation to pay for the benefits made available to state employees, their spouses and dependents within the funds appropriated during each legislative session. Accordingly, the State Employee Health Plan seeks the Committee's approval, so that the recently negotiated changes to the health, dental and life insurance benefits may also be applied to all unrepresented active state employees.

The requested changes will result in the savings that will be used, in part, to fund the raises provided to employees in the FY 14/15 budget. By approving these changes the same health plan design, dental plan design and cost sharing amounts, and the level of life insurance recently negotiated by three of the four state employee labor unions would also apply to all unrepresented active state employees.

Benefit summaries detailing the new plan designs of HMO Plan A, POS Plan A, Dental Plan A, and Life Insurance Plan A are attached with material changes highlighted. The HMO and POS plans introduce a \$500 per person in-network deductible (\$750 per family in CY2014/\$1,000 in 2015) and a Site-of-Service program that participants can utilize to limit application of the deductible to certain services. Some additional changes include enhanced chiropractic, vision exam, and adult hearing aid coverage. The dental plan design increases the annual benefit limitation from \$1,200 to \$1,500 and enhances certain preventive services and implant coverage. The level of life insurance coverage paid by the State will increase from \$20,000 to \$25,000. Additional items not detailed in the attached benefits summaries include:

- Dental Plan per pay period cost sharing:
 - Employee Only = \$1
 - Employee + 1 = \$2
 - Family = \$3
- Healthy Rewards: A voluntary employee incentive program that offers taxable cash payments up to \$300 per employee per year to employees who participate in health promotion activities and programs

These changes, upon approval of this Committee, will be implemented on January 1, 2014 and are anticipated to provide savings to offset the cost of providing raises to the state employees participating in these new plan designs.

I am available to address any questions or arrange to provide additional information that the Committee may seek. Thank you.

Respectfully Submitted,



Linda M. Hodgdon
Commissioner

Enclosures

HMO Plan A Benefit Summary

POS Plan A Benefit Summary

Dental Plan A Benefit Summary

Life Insurance Plan A Benefit Summary

**Network Health Plan Effective January 1, 2014
Active Employees HMO Plan A**

| Service Received | Employee Share of the Cost |
|---|---------------------------------|
| These services MUST be provided by or referred by your Primary Care Provider (PCP). | |
| Preventive Care <ul style="list-style-type: none"> • Immunization (including travel), lead screening, PSA (prostate screening) • Routine physical exam and well baby care • Routine hearing screening • Routine prenatal and postpartum care • Preventive colonoscopy • Family planning See "Other Services" for additional Preventive Care information | No Charge |
| Office Visit <ul style="list-style-type: none"> • Medical Exam, office surgery | \$15 PCP /\$30 Specialist Copay |
| Other Outpatient Care <ul style="list-style-type: none"> • Short term rehabilitative therapy- physical, occupational, cardiac or speech (unlimited) • Allergy treatment and injections | \$15 Copay |
| <ul style="list-style-type: none"> • Surgery – Outpatient department of a hospital (non-site of service location) • Lab – Outpatient department of a hospital (non-site of service location) • CT scan and MRI, x-ray and ultrasound | Deductible applies |
| Site of Service <ul style="list-style-type: none"> • Surgery rendered at independent Ambulatory Surgery Center • Lab rendered at an independent facility | No Charge |
| Inpatient Care (as a bed patient in an acute care hospital) <ul style="list-style-type: none"> • Semi-private room and board • Physician in-hospital care, surgery, anesthesia, lab, X-ray, CT scan, MRI, medical supplies, medication and physical, occupational and speech therapy • Maternity care-delivery | Deductible applies |
| Skilled Nursing Facility and Rehabilitation Facility Care (limited to 100 days combined per member, per calendar year) | |
| Durable Medical Equipment (DME) and External Prosthetic Devices (unlimited) | No Charge |
| These services DO NOT require a PCP referral as long as you use designated network providers. | |
| Other Services <ul style="list-style-type: none"> • Routine vision exam (one exam every calendar year) • Chiropractic visit (limited to 24 visits per member per calendar year) • Infertility office visits (tests, counseling) • Treatment for surgical and non-surgical TMJ (excluding appliances and orthodontic treatment) • OB/GYN care – Well Women exam annually | No Charge |
| <ul style="list-style-type: none"> • Mammogram and pap smear • Hearing aids – Birth to age 18; 19 and over hearing aid maximum of \$1500 for each ear every 60 months • Nutritional Counseling (if billed as an office visit, service will be subject to an office visit co-pay) | No Charge |

**Network Health Plan Effective January 1, 2014
Active Employees HMO Plan A**

| These services DO NOT require a PCP referral for medical emergencies as defined by your Benefit Booklet. | |
|---|---|
| Hospital Emergency Room (ER)/ Urgent Care Facility <ul style="list-style-type: none"> • ER charge (copayment waived if admitted) • Urgent Care • Walk In Center • ER physician fee, lab, medical supplies | <p align="center">\$100 Copay \$50 Copay \$30 Copay No Charge</p> |
| Ambulance (medically necessary emergency transport only) | No Charge |
| For these services no PCP referral is required, but ALL care must be authorized in advance by the Behavioral Health Administrator | |
| Mental Health (MH) <ul style="list-style-type: none"> • Outpatient services <ul style="list-style-type: none"> - Individual Therapy - Intensive Outpatient Treatment Program (IOP) - Group Therapy | <p align="center">\$15 Copay No Charge</p> |
| <ul style="list-style-type: none"> • Inpatient services <ul style="list-style-type: none"> - Inpatient - Partial Hospitalization Program (PHP) | Deductible applies |
| Substance Abuse (SA) <ul style="list-style-type: none"> • Outpatient services <ul style="list-style-type: none"> - Individual Therapy - Intensive Outpatient Treatment Program (IOP) - Group Therapy | <p align="center">\$15 Copay No Charge</p> |
| <ul style="list-style-type: none"> • Inpatient services <ul style="list-style-type: none"> - Inpatient (including medical detox/floatation & SA rehabilitation) - Partial Hospitalization Program (PHP) | Deductible applies |
| Deductible Maximum (For Covered medical costs) | |
| <ul style="list-style-type: none"> • \$500 per member no more than \$750 per family per calendar year (2014); \$1,000 per family (2015 and beyond) | |
| Co-Pay Maximum (For covered medical costs) | |
| <ul style="list-style-type: none"> • Individual Out-of-Pocket Maximum • Family Out-of-Pocket Maximum | <p align="center">\$500 per member per calendar year \$1,000 per family per calendar year</p> |
| Lifetime Dollar Limit | |
| Unlimited | |
| Other | |
| <ul style="list-style-type: none"> • **Health Education Reimbursement: \$150 per family per calendar year • **Fitness Equipment Reimbursement: \$200 per employee per calendar year <u>OR</u> Health Club Benefit: \$450 per employee per calendar year* • Eyewear benefits: \$100 every two years per family member (Includes eyeglasses (frames and lenses) and contact lenses). <p align="center">*Married State Employees. If two state employees are married, each employee is entitled to receive the Fitness Equipment Reimbursement OR the Health Club Benefit per calendar year. **This is a taxable benefit</p> | |

**Network Health Plan Effective January 1, 2014
Active Employees HMO Plan A**

| Prescription Drugs | | |
|--|--|---|
| | Retail Pharmacy | Mail Service Pharmacy |
| Employee Share of the Cost | <ul style="list-style-type: none"> - \$10 for each generic medication - \$25 for each preferred brand-name medication - \$40 for each non-preferred brand-name medication | <ul style="list-style-type: none"> - \$1 for each generic medication - \$40 for each preferred brand-name medication - \$70 for each non-preferred brand-name medication |
| Days Supply Limit | Up to a 31-day supply | Up to a 90-day supply |
| Maximums (for covered prescription costs) | | |
| <ul style="list-style-type: none"> • \$750 per individual per calendar year • \$1,500 per family per calendar year | | |
| Other | | |
| <ul style="list-style-type: none"> • Mandatory Mail Order (for Maintenance Drugs after three (3) retail purchases per prescription, with employee opt out. • Exclusive Specialty Pharmacy • Quantity Limits | | <ul style="list-style-type: none"> • Mandatory Generic Substitution with DAW 2 (i.e., the only exception is physician ordered "Dispense as Written") • Traditional Generic Step Therapy • Pharmacy Adviser |

-end-

**Point of Service Health Plan Effective January 1, 2014
Active Employees POS Plan A**

| Service Received | Employee Share of the Cost | |
|--|--------------------------------|---|
| | In-Network Benefits | Out-Of-Network Benefits (OON) |
| Preventive Care | | |
| • Immunization (including travel), lead screening, PSA (prostate screening) | No Charge | Covered up to MAB |
| • Routine physical exam and well baby care • Routine hearing screening • Routine prenatal and postpartum care • Preventive colonoscopy • Family planning <i>See "Other Services" for additional Preventive Care Information</i> | No Charge | |
| Office Visit | \$15 PCP/\$30 Specialist Copay | |
| • Medical exam, office surgery | | |
| Other Outpatient Care | \$15 Copay | |
| • Allergy treatments and injections • Short term rehabilitative therapy- physical, occupational, cardiac or speech (<i>unlimited</i>) • Surgery – Outpatient department of a hospital (<i>non-site of service location</i>) | | Subject to deductible and coinsurance: Individual: \$1,000 deductible per member per calendar year and 20% coinsurance up to \$2,000 per member |
| • Lab – Outpatient department of a hospital (<i>non-site of service location</i>) | In-Network deductible applies | |
| • CT scan and MRI, x-ray and ultrasound | | |
| Site of Service Surgery rendered at Independent Ambulatory Surgery Center Lab rendered at an independent facility | No Charge | |
| Inpatient Care (as a bed patient in an acute care hospital) | | Family: \$2,000 per family per calendar year and 20% coinsurance up to \$4,000 per family per calendar year |
| • Semi-private room and board • Physician in-hospital care, surgery, anesthesia, lab, X-ray, CT scan, MRI, medical supplies, medication and physical, occupational and speech therapy • Maternity care-delivery | In-Network deductible applies | |
| Skilled Nursing Facility and Rehabilitation Facility Care | | Some self referred benefits are subject to pre-authorization requirements. Refer to your Benefit Booklet for details. |
| • (<i>Limited to 90 days combined maximum per member per calendar year</i>) | | |
| Other Services | | |
| • Routine vision exam (<i>one exam every calendar year</i>) | No Charge | |
| • Chiropractic visit (<i>24 visit maximum per member per calendar year</i>) | \$15 Copay | |
| • Infertility (<i>tests, counseling</i>) • Treatment for surgical and non-surgical TMJ (<i>excluding appliances and orthodontic treatments</i>) | \$30 Copay | |
| • Hearing aids – Birth to age 18; 19 and over hearing aid maximum of \$1,500 for each ear every 60 months • Nutritional Counseling – (<i>if billed as an office visit, service will be subject to an office visit co-pay</i>) | No Charge | |
| • OB/GYN care – Well Women Exam Annually | | |
| • Mammogram and pap smear | No Charge | Covered up to MAB |

**Point of Service Health Plan Effective January 1, 2014
Active Employees POS Plan A**

| Service Received | | Employee Share of the Cost | |
|--|--------------------------------------|--------------------------------------|---|
| Hospital Emergency Room (ER)/ Urgent Care Facility | | | |
| • ER charge (copayment waived if admitted) | | \$100 Copay | \$100 Copay |
| • Urgent Care | | \$50 Copay | \$50 Copay |
| • Walk In Center | | \$30 Copay | Deductible and Coinsurance apply |
| • ER physician fee, lab, medical supplies | | No Charge | No Charge |
| Ambulance (medically necessary emergency transport only) | | No Charge | No Charge |
| Durable Medical Equipment (DME) and External Prosthetic Devices (unlimited) | | No Charge | Deductible and Coinsurance apply |
| For these services no PCP referral is required, but ALL care must be authorized in advance by the Behavioral Health Administrator | | | |
| Mental Health (MH) | | In-Network Benefits | Out-of-Network Benefits |
| • Outpatient services | | | Individual: \$1,000 deductible per member per calendar year and 20% coinsurance up to \$2,000 per member Family: \$2,000 per family per calendar year and 20% coinsurance up to \$4,000 per family per calendar year Some self referred benefits are subject to precertification requirements. Refer to your Benefit Booklet for details. |
| - Individual Therapy | | \$15 Copay | |
| - Intensive Outpatient Treatment Program (IOP) | | | |
| - Group Therapy | | No Charge | |
| • Inpatient services | | In-Network deductible applies | |
| - Inpatient | | | |
| - Partial Hospitalization Program (PHP) | | | |
| Substance Abuse (SA) | | | |
| • Outpatient services | | | Some self referred benefits are subject to precertification requirements. Refer to your Benefit Booklet for details. |
| - Individual Therapy | | \$15 Copay | |
| - Intensive Outpatient Treatment Program (IOP) | | | |
| - Group Therapy | | No Charge | |
| • Inpatient services | | In-Network deductible applies | |
| - Inpatient (Including medical detoxification & SA rehabilitation) | | | |
| - Partial Hospitalization Program (PHP) | | | |
| In-Network Deductible Maximum (For covered medical costs) | | | |
| • \$500 per member no more than \$750 per family per calendar year (2014); \$1,000 per family (2015 and beyond) | | | |
| Co-Pay/OON Maximums (For covered medical costs) | | | |
| | In-Network Benefits | Out-of-Network Benefits | |
| • Individual Out-Of-Pocket Maximum | \$500 per member per calendar year | \$1,000 per member per calendar year | |
| • Family Out-of-Pocket Maximum | \$1,000 per family per calendar year | \$6,000 per family per calendar year | |
| Lifetime Dollar Limit | | | |
| Unlimited | | | |

**Point of Service Health Plan Effective January 1, 2014
Active Employees POS Plan A**

| | | |
|---|---|---|
| Other | | |
| <ul style="list-style-type: none"> • Health Education Reimbursement: \$150 per family per calendar year** • Fitness Equipment Reimbursement or Health Club Benefit: N/A • Eyewear benefits: N/A <p align="center">**This is a taxable benefit.</p> | | |
| Prescription Drugs | | |
| | Retail Pharmacy | Mail Service Pharmacy |
| Employee Share of the Cost | <ul style="list-style-type: none"> - \$10 for each generic medication - \$25 for each preferred brand-name medication - \$40 for each non-preferred brand-name medication | <ul style="list-style-type: none"> - \$1 for each generic medication - \$40 for each preferred brand-name medication - \$70 for each non-preferred brand-name medication |
| Days Supply Limit | Up to a 31-day supply | Up to a 90-day supply |
| Maximums (for covered prescription costs) | | |
| <ul style="list-style-type: none"> • \$750 per individual per calendar year • \$1,500 per family per calendar year | | |
| Other | | |
| <ul style="list-style-type: none"> • Mandatory Mail Order (for Maintenance Drugs after three (3) retail purchases per prescription, with employee opt out. • Exclusive Specialty Pharmacy • Quantity Limits | <ul style="list-style-type: none"> • Mandatory Generic Substitution with DAW 2 (i.e., the only exception is physician ordered "Dispense as Written") • Traditional Generic Step Therapy • Pharmacy Adviser | |

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Delta Dental PPO & Delta Dental Premier National Networks

This chart represents the level of coverage for services performed by dentists who participate in the Delta Dental PPO and Delta Dental Premier National Networks. Employees and their eligible dependents are free to visit any dentist, participating or nonparticipating. Visit our Web site at www.nedelta.com for an updated list of participating dentists. This chart is provided for summary purposes only; certain benefit limitations may apply. Please refer to your Dental Plan Description (DPD) booklet for complete benefit information. In the event of a conflict or discrepancy between this benefit chart and either the Group Contract or the DPD, the Group Contract or DPD will prevail.

State of New Hampshire #1776
Plan A

| Diagnostic/Preventive Coverage A | Basic Restorative Coverage B | Major Restorative Coverage C | Orthodontics Coverage D |
|--|---|--|--|
| Deductible: None | | Deductible: \$25 Per Person/Calendar Year** | Deductible: None |
| *Covered at 100% | *Covered at 80% | *Covered at 50% | *Covered at 50% |
| <p>Diagnostic: Evaluations – twice in a calendar year</p> <p>X-rays: Complete series or panoramic film, once in a 3-year period; bitewings, twice in a calendar year; x-rays of individual teeth as needed</p> <p>Oral cancer screening- once in a 12-month period</p> <p>Preventive: Cleanings – three times in a calendar year</p> <p>Fluoride twice in a calendar year to age 19</p> <p>Space maintainers to age 16</p> <p>Sealant application to carries-free permanent molar, once in a three year period per tooth for children to age 20</p> | <p>Basic Restorative: Amalgam (silver) fillings; Composite (white) fillings (anterior teeth only)</p> <p>Oral Surgery: Surgical and routine extractions</p> <p>Endodontics: Root canal therapy</p> <p>Periodontics: Periodontal cleaning (maintenance procedures) Note: Three cleanings are covered in a calendar year. This can be routine, (Preventive) or Periodontal (Basic) but not both.</p> <p>Treatment of gum disease</p> <p>Clinical crown lengthening – once per lifetime per site</p> <p>Denture Repair: Repair of a removable denture to its original condition</p> <p>Rebase and reline (dentures)</p> <p>Emergency Palliative Treatment</p> | <p>Major Restorative: Removable and fixed partial dentures (bridge)</p> <p>Complete dentures</p> <p>Restorative Crowns</p> <p>Onlays</p> <p><u>Implants</u></p> <p>**Any expense incurred during the last 3 months of a calendar year which is applied against an individual's deductible will also reduce his/her deductible for the next calendar year.</p> | <p>Orthodontics: Correction of (crooked) teeth for adults and eligible children</p> |
| Calendar Year Maximum: \$1,500 per person (Coverages A, B, and C combined) | | | Lifetime Maximum: \$1,200 |

*Benefit percentages shown are based upon the actual charge submitted to a maximum of the participating dentist's approved fees, or Northeast Delta Dental's allowance for non-participating dentists.

Delta Dental PPO and Delta Dental Premier National Network Dentists

You'll get the best dollar value from your program when you receive your dental care from a Delta Dental PPO participating dentist. Delta Dental PPO dentists generally accept lower fees for services. You may also choose to visit a dentist who participates in the larger, Delta Dental Premier network and still enjoy savings. Nearly 3 out of 4 dentists in the country participate in the Delta Dental Premier network.

The benefits of seeing a participating provider include:

- ▲ **No Balance Billing:** Because participating dentists accept Delta Dental's maximum allowable amount for service, you will normally pay less when you visit a participating dentist.
- ▲ **Less Paperwork:** Participating dentists will prepare and submit claims for you.
- ▲ **Direct payment:** Northeast Delta Dental pays the dentist directly, so you don't have to pay the covered amount up-front and wait for a reimbursement check.

To find out if your dentist is part of the Delta Dental PPO and Delta Dental Premier national network, call your dentist or visit our Web site at www.nedelta.com and click on National Dentist Directory. You can also call our Customer Service department at 800-832-5700 or 603-223-1234.

Claim Process for Delta Dental Premier and Delta Dental PPO Dentists

- ▲ Present your ID card to the dentist at the time of your visit.
- ▲ The dentist will submit your claim to Northeast Delta Dental.
- ▲ Northeast Delta Dental will send you an Explanation of Benefits detailing what has been processed under your program coverage. You are responsible to pay any remaining balance directly to the dentist as defined by the plan.

Non-Participating/Out of Network Dentists

Your dental plan also provides coverage for care received from providers who do not participate in a Delta Dental network; however, your out-of-pocket costs may be more. Delta Dental makes payment to non-participating dentists at their actual fee to Delta Dental's maximum allowable payment. If you utilize the services of a non-participating dentist whose fees are higher than the allowable fees, you will be responsible for the difference between what Delta Dental allows and what your non-participating dentist charges. The Explanation of Benefits and claim payment will go directly to you, unless the state in which the treatment was performed recognizes assignment of benefit. In this case the payment can be directed to the dentist.

Coordination of Benefits

When a covered individual is covered under another healthcare program, the Coordination of Benefits provision described in your

Dental Plan Description booklet will determine the sequence and extent of payment. If you have any questions, please contact our Customer Service department at 1-800-832-5700 or 603-223-1234.

Except for services resulting from an accident, Delta Dental is the primary payor for all covered oral surgery procedures for eligible participants in the medical HMO plan. Balances may be sent to the medical carrier for consideration. The medical carrier is the primary payor for all covered oral surgery procedures for eligible participants enrolled in the medical POS plan. Delta Dental will not consider balances for payment when the medical carrier is the primary payor for oral surgery.

Identification Card

Two identification cards from Delta Dental will be produced and distributed shortly after your enrollment. Both cards are issued in the subscriber's name, but can be used by every one covered under the program.

Dental Plan Description Booklet

A copy of the Dental Plan Description booklet is posted on the State's website. If you do not have access to a computer, please see your Human Resources representative. This booklet describes the benefits of your program and tells you how to use your plan. Please read it carefully to understand the benefits and provisions of our Northeast Delta Dental program. If you have any questions, please contact Northeast Delta Dental's Customer Service department.

Who is Eligible?

All eligible employees and their dependents, defined as:
Spouse (and their eligible dependents): dependent children to age 26.

Claims Information

- ▲ All claims must be submitted within two years.
- ▲ Ask your dentist to submit a pre-treatment estimate to Delta Dental for any procedure involving costly or extensive treatment plans. This will enable us to help you estimate any out-of-pocket expenses you may incur.
- ▲ If a claim is denied, you can request an appeal by writing to Delta Dental within six months of receiving your Notification of Benefits form. Send appeals to Northeast Delta Dental, PO Box 2002, Concord, NH 03302-2002. Consult your Dental Plan Description booklet for further details.

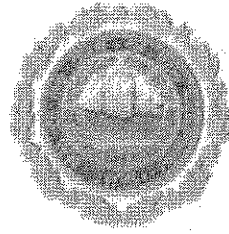
Where to Get More Information

If you have further questions, please contact the Northeast Delta Dental Customer Service department at 1-800-832-5700 or, 603-223-1234. This information should be used only as a guideline for your dental benefits program. For detailed information on your group's terms, conditions, limitations, exclusions and guarantees, please refer to your Dental Plan Description booklet.



DELTA DENTAL
Northeast Delta Dental
One Delta Drive
P.O. Box 2002
Concord, NH 03302-2002
www.nedelta.com

Your Anthem Life Benefits – as of 1/1/2014



Created for: The State of New Hampshire Employees – Plan A Basic Life & Accidental Death and Dismemberment (AD&D), Supplemental Life & AD&D, and Dependent Life

Feel confident in knowing that your family is protected with Anthem Life's Basic Group Term Life, AD&D, Supplemental Life & AD&D, and Dependent Life Insurance. Please review your benefit certificate for specific plan details, eligibility definitions, limitations and exclusions.

| Basic Group Term Life and Dependent Child Life Insurance | |
|---|--|
| The first \$25,000 of Basic Group Term Life Insurance will be paid for by The State of New Hampshire. <i>*Premiums for amounts in excess of \$25,000 as well as the premiums for AD&D and Child coverage will be payroll deducted from your wages.</i> | You may select: Plan 1 - \$25,000 Plan 2 - \$25,000 + \$20,000 AD&D* Plan 3 - \$30,000* Plan 4 - \$30,000 + \$25,000 AD&D* Plan 5 - \$25,000 + \$20,000 AD&D + \$3,000 Per Child* Plan 6 - \$30,000 + \$25,000 AD&D + \$3,000 Per Child* |
| Employee Supplemental Life and AD&D Insurance | |
| Supplemental Term Life gives you the option of purchasing additional term life and AD&D coverage at attractive group rates. | You may select amounts of \$25,000, \$50,000, \$75,000, or \$100,000 in Supplemental Life and AD&D for yourself. |
| Dependent Child Life Insurance | |
| Select plans 5 or 6 to include \$3,000 of life insurance for your children. | Dependent child life insurance covers all children between the ages of 15 days and 28 years. |
| Dependent Spouse Supplemental Life and AD&D Insurance | |
| The dependent supplemental insurance plan gives you the option of purchasing additional term life and AD&D coverage for your spouse at attractive group rates. | You may select spouse coverage of \$10,000 without AD&D. You may also select spouse supplemental life and AD&D amounts of \$25,000, \$50,000, \$75,000, or \$100,000. |
| Benefit Reduction | |
| Your life and AD&D insurance benefits are reduced at age 70. They will reduce according to the following schedule. | Benefits reduce 50% at age 70 for Plan 8 ONLY. There is no reduction in Benefits for Plans 1-7. Benefits terminate at retirement for Plans 1-6 and at Age 70 for Plan 7. |
| Termination of Insurance Benefits | |
| All of your coverage terminates at retirement or termination of your employment. Spouse coverage terminates the earlier of employee retirement, termination or spouse age 70. | You may have options to convert your Group life insurance to an individual whole life insurance policy when benefits terminate. <i>(Consult your certificate of coverage for specifics)</i> |
| Additional Coverage Features | |
| Living Benefit - Life | Should you be diagnosed as terminally ill with less than 12 months to live, you can request up to half of your basic term life benefit in a lump sum prior to death. The benefit paid to your beneficiary after your death will then be reduced by the living benefit amount requested. Living benefits are subject to a maximum of \$100,000. |
| Waiver of Premium - Life | If you become totally disabled and unable to work prior to age 60, Anthem Life will continue your life insurance coverage without further premium payments after the first 6 months, until age 65 or retirement, whichever occurs first. |
| Travel Assistance - Life | Your Group Benefits Plan with Anthem Life includes Travel Assistance coverage that offers you 24 hour emergency assistance during medical emergencies. You also have 24-hour access to a website that offers pre-departure assistance and information about your destination. |
| Resource Advisor - Life | Anthem Life's Resource Advisor offers you crisis management coverage at no additional cost. You have access to 24/7 telephonic consultation and referral services, web site resources, and up to 3 visits with a mental health professional or legal and/or financial professional. |

| | |
|---|--|
| Seat belt - AD&D | Anthem Life will pay an additional benefit to the AD&D benefit amount, up to the lesser of 10% or \$15,000, if you die in an automobile accident while wearing a seat belt. |
| Airbag - AD&D | Anthem Life will pay an additional benefit to the AD&D benefit amount, up to the lesser of 10% or \$10,000, if you die in an automobile accident while wearing a seat belt and the airbag deploys. |
| Repatriation - AD&D | Anthem Life will pay an additional benefit to the AD&D benefit of up to \$6,000 for expenses related to the transportation and preparation if you die in an accident more than 75 miles away from your home. |
| Education - AD&D | Anthem Life will pay an additional benefit to the AD&D benefit of the lesser of 1 1/4 % or \$3,500 per academic term for each child enrolled in post-secondary education up to a maximum of \$20,000. |
| Portability - Employee Supplemental Life | If you terminate your employment, you have the option to continue your coverage until age 70 by simply paying premiums to Anthem Life. Premiums may differ from your current program. |
| Conversion - Life | If you terminate employment, you may have the option to convert your group life insurance coverage to an individual whole life policy with rates based on your age at the time of conversion. |

PLAN DESCRIPTIONS AND EMPLOYEE PAYROLL DEDUCTION PREMIUMS (If Bi-Weekly)

| | PLAN 1 | PLAN 2 | PLAN 3 | PLAN 4 | PLAN 5 | PLAN 6 |
|---|-----------|-----------|-----------|-----------|-----------|-----------|
| LIFE (State Paid) | \$ 25,000 | \$ 25,000 | \$ 25,000 | \$ 25,000 | \$ 25,000 | \$ 25,000 |
| ADDITIONAL LIFE (Employee Paid) | | | \$ 5,000 | \$ 5,000 | | \$ 5,000 |
| AD&D (Employee Paid) Accidental Death & Dismemberment | | \$ 20,000 | | \$ 28,000 | \$ 20,000 | \$ 25,000 |
| PER CHILD (Employee Paid) 15 days to 28 yrs | | | | | \$ 3,000 | \$ 3,000 |
| BI-WEEKLY DEDUCTION | \$ - | \$ 0.17 | \$ 0.10 | \$ 0.31 | \$ 0.38 | \$ 0.62 |

ADDITIONAL PLANS - BARELY AHEAD

| PRIVATE (SINGLE) | | MARRIED - Life coverage for both spouses | | | | |
|---------------------|---------------------|--|--------------------------------|--------------------------------|--------------------------------|----------------------------------|
| Coverage: | \$10,000 Life | Coverage: | \$25,000 Life \$25,000 AD&D | \$50,000 Life \$50,000 AD&D | \$75,000 Life \$75,000 AD&D | \$100,000 Life \$100,000 AD&D |
| If spouse's age is: | Bi-Weekly Deduction | If age is: | Bi-Weekly Deduction | Bi-Weekly Deduction | Bi-Weekly Deduction | Bi-Weekly Deduction |
| less than 30 | \$1.81 | less than 30 | \$1.14 | \$2.28 | \$3.43 | \$4.57 |
| 30-34 | \$1.15 | 30-34 | \$1.25 | \$2.49 | \$3.74 | \$4.98 |
| 35-39 | \$1.64 | 35-39 | \$1.56 | \$3.12 | \$4.67 | \$6.23 |
| 40-44 | \$2.70 | 40-44 | \$2.49 | \$4.95 | \$7.45 | \$9.97 |
| 45-49 | \$3.47 | 45-49 | \$3.83 | \$7.08 | \$10.69 | \$14.12 |
| 50-54 | \$5.08 | 50-54 | \$5.92 | \$11.84 | \$17.76 | \$23.68 |
| 55-59 | \$6.68 | 55-59 | \$10.28 | \$20.56 | \$30.84 | \$41.12 |
| 60-64 | \$10.84 | 60-64 | \$12.15 | \$24.30 | \$36.45 | \$48.60 |
| 65-69 | \$10.84 | 65+ | \$18.38 | \$36.76 | \$55.14 | \$72.52 |

Spouse coverage not available over age 70

Other payroll deduction options are available based on your payroll deduction frequency.

*The rates above cover all children ages 15 days to age 26. *Spouse coverage is based on spouse age. If spouse age is not available or provided, benefits will be based on employee age.

This benefit description is intended to be a brief outline of benefits available. It does not include all of the terms of coverage offered by Anthem Life. The entire terms are contained in the contract documents (the applicable Certificate, Policy, and/or Trust Agreement). In the event of conflict between the contract documents and this benefits description, the contract documents will prevail. Products may vary, and may not be available in all states. This information describes Anthem Life's standard programs. Other options may be available upon request and approval by Anthem Life. Exclusions and limitations are listed in the optional brochure for this product.

Life and disability products underwritten by Anthem Life Insurance Company, an independent licensee of the State of New Hampshire and Blue Cross of New Hampshire. Member of the Blue Cross and Blue Shield Association.





FIS 13 263

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State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

RICHARD J. MAHONEY, CPA
Director, Audit Division
(603) 271-2785

November 14, 2013

Representative Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Dear Representative Wallner:

Pursuant to Chapter 143:1, Laws of 2013 approval by the Fiscal Committee is requested for benefits as provided for classified employees, for employees of the Office of Legislative Budget Assistant. Proposed benefit changes for classified employees have been accepted by the State Employees' Association's (SEA) Collective Bargaining Senate as a tentative agreement, and the agreement has been sent to the full SEA membership for a vote with a recommendation to approve. The proposed benefit structure includes changes to the health plan design, dental plan design, and cost sharing amounts, as well as the level of life insurance for employees. The effective date will be January 1, 2014. The changes are summarized on Attachment A.

Please let me know if you or any members of the committee have any questions.

Sincerely,

Michael W. Kane
Deputy Legislative Budget Assistant

MWK/ttm
Attachment

Attachment A - Summary of Changes to Fringe Benefits for Classified Employees

| MEDICAL PLAN | |
|---|---|
| Deductibles | New \$500 deductibles for services that do not already have co-payments (\$500 individual annual maximum, and \$750 family maximum in calendar year 2014 increasing to \$1,000 in calendar year 2015). |
| Co-Pays | No changes to office visit, prescription, urgent care visit, and emergency room visit co-payments. Walk in Center visit co-payment specified at \$30. |
| Coverage Changes | New hearing aid benefit for adults of \$1,500 per ear every 5 years. Also new routine eye exams annually. |
| Site of Service | Site of Service Program expanded to more sites. Additional resource of identified providers that will offer services at lower costs, to a degree that deductibles for those services may be waived entirely. |
| Utilization of Cost Effective Providers (Compass SmartShopper) | Resource of identified providers that offers services at lower costs, to a degree that the employee can earn a rebate check, some up to \$500. |
| Health Reimbursement Arrangement | Health Reimbursement Arrangement for completing Health Risk Appraisal expands use to include paying for vision and glasses/contacts. |
| Health Promotion Program | Full-time and part-time employees that subscribe to the health plan can complete wellness activities to receive incentives. Incentive funds will be deposited into an employee's health reimbursement arrangement and remain in the account until December 31, 2015. Funds can be used for medical and drug co-payments, deductibles incurred, vision care, and glasses/contacts. |
| Health Benefit Savings Incentive Payments | All full-time and part-time employee subscribers in the health plan will receive a lump sum Health Benefit Savings Incentive Payment of \$300 on January 1, 2014 and January 1, 2015. Payments will be included in the employee's paycheck. |
| DENTAL PLAN | |
| Working Rates- Employee Contribution | Employees choosing dental coverage will pay working rates per paycheck of employee (\$1), employee +1 (\$2), or family (\$3). |
| Annual Maximum Coverage | Annual per person maximum coverage increased from \$1,200 to \$1,500. |
| Coverage Changes | Cleanings increased from 2 to 3 per year per person. For children, fluoride treatments increased from 1 to 2 per year. Sealant coverage expanded to adults. New dental implant coverage. |
| LIFE INSURANCE | |
| Policy | Increases group term life insurance for full-time employees from \$20,000 to \$25,000. |

| Item # | Meeting | Department | Chapter / RSA Reference | General | Federal | Other | Total | Positions Established | | Comments |
|--|---------|---|-------------------------|---------|-------------------|------------------|-------------------|-----------------------|-----------|---|
| | | | | | | | | Full-Time | Part-Time | |
| Note: This summary does not include additional federal American Recovery and Reinvestment Act (ARRA) revenues. | | | | | | | | | | |
| FISCAL YEAR 2014 | | | | | | | | | | |
| 13-165 | July'13 | Adjutant General's Department | RSA 14:30-a, VI | - | 585,000 | - | 585,000 | - | - | |
| | | Adjutant General's Department Total | | - | 585,000 | - | 585,000 | - | - | |
| 13-163 | July'13 | Agriculture, Markets and Food, Department of | RSA 14:30-a, VI | - | 156,342 | - | 156,342 | - | - | |
| | | Agriculture, Markets and Food, Department of Total | | - | 156,342 | - | 156,342 | - | - | |
| 13-192 | July'13 | Energy and Planning | RSA 14:30-a, VI | - | - | 200,000 | 200,000 | - | - | |
| 13-223 | Oct'13 | Energy and Planning | RSA 14:30-a, VI | - | - | 200,000 | 200,000 | - | - | |
| | | Energy and Planning Total | | - | - | 400,000 | 400,000 | - | - | |
| 13-224 | Oct'13 | DHHS - Division of Community Based Care | RSA 14:30-a, VI | - | 353,203 | - | 353,203 | - | - | |
| | | DHHS - Division of Community Based Care Total | | - | 353,203 | - | 353,203 | - | - | |
| 13-232 | Oct'13 | DHHS - Division of Public Health Services | RSA 14:30-a, VI | - | 168,941 | - | 168,941 | - | - | |
| 13-233 | Oct'13 | DHHS - Division of Public Health Services | RSA 14:30-a, VI | - | 219,533 | - | 219,533 | - | - | |
| | | DHHS - Division of Public Health Services Total | | - | 388,474 | - | 388,474 | - | - | |
| 13-186 | July'13 | Justice, Department of | RSA 14:30-a, VI | - | 91,014 | - | 91,014 | - | - | |
| | | Justice, Department of Total | | - | 91,014 | - | 91,014 | - | - | |
| 13-167 | July'13 | Resources & Economic Development | RSA 14:30-a, VI | - | 84,772 | - | 84,772 | - | - | |
| 13-170 | Sept'13 | Resources & Economic Development | RSA 14:30-a, VI | - | 3,009,272 | - | 3,009,272 | - | - | |
| 13-200 | Sept'13 | Resources & Economic Development | RSA 14:30-a, VI | - | 205,800 | - | 205,800 | - | - | |
| | | Resources & Economic Development Total | | - | 3,299,844 | - | 3,299,844 | - | - | |
| 13-177 | Sept'13 | Safety, Department of | RSA 14:30-a, VI | - | 9,543,746 | - | 9,543,746 | - | - | |
| 13-178 | Sept'13 | Safety, Department of | RSA 14:30-a, VI | - | 3,271,590 | - | 3,271,590 | - | - | |
| 13-179 | Sept'13 | Safety, Department of | RSA 14:30-a, VI | - | 532,223 | - | 532,223 | - | - | |
| 13-180 | Sept'13 | Safety, Department of | RSA 14:30-a, VI | - | 811,162 | - | 811,162 | - | - | |
| 13-181 | Sept'13 | Safety, Department of | RSA 14:30-a, VI | - | 5,636,571 | - | 5,636,571 | - | - | |
| 13-188 | Sept'13 | Safety, Department of | RSA 14:30-a, VI | - | 982,455 | - | 982,455 | - | - | |
| 13-189 | Sept'13 | Safety, Department of | RSA 14:30-a, VI | - | 284,000 | - | 284,000 | - | - | |
| 13-217 | Oct'13 | Safety, Department of | RSA 14:30-a, VI | - | 7,500,000 | - | 7,500,000 | - | - | |
| 13-225 | Oct'13 | Safety, Department of | RSA 14:30-a, VI | - | 360,599 | - | 360,599 | - | - | Provides approval for establishing consultant positions |
| 13-236 | Oct'13 | Safety, Department of | RSA 14:30-a, VI | - | 202,241 | - | 202,241 | - | - | |
| | | Safety, Department of Total | | - | 29,124,587 | - | 29,124,587 | - | - | |
| 13-194 | Sept'13 | Transportation, Department of | RSA 14:30-a, VI | - | - | 887,233 | 887,233 | - | - | |
| | | Transportation, Department of Total | | - | - | 887,233 | 887,233 | - | - | |
| | | FY 2014 Total | | - | 33,998,464 | 1,287,233 | 35,285,697 | - | - | |

LBAO
10/24/13

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|-------------------------|----------|---|-------------------------|------------|---------|------------|---|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| FISCAL YEAR 2009 | | | | | | | | | | | |
| 09-124 | April'09 | Education, Department of | RSA 14:30-a, VI | 50,000 | - | 50,000 | | - | - | | - |
| 09-130 | April'09 | Education, Department of | RSA 14:30-a, VI | 50,000 | - | 50,000 | | - | - | | - |
| 09-131 | April'09 | Education, Department of | RSA 14:30-a, VI | 1,000,000 | - | 1,000,000 | | - | - | | - |
| 09-135 | April'09 | Education, Department of | RSA 14:30-a, VI | 1,001,406 | - | 1,001,406 | | - | 1 | 12/31/2011 | 1,001 |
| 09-187 | May'09 | Education, Department of | RSA 14:30-a, VI | 50,000 | - | 50,000 | | - | - | | - |
| 09-188 | May'09 | Education, Department of | RSA 14:30-a, VI | - | 224,945 | 224,945 | funds received from Labor-Workforce Opportunity Council | 1 | 1 | 6/30/2011 | - |
| | | Education, Department of Total | | 2,151,406 | 224,945 | 2,376,351 | | 1 | 2 | | 1,001 |
| 09-230 | Jun'09 | Employment Security, Office of | RSA 14:30-a, VI | 2,242,944 | - | 2,242,944 | FIS 11-088 reallocates funds; FIS 10-159 extended position end dates from 6/30/10 to 6/30/11 | 6 | - | 6/30/2011 | 2,243 |
| 09-231 | Jun'09 | Employment Security, Office of | RSA 14:30-a, VI | 1,617,171 | - | 1,617,171 | FIS 10-160 extended position end dates from 6/30/10 to 9/30/10 | 14 | 9 | 9/30/2010 | 1,617 |
| | | Employment Security, Office of Total | | 3,860,115 | - | 3,860,115 | | 20 | 9 | | 3,860 |
| 09-095 | April'09 | Environmental Services, Department of | RSA 14:30-a, VI | 1,730,000 | - | 1,730,000 | | - | - | | 1,730 |
| 09-162 | May'09 | Environmental Services, Department of | RSA 14:30-a, VI | 1,286,000 | - | 1,286,000 | FIS 11-120 reallocates funds | - | - | | 1,286 |
| 09-164 | May'09 | Environmental Services, Department of | RSA 14:30-a, VI | 395,600 | - | 395,600 | | - | - | | 395 |
| 09-198 | Jun'09 | Environmental Services, Department of | RSA 14:30-a, VI | 39,163,900 | - | 39,163,900 | reallocates funds | - | 3 | 12/31/2013 | 39,164 |
| 09-199 | Jun'09 | Environmental Services, Department of | RSA 14:30-a, VI | 19,500,000 | - | 19,500,000 | FIS 11-009 reallocates \$136,786 for better utilization of funds, FIS 12-100 reallocates \$14,700 for better utilization of funds | - | 2 | 10/1/2015 | 19,500 |
| | | Environmental Services, Department of Total | | 62,075,500 | - | 62,075,500 | | - | 5 | | 62,075 |
| 09-148 | May'09 | DHHS-Division for Children, Youth & Families | Ch 263:28, II, L'07 | 1,201,200 | - | 1,201,200 | | - | - | | 1,200 |
| 09-185 | May'09 | DHHS-Division for Children, Youth & Families | Ch 263:28, II, L'07 | 2,236,379 | - | 2,236,379 | | - | - | | 2,234 |
| | | DHHS-Division for Children, Youth & Families Total | | 3,437,579 | - | 3,437,579 | | - | - | | 3,434 |
| 09-139 | May'09 | DHHS-Division of Community-Based Care | Ch 263:28, II, L'07 | 634,394 | - | 634,394 | | - | - | | 634 |
| 09-140 | May'09 | DHHS-Division of Community-Based Care | Ch 263:28, II, L'07 | 452,034 | - | 452,034 | | - | - | | 452 |
| 09-145 | May'09 | DHHS-Division of Community-Based Care | Ch 263:28, II, L'07 | 282,159 | - | 282,159 | | - | - | | 282 |
| 09-146 | May'09 | DHHS-Division of Community-Based Care | Ch 263:28, II, L'07 | 6,584,636 | - | 6,584,636 | | - | - | | 6,585 |
| 09-147 | May'09 | DHHS-Division of Community-Based Care | Ch 263:28, II, L'07 | 433,645 | - | 433,645 | | - | - | | 434 |
| 09-186 | May'09 | DHHS-Division of Community-Based Care | Ch 263:28, II, L'07 | 4,270,736 | - | 4,270,736 | | - | - | | 4,271 |
| 09-193 | May'09 | DHHS-Division of Community-Based Care | Ch 263:28, II, L'07 | 20,613,070 | - | 20,613,070 | | - | - | | 19,540 |
| | | DHHS-Division of Community-Based Care Total | | 33,270,674 | - | 33,270,674 | | - | - | | 32,197 |
| 09-189 | May'09 | DHHS-Medicaid and Business Policy | Ch 263:28, II, L'07 | 16,068,204 | - | 16,068,204 | | - | - | | 16,054 |
| 09-190 | May'09 | DHHS-Medicaid and Business Policy | Ch 263:28, II, L'07 | 4,308,123 | - | 4,308,123 | | - | - | | 4,304 |

LBAO
10/24/13

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|-------------------------|-------------------|--|-------------------------|----------------|------------|----------------|---|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| 09-191 | May'09 | DHHS-Medicaid and Business Policy | Ch 263:28,II, L'07 | 111,277 | - | 111,277 | | - | - | | 111 |
| | | DHHS-Medicaid and Business Policy Total | | 20,487,604 | - | 20,487,604 | | - | - | | 20,469 |
| 09-111 | April'09 | Labor, Department of | RSA 14:30-a, VI | 925,806 | - | 925,806 | | - | - | | 926 |
| 09-112 | April'09 | Labor, Department of | RSA 14:30-a, VI | 1,876,488 | - | 1,876,488 | | - | - | | 1,876 |
| 09-113 | April'09 | Labor, Department of | RSA 14:30-a, VI | 2,188,517 | - | 2,188,517 | | - | - | | 2,188 |
| 09-114 | April'09 | Labor, Department of | RSA 14:30-a, VI | 101,044 | - | 101,044 | | - | - | | 101 |
| | | Labor, Department of Total | | 5,091,855 | - | 5,091,855 | | - | - | | 5,091 |
| 09-240 | Jun'09 | Office of Economic Stimulus | RSA 14:30-a, VI | 15,700,000 | - | 15,700,000 | | 5 | - | 9/30/2011 | 15,700 |
| 09-353 | Oct'09 | Office of Economic Stimulus | RSA 14:30-a, VI | 18,366,514 | - | 18,366,514 | | - | - | | - |
| | | Office of Economic Stimulus Total | | 34,066,514 | - | 34,066,514 | | 5 | - | | 15,700 |
| 09-088 | March'09 | Office of Energy & Planning | RSA 14:30-a, VI | 23,218,594 | - | 23,218,594 | FIS 12-254 reallocates \$431,550 between class lines; FIS 13-141 reallocates 41,885 for period ending 9/30/2013 | - | - | | 23,219 |
| 09-182 | May'09 | Office of Energy & Planning | RSA 14:30-a, VI | 47,232 | - | 47,232 | | 2 | - | 4/30/2012 | 47 |
| 10-204 | Jun'10 | Office of Energy & Planning | RSA 14:30-a, VI | (18,022,101) | - | (18,022,101) | | - | - | | (21,260) |
| | | Office of Energy & Planning Total | | 5,243,725 | - | 5,243,725 | | 2 | - | | 2,006 |
| 09-089 & 09-277 | March'09 & Aug'09 | Transportation, Department of | RSA 14:30-a, VI | 135,740,556 | - | 135,740,556 | FIS 12-195 extends end date of FIS 09-089 from 2/17/12 to 9/30/15; FIS 12-238 moves \$150 from class 72 to class 60 | - | - | | 135,744 |
| 09-151 | May'09 | Transportation, Department of | RSA 14:30-a, VI | 1,000,000 | - | 1,000,000 | | - | - | | - |
| 09-163 | May'09 | Transportation, Department of | RSA 14:30-a, VI | 4,600,000 | - | 4,600,000 | | - | - | | - |
| | | Transportation, Department of Total | | 141,340,556 | - | 141,340,556 | | - | - | | 135,744 |
| | | FY 2009 Total | | \$ 311,025,528 | \$ 224,945 | \$ 311,250,473 | | 28 | 16 | | \$ 281,578 |
| FISCAL YEAR 2010 | | | | | | | | | | | |
| 09-229 | Jun'09 | Adjutant General | RSA 14:30-a, VI | 5,081,000 | - | 5,081,000 | | - | - | | 5,076 |
| | | Adjutant General Total | | 5,081,000 | - | 5,081,000 | | - | - | | 5,076 |
| 09-222 | Jun'09 | Administrative Services, Department of | RSA 14:30-a, VI | - | 215,264 | 215,264 | funds received from Office of Energy & Planning | 3 | - | 4/30/2012 | - |
| 09-261 | Aug'09 | Administrative Services, Department of | RSA 14:30-a, VI | 68,405 | - | 68,405 | | - | - | | - |
| 10-003 | Jan'10 | Administrative Services, Department of | RSA 14:30-a, VI | 67,766 | - | 67,766 | | - | - | | 68 |

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|--------|----------|---|-------------------------|------------|-----------|------------|---|---------------------------------|-----------|--|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| 10-046 | Feb'10 | Administrative Services, Department of | RSA 14:30-a, VI | - | 5,387 | 5,387 | funds received from Office of Energy & Planning | - | 1 | 6/30/2011 | - |
| | | Administrative Services, Department of Total | | 136,171 | 220,651 | 356,822 | | | | | 68 |
| 09-223 | Jun'09 | Cultural Resources, Department of | RSA 14:30-a, VI | 255,108 | - | 255,108 | | - | - | | 255 |
| | | Cultural Resources, Department of Total | | 255,108 | - | 255,108 | | | | | 255 |
| 09-124 | April'09 | Education, Department of | RSA 14:30-a, VI | 165,765 | - | 165,765 | | - | - | | - |
| 09-130 | April'09 | Education, Department of | RSA 14:30-a, VI | 708,156 | - | 708,156 | | - | - | | - |
| 09-131 | April'09 | Education, Department of | RSA 14:30-a, VI | 21,730,633 | - | 21,730,633 | | - | - | | - |
| 09-135 | April'09 | Education, Department of | RSA 14:30-a, VI | 14,472,421 | - | 14,472,421 | | - | - | | 14,472 |
| 09-187 | May'09 | Education, Department of | RSA 14:30-a, VI | 125,000 | - | 125,000 | | - | - | | - |
| 09-188 | May'09 | Education, Department of | RSA 14:30-a, VI | - | 1,153,958 | 1,153,958 | funds received from Labor-Workforce Opportunity Council | - | - | | - |
| 09-266 | Aug'09 | Education, Department of | RSA 14:30-a, VI | 37,382 | - | 37,382 | | - | - | | 37 |
| 09-267 | Aug'09 | Education, Department of | RSA 14:30-a, VI | 85,020 | - | 85,020 | | - | - | | 85 |
| 09-330 | Oct'09 | Education, Department of | RSA 14:30-a, VI | 673,359 | - | 673,359 | | - | - | | 673 |
| 10-004 | Jan'10 | Education, Department of | RSA 14:30-a, VI | 2,124,026 | - | 2,124,026 | FIS 12-068 transfers funds between class lines, extends end date for fund use and positions, also decreases audit setaside by \$400. FIS 12-296 moves \$361 | 1 | 1 | 12/31/2011, FIS 12-068 changed end date to 6/30/12 | 1,724 |
| | | Education, Department of Total | | 40,121,762 | 1,153,958 | 41,275,720 | | | | | 16,992 |
| 10-154 | May'10 | Employment Security, Office of | RSA 14:30-a, VI | 217,500 | - | 217,500 | funds received through State of Vermont (accepted as Federal Funds); funds will support portion of two existing positions in addition to two new positions. FIS 11-316 extends positions, accepts additional funds for FY 2012 (see below) and transfers between classes. | 2 | - | 12/31/2011 | 218 |
| | | Employment Security, Office of Total | | 217,500 | - | 217,500 | | | | | 218 |
| 09-245 | Jul'09 | Environmental Services, Department of | RSA 14:30-a, VI | 500,000 | - | 500,000 | | - | - | | 500 |

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|--------|----------|---|-------------------------|------------------|----------------|------------------|--|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| 09-312 | Sept'09 | Environmental Services, Department of | RSA 14:30-a, VI | 1,800,000 | - | 1,800,000 | FIS 12-055 transfers \$38,000 between class lines | - | - | | - |
| 09-313 | Sept'09 | Environmental Services, Department of | RSA 14:30-a, VI | 2,523,000 | - | 2,523,000 | | - | - | | - |
| 10-111 | April'10 | Environmental Services, Department of | RSA 14:30-a, VI | - | 400,000 | 400,000 | funds received from Office of Energy & Planning | - | - | | - |
| 10-114 | April'10 | Environmental Services, Department of | RSA 14:30-a, VI | - | - | - | reallocation of ARRA funds accepted in FY 2009 (09-184) | - | - | | 214 |
| 10-196 | Jun'10 | Environmental Services, Department of | RSA 14:30-a, VI | 127,394 | - | 127,394 | | - | - | | 127 |
| | | Environmental Services, Department of Total | | 4,950,394 | 400,000 | 5,350,394 | | | | | 841 |
| 10-164 | May'10 | DHHS-Division of Behavioral Health | Ch 144:39,II, L'09 | 120,696 | - | 120,696 | item also includes additional \$521,338 of other federal funds, and increases audit fund set-aside by \$642. | - | - | | - |
| | | DHHS-Division of Behavioral Health Total | | 120,696 | - | 120,696 | | | | | - |
| 09-243 | Aug'09 | DHHS-Division for Children, Youth & Families | Ch 144:39,II, L'09 | 3,624,621 | - | 3,624,621 | | - | - | | 1,623 |
| 10-099 | April'10 | DHHS-Division for Children, Youth & Families | Ch 144:39,II, L'09 | 110,165 | - | 110,165 | item also includes additional \$110 of federal funds for audit fund set-aside | - | - | | - |
| | | DHHS-Division for Children, Youth & Families Total | | 3,734,786 | - | 3,734,786 | | | | | 1,623 |
| 09-241 | Jul'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 2,306,161 | - | 2,306,161 | | - | - | | 2,306 |
| 09-251 | Aug'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 9,203,266 | - | 9,203,266 | item also includes additional \$9,212 of federal funds for audit fund set-aside | - | - | | - |
| 09-252 | Aug'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 430,735 | - | 430,735 | | - | - | | 431 |
| 09-253 | Aug'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 21,357,094 | - | 21,357,094 | | - | - | | 18,473 |
| 09-253 | Aug'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 28,070,516 | - | 28,070,516 | | - | - | | 28,042 |
| 09-254 | Aug'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 17,073,509 | - | 17,073,509 | item also includes additional \$17,074 of federal funds for audit fund set-aside | - | - | | - |
| 09-255 | Aug'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 1,719,042 | - | 1,719,042 | item also includes additional \$1,721 of federal funds for audit fund set-aside | - | - | | - |

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|--------|----------|---------------------------------------|-------------------------|-----------|-------|-----------|--|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| 09-256 | Aug'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 546,892 | - | 546,892 | item also includes additional \$547 of federal funds for audit fund set-aside | - | - | | - |
| 09-257 | Aug'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 948,874 | - | 948,874 | item also includes additional \$959 of federal funds for audit fund set-aside | - | - | | - |
| 09-297 | Sept'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 4,687,400 | - | 4,687,400 | item also includes additional \$4,692 of federal funds for audit fund set-aside | - | - | | - |
| 09-298 | Sept'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 1,946,832 | - | 1,946,832 | item also includes additional \$1,949 of federal funds. for audit fund set-aside | - | - | | - |
| 09-326 | Sept'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 700,700 | - | 700,700 | | - | - | | 700 |
| 09-394 | Dec'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 1,001,000 | - | 1,001,000 | | - | - | | 1,000 |
| 10-093 | April'10 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 197,460 | - | 197,460 | item also includes additional \$198 of federal funds for audit fund set-aside | - | - | | - |
| 10-094 | April'10 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 498,521 | - | 498,521 | item also includes additional \$499 of federal funds for audit fund set-aside | - | - | | - |
| 10-102 | April'10 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 5,861,751 | - | 5,861,751 | item also includes additional \$707,868 of other federal funds, and increases audit fund set-aside by \$6,196. | - | - | | - |
| 10-103 | April'10 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 3,427,217 | - | 3,427,217 | item also includes additional \$3,427,217 of other federal funds, and increases audit fund set-aside by \$3,539. | - | - | | - |
| 10-104 | April'10 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 1,363,092 | - | 1,363,092 | item also includes additional \$1,365,819 of other federal funds, and increases audit fund set-aside by \$2,727. | - | - | | - |

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA | Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|--------|----------|---|--------------------|-----------|-------------|--------|-------------|--|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | | Full-Time | Part-Time | | |
| | | DHHS-Division of Community-Based Care Total | | | 101,340,062 | - | 101,340,062 | | | | | 50,952 |
| 09-244 | Aug'09 | DHHS-Division of Family Assistance | Ch 144:39,II, L'09 | | 3,762,500 | - | 3,762,500 | | | | | 3,763 |
| 09-294 | Aug'09 | DHHS-Division of Family Assistance | Ch 144:39,II, L'09 | | 588,478 | - | 588,478 | | | | | - |
| 10-127 | May'10 | DHHS-Division of Family Assistance | Ch 144:39,II, L'09 | | 3,654 | - | 3,654 | | | | | 2 |
| | | DHHS-Division of Family Assistance Total | | | 4,354,632 | - | 4,354,632 | | | | | 3,765 |
| 09-258 | Aug'09 | DHHS-Medicaid Business and Policy | Ch 144:39,II, L'09 | | 40,058,742 | - | 40,058,742 | | | | | 40,019 |
| 10-058 | Feb'10 | DHHS-Medicaid Business and Policy | Ch 144:39,II, L'09 | | 282,368 | - | 282,368 | | | | | - |
| 10-115 | April'10 | DHHS-Medicaid Business and Policy | Ch 144:39,II, L'09 | | 6,259,499 | - | 6,259,499 | item also includes additional \$6,262,405 of other federal funds, and increases audit fund set-aside by \$7,711. | | | | 4,805 |
| 10-165 | May'10 | DHHS-Medicaid Business and Policy | Ch 144:39,II, L'09 | | 110,891 | - | 110,891 | item also includes \$479,039 of other federal funds, and increases audit fund set-aside by \$648. | | | | - |
| | | DHHS-Medicaid Business and Policy Total | | | 46,711,499 | - | 46,711,499 | | | | | 44,824 |
| 10-090 | Mar'10 | DHHS-Office of Improvement, Integrity, & Info. | Ch 144:39,II, L'09 | | 182,379 | - | 182,379 | | | | | 182 |
| | | DHHS-Office of Improvement, Integrity, & Info. Total | | | 182,379 | - | 182,379 | | | | | 182 |
| 09-315 | Sept'09 | DHHS-Division of Public Health Services | Ch 144:39,II, L'09 | | 320,414 | - | 320,414 | | | 1 | 12/31/2011 | 320 |
| 09-325 | Sept'09 | DHHS-Division of Public Health Services | Ch 144:39,II, L'09 | | 257,785 | - | 257,785 | | | | | 258 |
| 10-092 | April'10 | DHHS-Division of Public Health Services | Ch 144:39,II, L'09 | | 121,778 | - | 121,778 | | | | | 123 |
| 10-128 | May'10 | DHHS-Division of Public Health Services | Ch 144:39,II, L'09 | | 1,125 | - | 1,125 | | | | | 1 |
| | | DHHS-Division of Public Health Services Total | | | 701,102 | - | 701,102 | | | | | 702 |
| 09-262 | Aug'09 | Information Technology, Department of | RSA 14:30-a, VI | | - | 50,800 | 50,800 | funds received from Office of Economic Stimulus; FIS 11-028 extends effective date from 6/30/11 to 9/30/11 | | | | - |
| | | Information Technology, Department of Total | | | - | 50,800 | 50,800 | | | | | - |
| 09-225 | Jun'09 | Justice, Department of | RSA 14:30-a, VI | | 366,780 | - | 366,780 | | | | | 366 |
| 09-226 | Jun'09 | Justice, Department of | RSA 14:30-a, VI | | 60,301 | - | 60,301 | | | | | 60 |
| 09-227 | Jun'09 | Justice, Department of | RSA 14:30-a, VI | | 2,396,463 | - | 2,396,463 | FIS 12-215 extends end date from 2/28/13 to 6/30/13; FIS 13-154 reallocates funds between accounts | 3 | | 6/30/2012 | 2,395 |
| 09-228 | Jun'09 | Justice, Department of | RSA 14:30-a, VI | | 704,906 | - | 704,906 | | | | | 704 |
| 10-038 | Feb'10 | Justice, Department of | RSA 14:30-a, VI | | 98,000 | - | 98,000 | FIS 11-111 extends end date to April 30, 2012 | | 1 | 4/30/2012 | - |
| | | Justice, Department of Total | | | 3,626,450 | - | 3,626,450 | | | | | 3,525 |
| 09-111 | April'09 | Labor, Department of | RSA 14:30-a, VI | | 154,300 | - | 154,300 | | | | | 154 |

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Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|--------|----------|--|-------------------------|-------------------|----------------|-------------------|---|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| 09-112 | April'09 | Labor, Department of | RSA 14:30-a, VI | 312,748 | - | 312,748 | | - | - | | 313 |
| 09-113 | April'09 | Labor, Department of | RSA 14:30-a, VI | 364,754 | - | 364,754 | | - | - | | 365 |
| 09-114 | April'09 | Labor, Department of | RSA 14:30-a, VI | 25,262 | - | 25,262 | | - | - | | 25 |
| 09-308 | Sept'09 | Labor, Department of | RSA 14:30-a, VI | 558,591 | - | 558,591 | | - | - | | 559 |
| | | Labor, Department of Total | | 1,415,655 | - | 1,415,655 | | | | | 1,416 |
| 09-240 | Jun'09 | Office of Economic Stimulus | RSA 14:30-a, VI | 10,422,000 | - | 10,422,000 | | - | - | | 10,422 |
| 09-353 | Oct'09 | Office of Economic Stimulus | RSA 14:30-a, VI | (8,855,523) | - | (8,855,523) | reallocation of ARRA funds in this item includes an increase of \$500,000 in class 102 for auditing services in addition to audit fund set-aside amounts. | - | - | | 9,510 |
| 10-062 | Feb'10 | Office of Economic Stimulus | RSA 14:30-a, VI | (68,890) | - | (68,890) | | 2 | - | 9/30/2011 | |
| | | Office of Economic Stimulus Total | | 1,497,587 | - | 1,497,587 | | | | | 19,932 |
| 09-182 | May'09 | Office of Energy & Planning | RSA 14:30-a, VI | 24,764,937 | - | 24,764,937 | | - | - | | 24,765 |
| 09-345 | Oct'09 | Office of Energy & Planning | RSA 14:30-a, VI | 9,238,636 | - | 9,238,636 | FIS 11-308 transfers \$4,865 between class lines | - | - | | 9,239 |
| 09-346 | Oct'09 | Office of Energy & Planning | RSA 14:30-a, VI | 71,066 | - | 71,066 | | 1 | - | 8/14/2012 | 71 |
| 09-384 | Dec'09 | Office of Energy & Planning | RSA 14:30-a, VI | 1,251,817 | - | 1,251,817 | | - | - | | 1,252 |
| 10-203 | Jun'10 | Office of Energy & Planning | RSA 14:30-a, VI | 6,459 | - | 6,459 | | 1 | - | 5/31/2013 | 6 |
| 10-204 | Jun'10 | Office of Energy & Planning | RSA 14:30-a, VI | 11,696,202 | - | 11,696,202 | | - | - | | 14,114 |
| | | Office of Energy & Planning Total | | 47,029,117 | - | 47,029,117 | | | | | 49,447 |
| 09-389 | Dec'09 | Public Utilities Commission | RSA 14:30-a, VI | 195,487 | - | 195,487 | | 3 | - | 12/31/2012 | 195 |
| 10-112 | April'10 | Public Utilities Commission | RSA 14:30-a, VI | - | 280,000 | 280,000 | funds received from Office of Energy & Planning, FIS 12-057 transfers funds between class lines | - | - | | 280 |
| 10-144 | May'10 | Public Utilities Commission | RSA 14:30-a, VI | - | 30,000 | 30,000 | funds received from Office of Energy & Planning | - | - | | 30 |
| 10-145 | May'10 | Public Utilities Commission | RSA 14:30-a, VI | (77,401) | - | (77,401) | | - | - | | (77) |
| 11-089 | March'11 | Public Utilities Commission | RSA 14:30-a, VI | (7,227) | - | (7,227) | | - | - | | |
| | | Public Utilities Commission Total | | 110,859 | 310,000 | 420,859 | | | | | 428 |
| 09-318 | Sept'09 | Resources & Economic Development | RSA 14:30-a, VI | - | 250,000 | 250,000 | funds received from the Office of Economic Stimulus; FIS 12-123 reallocates \$26,000 for best utilization of funds | 1 | - | 6/30/2012 | - |
| 09-354 | Oct'09 | Resources & Economic Development | RSA 14:30-a, VI | - | 70,874 | 70,874 | funds received from the Office of Economic Stimulus | 1 | - | 9/30/2010 | - |

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
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Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|-------------------------|----------|---|-------------------------|-----------------------|---------------------|-----------------------|--|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| | | Resources & Economic Development Total | | - | 320,874 | 320,874 | | | | | - |
| 09-290 | Aug'09 | Safety, Department of | RSA 14:30-a, VI | - | 69,755 | 69,755 | funds received | 1 | - | 6/30/2012 | - |
| 09-342 | Oct'09 | Safety, Department of | RSA 14:30-a, VI | - | 244,033 | 244,033 | funds received from the Department of Justice; FIS 12-167 extends end date for grant and the 2 positions approved in original item | 2 | - | 6/30/2012 | - |
| 09-347 | Oct'09 | Safety, Department of | RSA 14:30-a, VI | - | 87,362 | 87,362 | funds received from the Office of Economic Stimulus; extends the end date for grant and for position approved in original item. | 1 | - | 6/30/2012 | - |
| | | Safety, Department of Total | | - | 401,150 | 401,150 | | | | | - |
| 09-371 | Dec'09 | Transportation, Department of | RSA 14:30-a, VI | 310,070 | - | 310,070 | | - | - | | - |
| 10-121 | April'10 | Transportation, Department of | RSA 14:30-a, VI | 1,304,433 | - | 1,304,433 | | - | - | | - |
| | | Transportation, Department of Total | | 1,614,503 | - | 1,614,503 | | | | | - |
| 10-010 | Jan'10 | Treasury Department | RSA 14:30-a, VI | 400,000 | - | 400,000 | | - | - | | - |
| | | Treasury Department Total | | 400,000 | - | 400,000 | | | | | - |
| | | FY 2010 Total | | \$ 263,601,262 | \$ 2,857,433 | \$ 266,458,695 | | 22 | 4 | | \$ 200,246 |
| FISCAL YEAR 2011 | | | | | | | | | | | |
| 09-222 | Jun'09 | Administrative Services, Department of | RSA 14:30-a, VI | - | 220,205 | 220,205 | funds received from Office of Energy & Planning | - | - | | - |
| 10-046 | Feb'10 | Administrative Services, Department of | RSA 14:30-a, VI | - | 4,786 | 4,786 | funds received from Office of Energy & Planning | - | - | | - |
| 10-300 | Oct'10 | Administrative Services, Department of | RSA 14:30-a, VI | 82,124 | - | 82,124 | | - | - | | - |
| | | Administrative Services, Department of Total | | 82,124 | 224,991 | 307,115 | | - | - | | - |
| 09-223 | Jun'09 | Cultural Resources, Department of | RSA 14:30-a, VI | 37,992 | - | 37,992 | | - | - | | 38 |
| | | Cultural Resources, Department of Total | | 37,992 | - | 37,992 | | - | - | | 38 |
| 09-241 | Jul'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 2,306,161 | - | 2,306,161 | | - | - | | 2,306 |
| 09-252 | Aug'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 54,265 | - | 54,265 | | - | - | | 54 |
| 09-326 | Sept'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 1,697,594 | - | 1,697,594 | | - | - | | 1,698 |
| 09-394 | Dec'09 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | (1,001,000) | - | (1,001,000) | | - | - | | (1,000) |
| 11-092 | March'11 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 2,890,497 | - | 2,890,497 | the non-arra feder | - | - | | - |
| 11-100 | March'11 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 8,761,984 | - | 8,761,984 | | - | - | | 8,762 |
| 11-101 | March'11 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 17,439,803 | - | 17,439,803 | | - | - | | 17,440 |

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|--------|----------|---|-------------------------------------|------------|-----------|------------|--|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| 11-125 | March'11 | DHHS-Division of Community-Based Care | Ch 144:39,II, L'09 | 1,901,509 | - | 1,901,509 | Item included non-ARRA federal funds that are accounted for on additional revenues | - | - | | - |
| | | DHHS-Division of Community-Based Care Total | | 34,050,813 | - | 34,050,813 | | - | - | | 29,260 |
| 11-036 | Jan'11 | DHHS-Bureau of Elderly and Adult Services | Ch 144:39,II, L'09 | 17,241,609 | - | 17,241,609 | | - | - | | 17,224 |
| 11-036 | Jan'11 | DHHS-Bureau of Elderly and Adult Services | Ch 144:39,II, L'09 | 26,398,707 | - | 26,398,707 | | - | - | | 26,372 |
| 11-191 | June'11 | DHHS-Bureau of Elderly and Adult Services | Ch 144:39,II, L'09 | 696,933 | - | 696,933 | | - | - | | 697 |
| | | DHHS-Bureau of Elderly and Adult Services Total | | 44,337,248 | - | 44,337,248 | | - | - | | 44,293 |
| 09-244 | Aug'09 | DHHS-Division of Family Assistance | Ch 144:39,II, L'09 | 1,237,500 | - | 1,237,500 | | - | - | | 1,238 |
| 09-294 | Aug'09 | DHHS-Division of Family Assistance | Ch 144:39,II, L'09 | 115,404 | - | 115,404 | | - | - | | - |
| 10-247 | Sept'10 | DHHS-Division of Family Assistance | Ch 144:39,II, L'09 | 3,763 | - | 3,763 | | - | - | | - |
| | | DHHS-Division of Family Assistance Total | | 1,356,667 | - | 1,356,667 | | - | - | | 1,238 |
| | | DHHS-Division for Children, Youth & Families | Ch 144:39,II, L'09 | 664,277 | - | 664,277 | | - | - | | - |
| 11-035 | Jan'11 | DHHS-Division for Children, Youth & Families | Ch 144:39,II, L'09 | 2,542,054 | - | 2,542,054 | | - | - | | 2,543 |
| 11-171 | June'11 | DHHS-Division for Children, Youth & Families | Ch 144:39,II, L'09 | 2,472,621 | - | 2,472,621 | | - | - | | 2,543 |
| | | DHHS-Division for Children, Youth & Families Total | | 5,678,952 | - | 5,678,952 | | - | - | | 5,086 |
| 10-090 | Mar'10 | DHHS-Office of Improvement, Integrity, & Info. | Ch 144:39,II, L'09 | 2,423,494 | - | 2,423,494 | | - | - | | 2,423 |
| | | DHHS-Office of Improvement, Integrity, & Info. Total | | 2,423,494 | - | 2,423,494 | | - | - | | 2,423 |
| 09-315 | Sept'09 | DHHS-Division of Public Health Services | Ch 144:39,II, L'09 | 341,595 | - | 341,595 | | - | - | | 341 |
| 09-325 | Sept'09 | DHHS-Division of Public Health Services | Ch 144:39,II, L'09 | 345,818 | - | 345,818 | | - | - | | 346 |
| 10-092 | April'10 | DHHS-Division of Public Health Services | Ch 144:39,II, L'09 | 536,704 | - | 536,704 | | - | - | | 536 |
| 10-128 | May'10 | DHHS-Division of Public Health Services | Ch 144:39,II, L'09 | 98,038 | - | 98,038 | | - | - | | 98 |
| | | DHHS-Division of Public Health Services Total | | 1,322,155 | - | 1,322,155 | | - | - | | 1,321 |
| 10-287 | Oct'10 | DHHS-Medicaid Business and Policy | Ch 144:39,II, L'09 | 212,493 | - | 212,493 | | - | - | | 212 |
| 10-345 | Nov'10 | DHHS-Medicaid Business and Policy | Ch 144:39,II, L'09 Ch 144.212, L'09 | 10,395,814 | - | 10,395,814 | Uncompensated Care Fund "DSH" | - | - | | 10,396 |
| 11-074 | Feb'11 | DHHS-Medicaid Business and Policy | Ch 144:39,II, L'09 | 36,614,605 | - | 36,614,605 | | - | - | | 36,578 |
| | | DHHS-Medicaid Business and Policy Total | | 47,222,912 | - | 47,222,912 | | - | - | | 47,186 |
| 09-130 | April'09 | Education, Department of | RSA 14:30-a, VI | 50,000 | - | 50,000 | | - | - | | - |
| 09-131 | April'09 | Education, Department of | RSA 14:30-a, VI | 1,000,000 | - | 1,000,000 | | - | - | | - |
| 09-187 | May'09 | Education, Department of | RSA 14:30-a, VI | 15,310 | - | 15,310 | | - | - | | - |
| 09-188 | May'09 | Education, Department of | RSA 14:30-a, VI | - | 1,016,418 | 1,016,418 | funds received from Labor-Workforce Opportunity Council | - | - | | - |
| 09-266 | Aug'09 | Education, Department of | RSA 14:30-a, VI | 42,713 | - | 42,713 | | - | - | | 43 |
| 09-267 | Aug'09 | Education, Department of | RSA 14:30-a, VI | 121,457 | - | 121,457 | | - | - | | 122 |
| 09-330 | Oct'09 | Education, Department of | RSA 14:30-a, VI | 977,008 | - | 977,008 | | - | - | | 976 |
| 10-004 | Jan'10 | Education, Department of | RSA 14:30-a, VI | 1,085,349 | - | 1,085,349 | | - | - | | 3,209 |
| 10-202 | Jun'10 | Education, Department of | RSA 14:30-a, VI | 15,473,827 | - | 15,473,827 | FIS 12-026 transfers \$49,811 between class lines; FIS 12-306 extends end date | 1 | - | 9/30/2011 | 15,474 |

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| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|--------|----------|--|-------------------------|-------------------|------------------|-------------------|---|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| 10-237 | Jul'10 | Education, Department of | RSA 14:30-a, VI | 2,645,093 | - | 2,645,093 | FIS 12-283 transfers \$4552 between class lines and extends end date to 6/30/13 | 2 | - | 6/30/2012 | 2,645 |
| 11-086 | March'11 | Education, Department of | RSA 14:30-a, VI | 808,155 | - | 808,155 | | - | - | | - |
| 11-087 | March'11 | Education, Department of | RSA 14:30-a, VI | 23,730,632 | - | 23,730,632 | | - | - | | - |
| | | Education, Department of Total | | 45,949,544 | 1,016,418 | 46,965,962 | | 3 | - | | 22,469 |
| 11-141 | May'11 | Employment Security, Department of | RSA 14:30-a, VI | - | 561,450 | 561,450 | funds received from DRED | - | - | | 561 |
| | | Employment Security, Department of Total | | - | 561,450 | 561,450 | | - | - | | 561 |
| 10-278 | Sept'10 | Environmental Services, Department of | RSA 14:30-a, VI | 78,217 | - | 78,217 | | - | - | | - |
| | | Environmental Services, Department of Total | | 78,217 | - | 78,217 | | - | - | | - |
| 09-262 | Aug'09 | Information Technology, Department of | RSA 14:30-a, VI | - | 25,000 | 25,000 | funds received from the Office of Economic Stimulus | - | - | | - |
| | | Information Technology, Department of Total | | - | 25,000 | 25,000 | | - | - | | - |
| 09-225 | Jun'09 | Justice, Department of | RSA 14:30-a, VI | 220,220 | - | 220,220 | | - | - | | 220 |
| 09-227 | Jun'09 | Justice, Department of | RSA 14:30-a, VI | 2,089,713 | - | 2,089,713 | | - | - | | 2,090 |
| 09-228 | Jun'09 | Justice, Department of | RSA 14:30-a, VI | 353,735 | - | 353,735 | FIS 11-085 change | - | - | | 354 |
| | | Justice, Department of Total | | 2,663,668 | - | 2,663,668 | | - | - | | 2,664 |
| 09-111 | April'09 | Labor, Department of | RSA 14:30-a, VI | 154,300 | - | 154,300 | | - | - | | 154 |
| 09-112 | April'09 | Labor, Department of | RSA 14:30-a, VI | 312,748 | - | 312,748 | | - | - | | 313 |
| 09-113 | April'09 | Labor, Department of | RSA 14:30-a, VI | 364,754 | - | 364,754 | | - | - | | 365 |
| | | Labor, Department of Total | | 831,802 | - | 831,802 | | - | - | | 832 |
| 09-240 | Jun'09 | Office of Economic Stimulus | RSA 14:30-a, VI | 10,421,276 | - | 10,421,276 | | - | - | | 10,420 |
| 09-353 | Oct'09 | Office of Economic Stimulus | RSA 14:30-a, VI | (9,510,991) | - | (9,510,991) | | - | - | | (9,510) |
| 10-062 | Feb'10 | Office of Economic Stimulus | RSA 14:30-a, VI | 68,890 | - | 68,890 | | - | - | | - |
| | | Office of Economic Stimulus Total | | 979,175 | - | 979,175 | | - | - | | 910 |
| 09-182 | May'09 | Office of Energy & Planning | RSA 14:30-a, VI | 547,941 | - | 547,941 | | - | - | | 548 |
| | | Office of Energy & Planning Total | | 547,941 | - | 547,941 | | - | - | | 548 |
| 09-345 | Oct'09 | Office of Energy and Planning | RSA 14:30-a, VI | 156,393 | - | 156,393 | | - | - | | 156 |
| 09-346 | Oct'09 | Office of Energy and Planning | RSA 14:30-a, VI | 102,883 | - | 102,883 | | - | - | | 103 |
| 09-384 | Dec'09 | Office of Energy and Planning | RSA 14:30-a, VI | 5,910 | - | 5,910 | FIS 11-140 request to move funds between class lines | - | - | | 6 |
| 10-203 | Jun'10 | Office of Energy and Planning | RSA 14:30-a, VI | 9,616,302 | - | 9,616,302 | FIS 13-051 reallocates \$136,200 between expenditure classes and extends the completion date from 5/31/13 to 9/30/13. | - | - | | 9,616 |
| 10-204 | Jun'10 | Office of Energy and Planning | RSA 14:30-a, VI | 1,396,892 | - | 1,396,892 | | - | - | | 1,403 |

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|---|----------|----------------------------------|-------------------------|------------|---------|------------|--|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| 10-265 | Sept'10 | Office of Energy and Planning | RSA 14:30-a, VI | 102,504 | - | 102,504 | FIS 11-134 request to move funds between class lines; FIS 12-255 request to move funds between class lines and extend end date | - | - | | - |
| 10-280 | Sept'10 | Office of Energy and Planning | RSA 14:30-a, VI | - | - | - | | 2 | - | 4/30/2012 | - |
| 10-323 | Nov'10 | Office of Energy and Planning | RSA 14:30-a, VI | 2,565,000 | - | 2,565,000 | FIS 11-113 reallocates funds in FY 11 and FY 12; FIS 12-054 transfers between class lines and extends end date. | - | - | | - |
| Office of Energy and Planning Total | | | | 13,945,884 | - | 13,945,884 | | 2 | - | | 11,284 |
| 09-389 | Dec'09 | Public Utilities Commission | RSA 14:30-a, VI | 299,603 | - | 299,603 | | - | - | | 300 |
| 10-112 | April'10 | Public Utilities Commission | RSA 14:30-a, VI | - | 140,000 | 140,000 | funds received from Office of Energy & Planning | - | - | | 140 |
| 10-144 | May'10 | Public Utilities Commission | RSA 14:30-a, VI | - | 350,000 | 350,000 | funds received from Office of Energy & Planning | - | - | | 350 |
| 10-145 | May'10 | Public Utilities Commission | RSA 14:30-a, VI | 22,779 | - | 22,779 | | - | - | | 23 |
| 11-089 | March'11 | Public Utilities Commission | RSA 14:30-a, VI | (22,094) | - | (22,094) | | - | - | | - |
| Public Utilities Commission Total | | | | 300,288 | 490,000 | 790,288 | | - | - | | 813 |
| 09-318 | Sept'09 | Resources & Economic Development | RSA 14:30-a, VI | - | 250,000 | 250,000 | funds received from the Office of Economic Stimulus | - | - | | - |
| 10-266 | Sept'10 | Resources & Economic Development | RSA 14:30-a, VI | 972,474 | - | 972,474 | | - | - | | - |
| 11-029 | Jan'11 | Resources & Economic Development | RSA 14:30-a, VI | - | 71,041 | 71,041 | funds received from UNH to support broadband director pos | 1 | - | | - |
| 11-141 | May'11 | Resources & Economic Development | RSA 14:30-a, VI | 561,450 | - | 561,450 | FIS 13-010 extends end date for both DRED and DES to March 31, 2013; FIS 13-077 extends end date to 9/30/13. | - | - | | - |
| Resources & Economic Development Total | | | | 1,533,924 | 321,041 | 1,854,965 | | 1 | - | | - |
| 09-290 | Aug'09 | Safety, Department of | RSA 14:30-a, VI | - | 92,428 | 92,428 | funds received from the Department of Justice | - | - | | - |

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|-------------------------|----------|--|----------------------------------|-----------------------|---------------------|-----------------------|--|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| 09-342 | Oct'09 | Safety, Department of | RSA 14:30-a, VI | - | 267,533 | 267,533 | funds received from the Department of Justice | - | - | | - |
| 09-347 | Oct'09 | Safety, Department of | RSA 14:30-a, VI | - | 98,294 | 98,294 | funds received from the Office of Economic Stimulus, FIS 13-023 reallocates \$29,300 of funds and accepts another \$28,815 of funds from NHDOJ | 1 | - | | - |
| 11-177 | June'11 | Safety, Department of | RSA 14:30-a, VI | - | 350,691 | 350,691 | funds received from UNH to hire 2 temp fulltime microwave techs | - | 2 | | - |
| | | Safety, Department of Total | | - | 808,946 | 808,946 | | 1 | 2 | | - |
| 10-121 | April'10 | Transportation, Department of | RSA 14:30-a, VI | 3,130,638 | - | 3,130,638 | | - | - | | - |
| 11-183 | June'11 | Transportation, Department of | RSA 14:30-a, VI | - | 5,510,875 | 5,510,875 | funds received from UNH | - | - | | - |
| | | Transportation, Department of Total | | - | 5,510,875 | 5,510,875 | | - | - | | - |
| 10-010 | Jan'10 | Treasury Department | RSA 14:30-a, VI | 1,600,000 | - | 1,600,000 | | - | - | | - |
| | | Treasury Department Total | | 1,600,000 | - | 1,600,000 | | - | - | | - |
| | | FY 2011 Total | | \$ 208,073,438 | \$ 8,958,721 | \$ 217,032,159 | | 7 | 2 | | \$ 170,926 |
| FISCAL YEAR 2012 | | | | | | | | | | | |
| 09-222 | Jun'09 | Administrative Services, Department of | RSA 14:30-a, VI | - | 192,889 | 192,889 | funds received from Office of Energy & Planning | - | - | | - |
| | | Administrative Services, Department of Total | | - | 192,889 | 192,889 | | - | - | | - |
| 09-315 | Sept'09 | DHHS-Division of Public Health Services | Ch 144:39,II, L'09 | 75,542 | - | 75,542 | | - | - | | 96 |
| | | DHHS-Division of Public Health Services Total | | 75,542 | - | 75,542 | | - | - | | 96 |
| 11-341 | Dec'11 | DHHS - Office of Information Services | Chapter 224:14, II, Laws of 2011 | (494,078) | - | (494,078) | | - | - | | - |
| | | DHHS - Office of Information Services Total | | (494,078) | - | (494,078) | | - | - | | - |
| 09-267 | Aug'09 | Education, Department of | RSA 14:30-a, VI | 36,436 | - | 36,436 | | - | - | | 36 |
| 09-330 | Oct'09 | Education, Department of | RSA 14:30-a, VI | 273,517 | - | 273,517 | | - | - | | 275 |
| 10-237 | Jul'10 | Education, Department of | RSA 14:30-a, VI | 5,943,121 | - | 5,943,121 | FIS 13-129 amends by transferring funds between accounts | - | - | | 5,943 |
| 11-255 | Sept'11 | Education, Department of | RSA 14:30-a, VI | 605,624 | - | 605,624 | | - | - | | - |
| | | Education, Department of Total | | 6,858,698 | - | 6,858,698 | | - | - | | 6,254 |
| | May'11 | Employment Security, Department of | RSA 14:30-a, VI | - | 147,000 | 147,000 | funds received from DRED | - | - | | 147 |
| 11-316 | Oct'11 | Employment Security, Department of | RSA 14:30-a, VI | 58,000 | - | 58,000 | | - | - | | 58 |
| | | Employment Security, Department of Total | | 58,000 | 147,000 | 205,000 | | - | - | | 205 |
| 09-227 | Jun'09 | Justice, Department of | RSA 14:30-a, VI | 1,767,579 | - | 1,767,579 | | - | - | | 1,769 |
| | | Justice, Department of Total | | 1,767,579 | - | 1,767,579 | | - | - | | 1,769 |

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|--------|----------|---|-------------------------|-----------|---------|-----------|--|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| 09-182 | May'09 | Office of Energy & Planning | RSA 14:30-a, VI | 466,891 | - | 466,891 | | - | - | | 467 |
| | | Office of Energy & Planning Total | | 466,891 | - | 466,891 | | - | - | | 467 |
| 09-345 | Oct'09 | Office of Energy and Planning | RSA 14:30-a, VI | 156,393 | - | 156,393 | | - | - | | 156 |
| 09-346 | Oct'09 | Office of Energy and Planning | RSA 14:30-a, VI | 121,302 | - | 121,302 | | - | - | | 121 |
| 09-384 | Dec'09 | Office of Energy and Planning | RSA 14:30-a, VI | 4,273 | - | 4,273 | | - | - | | 4 |
| 10-203 | Jun'10 | Office of Energy and Planning | RSA 14:30-a, VI | 183,721 | - | 183,721 | FIS 13-051 reallocates \$136,200 between expenditure classes and extends the completion date from 5/31/13 to 9/30/13. | - | - | | 184 |
| 10-204 | Jun'10 | Office of Energy and Planning | RSA 14:30-a, VI | 4,929,007 | - | 4,929,007 | | - | - | | 5,742 |
| 10-265 | Sept'10 | Office of Energy and Planning | RSA 14:30-a, VI | (87,579) | - | (87,579) | | - | - | | - |
| 11-309 | Oct'11 | Office of Energy and Planning | RSA 14:30-a, VI | - | 90,000 | 90,000 | FIS 12-164 reallocates \$4,850 between class lines | - | - | | - |
| 12-132 | April'12 | Office of Energy and Planning | RSA 14:30-a, VI | 36,644 | - | 36,644 | FIS 12-256 reallocates \$1,200 between class lines. FIS 13-050 reallocates \$16,575 between class lines and extends the grant from 9/30/12 to 9/30/12. | - | - | | - |
| | | Office of Energy and Planning Total | | 5,343,761 | 90,000 | 5,433,761 | | - | - | | 6,207 |
| 09-318 | Sept'09 | Resources & Economic Development | RSA 14:30-a, VI | - | 250,000 | 250,000 | funds received from the Office of Economic Stimulus | - | - | | - |
| 11-141 | May'11 | Resources & Economic Development | RSA 14:30-a, VI | 147,000 | - | 147,000 | | - | - | | - |
| 11-153 | June'11 | Resources & Economic Development | RSA 14:30-a, VI | - | 75,442 | 75,442 | funds received from UNH to support broadband director pos established in FIS 11-029 | - | - | | - |
| | | Resources & Economic Development Total | | 147,000 | 325,442 | 472,442 | | - | - | | - |
| 09-389 | Dec'09 | Public Utilities Commission | RSA 14:30-a, VI | 217,901 | - | 217,901 | | - | - | | 218 |
| 10-112 | April'10 | Public Utilities Commission | RSA 14:30-a, VI | - | 96,000 | 96,000 | funds received from Office of Energy & Planning | - | - | | 96 |

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|-------------------------|----------|--|----------------------------------|-------------------|------------------|-------------------|--|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| 10-144 | May'10 | Public Utilities Commission | RSA 14:30-a, VI | - | 120,000 | 120,000 | funds received from Office of Energy & Planning | - | - | | 120 |
| 10-145 | May'10 | Public Utilities Commission | RSA 14:30-a, VI | 43,880 | - | 43,880 | | - | - | | 44 |
| 11-089 | March'11 | Public Utilities Commission | RSA 14:30-a, VI | 54,143 | - | 54,143 | | - | - | | - |
| 12-130 | April'11 | Public Utilities Commission | RSA 14:30-a, VI | - | 100,000 | 100,000 | funds received from OEP | - | - | | - |
| | | Public Utilities Commission Total | | 315,924 | 316,000 | 631,924 | | - | - | | 478 |
| 09-290 | Aug'09 | Safety, Department of | RSA 14:30-a, VI | - | 81,947 | 81,947 | funds received from the Department of Justice | - | - | | - |
| 09-342 | Oct'09 | Safety, Department of | RSA 14:30-a, VI | - | 174,360 | 174,360 | funds received from the Department of Justice | - | - | | - |
| 09-347 | Oct'09 | Safety, Department of | RSA 14:30-a, VI | - | 91,044 | 91,044 | funds received from the Office of Economic Stimulus | - | - | | - |
| | | Safety, Department of Total | | - | 347,351 | 347,351 | | - | - | | - |
| | | FY 2012 Total | | 14,539,318 | 1,418,682 | 15,958,000 | | - | - | | 15,476 |
| FISCAL YEAR 2013 | | | | | | | | | | | |
| 11-341 | Dec'11 | DHHS - Office of Information Services | Chapter 224:14, II, Laws of 2011 | 963,258 | - | 963,258 | | - | - | | 1,194 |
| | | DHHS - Office of Information Services Total | | 963,258 | - | 963,258 | | - | - | | 1,194 |
| 11-141 | May'11 | Employment Security, Department of | RSA 14:30-a, VI | - | 35,550 | 35,550 | funds received from DRED | - | - | | 36 |
| | | Employment Security, Department of Total | | - | 35,550 | 35,550 | | - | - | | 36 |
| 11-141 | June '13 | Environmental Services, Department of | RSA 14:30-a, VI | - | 140,000 | 140,000 | funds received from OEP | - | - | | 36 |
| | | Environmental Services, Department of Total | | - | 140,000 | 140,000 | | - | - | | 36 |
| 09-345 | Oct'09 | Office of Energy and Planning | RSA 14:30-a, VI | 42,078 | - | 42,078 | | - | - | | 43 |
| 09-346 | Oct'09 | Office of Energy and Planning | RSA 14:30-a, VI | 25,477 | - | 25,477 | | - | - | | 25 |
| 10-203 | Jun'10 | Office of Energy and Planning | RSA 14:30-a, VI | 193,518 | - | 193,518 | FIS 13-051 reallocates \$136,200 between expenditure classes and extends the completion date from 5/31/13 to 9/30/13. FIS 13-100 reallocates \$45,000 between classes. | - | - | | 194 |
| 10-265 | Sept'10 | Office of Energy and Planning | RSA 14:30-a, VI | (14,925) | - | (14,925) | | - | - | | - |
| | | Office of Energy and Planning Total | | 246,148 | - | 246,148 | | - | - | | 262 |
| 11-141 | May'11 | Resources & Economic Development | RSA 14:30-a, VI | 35,550 | - | 35,550 | | - | - | | - |

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
STIMULUS FUNDING

Fiscal Committee Approvals Through Meeting of 10/18/13

| Item # | Meeting | Department | Chapter / RSA Reference | Federal | Other | Total | Comments | Temporary Positions Established | | Position End Date | Audit Fund Set-Aside |
|--|----------|---------------------------------------|-------------------------|-----------------------|----------------------|-----------------------|---|---------------------------------|-----------|-------------------|----------------------|
| | | | | | | | | Full-Time | Part-Time | | |
| 11-153 | June'11 | Resources & Economic Development | RSA 14:30-a, VI | - | 78,301 | 78,301 | funds received from UNH to support broadband director pos established in FIS 11-029 | - | - | | - |
| Resources & Economic Development Total | | | | 35,550 | 78,301 | 113,851 | | - | - | | - |
| 09-389 | Dec'09 | Public Utilities Commission | RSA 14:30-a, VI | 70,547 | - | 70,547 | | - | - | | 71 |
| 10-145 | May'10 | Public Utilities Commission | RSA 14:30-a, VI | 10,742 | - | 10,742 | | - | - | | 10 |
| 11-089 | March'11 | Public Utilities Commission | RSA 14:30-a, VI | (32,049) | - | (32,049) | | - | - | | - |
| Public Utilities Commission Total | | | | 49,240 | - | 49,240 | | - | - | | 81 |
| 13-088 | April'13 | Safety, Department of | RSA 14:30-a, VI | - | 12,487 | 12,487 | Funds transferred from DOJ | - | - | | - |
| Safety, Department of Total | | | | - | 12,487 | 12,487 | | - | - | | - |
| FY 2013 Total | | | | 1,294,196 | 266,338 | 1,560,534 | | - | - | | 1,609 |
| FISCAL YEAR 2014 | | | | | | | | | | | |
| 13-199 | Sept'13 | DHHS - Office of Information Services | RSA 14:30-a, VI | 672,781 | - | - | Allows for the use of consultants | - | - | | 672 |
| DHHS - Office of Information Services Total | | | | 672,781 | - | - | | - | - | | 672 |
| FY 2014 Total | | | | 672,781 | - | - | | - | - | | 672 |
| CUMULATIVE TOTAL | | | | \$ 799,206,522 | \$ 13,726,119 | \$ 812,259,861 | | 57 | 22 | | \$ 670,507 |

JOINT LEGISLATIVE FACILITIES COMMITTEE
 LEGISLATIVE BRANCH
 DETAIL OF BALANCE OF FUNDS AVAILABLE
 FISCAL YEAR 2014
 As of 10/31/2013

| Legislative Branch: | Org/ Class | Balance Forward | Appropriation | Income | Transfers | Expenditures | Encumb rances | Balance Available |
|--------------------------------|---------------|--------------------|---------------------|-------------|-------------|-------------------|------------------|----------------------|
| Senate: | 1170 | | | | | | | |
| Personal srvs. - members | 011 | | 6,821.00 | | | | | 6,821.00 |
| Personal srvs. - nonclassi | 016 | | 1,704,687.00 | | | 460,590.27 | | 1,244,096.73 |
| Current expenses | 020 | | 44,308.00 | | | 3,856.65 | | 40,451.35 |
| Rents-Leases other than state | 022 | | 9,500.00 | | | 3,081.27 | | 6,418.73 |
| Equipment | 030 | | 1,000.00 | | | 275.50 | | 724.50 |
| Telecommunications | 039 | | 24,192.00 | | | 5,276.54 | | 18,915.46 |
| Legal srvs.& consultants | 046 | | 77,000.00 | | | 7,088.00 | | 69,912.00 |
| Personal srvs. - temp/app | 050 | | 104,919.00 | | | 7,681.25 | | 97,237.75 |
| Benefits | 060 | | 605,687.00 | | | 201,269.93 | | 404,417.07 |
| Employee training | 066 | | 100.00 | | | | | 100.00 |
| Travel: | | | | | | | | |
| In state | 070 | | 155,000.00 | | | 28,478.93 | | 126,521.07 |
| Out of state | 080 | | 11,500.00 | | | 1,181.35 | | 10,318.65 |
| President's discretionary fund | 285 | | 4,499.00 | | | 60.00 | | 4,439.00 |
| Contingency | 289 | | 1.00 | | | | | 1.00 |
| Total | | 0.00 | 2,749,214.00 | 0.00 | 0.00 | 718,839.69 | | 2,030,374.31 |

| Legislative Branch - continued: | Org/ Class | Balance Forward | Appropriation | Income | Transfers | Expenditures | Encumb rances | Balance Available |
|---------------------------------|---------------|--------------------|---------------|--------|-----------|--------------|------------------|----------------------|
| House | 1180 | | | | | | | |
| Personal srvs. - members | 011 | | 2,000.00 | | | 200.00 | | 1,800.00 |
| Personal srvs. - nonclassi | 016 | | 1,620,378.00 | | | 489,774.54 | | 1,130,603.46 |
| Current expenses | 020 | | 55,000.00 | | | 6,826.61 | | 48,173.39 |
| Rents-Leases Other than State | 022 | | 4,200.00 | | | 1,383.01 | | 2,816.99 |
| Maint. Other than bldg/grnd | 024 | | 6,000.00 | | | | | 6,000.00 |
| Equipment | 030 | | 3,000.00 | | | | | 3,000.00 |
| Telecommunications | 039 | | 30,000.00 | | | 9,027.31 | | 20,972.69 |
| Consultants | 046 | | 80,000.00 | | | | | 80,000.00 |
| Personal srvs. - temp/app | 050 | | 260,517.00 | | | 27,347.36 | | 233,169.64 |
| Benefits | 060 | | 779,846.00 | | | 192,896.67 | | 586,949.33 |
| Employee training | 066 | | 300.00 | | | | | 300.00 |
| Travel: | | | | | | | | |
| In state | 070 | | 1,100,000.00 | | | 134,522.70 | | 965,477.30 |
| Out of state | 080 | | 100,000.00 | | | 21,868.32 | | 78,131.68 |
| Speaker's special fund | 286 | | 6,000.00 | | | 1,667.16 | | 4,332.84 |
| Democratic Leader's Account | 287 | | 3,500.00 | | | 120.00 | | 3,380.00 |
| Republican Leader's Account | 288 | | 3,500.00 | | | 430.17 | | 3,069.83 |
| Total | | 0.00 | 4,054,241.00 | 0.00 | 0.00 | 886,063.85 | | 3,168,177.15 |

| Legislative Branch - continued: | | Balance Forward | Appropriation | Income | Transfers | Expenditures | Encumb rances | Balance Available |
|---|-----------------|--------------------|---------------|----------|-----------|--------------|------------------|----------------------|
| Operations | 1160 | | | | | | | |
| Personal srvs. - nonclassi | 016 | | 202,620.00 | | | 62,921.75 | | 139,698.25 |
| Current expenses | 020 | | 3,000.00 | | | 816.98 | | 2,183.02 |
| Telecommunications | 039 | | 9,000.00 | | | 2,602.57 | | 6,397.43 |
| Benefits | 060 | | 143,526.00 | | | 42,592.03 | | 100,933.97 |
| Total | | 0.00 | 358,146.00 | | 0.00 | 108,933.33 | | 249,212.67 |
| Joint Expenses | 8677 | | | | | | | |
| Current expenses | 020 | | 50,000.00 | | | 4,536.89 | | 45,463.11 |
| Rents-Leases Other Than State | 022 | | 10,000.00 | | | 1,555.66 | | 8,444.34 |
| Organizational Dues | 026 | | 126,761.00 | | | 126,761.00 | | 0.00 |
| Equipment New/Replacement | 030 | | 10,000.00 | | | 309.99 | | 9,690.01 |
| Consultants | 046 | | 3,000.00 | | | | | 3,000.00 |
| Transfer to Other State Agencies | 049 | | 3,000.00 | | | | | 3,000.00 |
| Legislative Printing & Binding | 290 | | 285,000.00 | | | 14,521.10 | | 270,478.90 |
| Joint Orientation | 291 | | 0.00 | | | | | 0.00 |
| Total | | 0.00 | 487,761.00 | 0.00 | 0.00 | 147,684.64 | 0.00 | 340,076.36 |
| Less estimated Revenue | | 90.12 | -12,000.00 | 1,467.86 | (A) | | | -10,442.02 |
| Total | | 90.12 | 475,761.00 | 1,467.86 | 0.00 | 147,684.64 | 0.00 | 329,634.34 |
| Joint Legislative Historical Committee | 8870-211 | 66,475.76 | 10,000.00 | | | 8,495.00 | | 67,980.76 |

| Legislative Branch - continued: | | Balance Forward | Appropriation | Income | Transfers | Expenditures | Encumb rances | Balance Available |
|--|------|--------------------|---------------|-----------|-------------|--------------|------------------|----------------------|
| Visitor's Center: | | 1229 | | | | | | |
| Personal srvs. - nonclassi | 016 | | 98,260.00 | | | 30,288.15 | | 67,971.85 |
| Current Expenses | 020 | | 750.00 | | | 94.54 | | 655.46 |
| Telecommunications | 039 | | 1,100.00 | | | 284.78 | | 815.22 |
| Benefits | 060 | | 61,711.00 | | | 18,240.52 | | 43,470.48 |
| Total | | 0.00 | 161,821.00 | | 0.00 | 48,907.99 | | 112,913.01 |
| Visitor's Ctr. Revolving Fund (G) | | 1230 | | | | | | |
| Souvenir Purchases | 106 | 1,166.93 | 0.00 | | 48,904.00 | 11,469.91 | 90.00 | 38,511.02 |
| Revenue | 2016 | 48,904.22 | 0.00 | 12,238.97 | (48,904.00) | | | 12,239.19 |
| Total | | 50,071.15 | 0.00 | 12,238.97 | 0.00 | 11,469.91 | 90.00 | 50,750.21 |
| Legislative Accounting: | | 1166 | | | | | | |
| Personal srvs. - nonclassi | 016 | | 201,784.00 | | | 61,180.50 | | 140,603.50 |
| Current expenses | 020 | | 1,500.00 | | | 50.44 | | 1,449.56 |
| Telecommunications | 039 | | 900.00 | | | 223.46 | | 676.54 |
| Benefits | 060 | | 103,325.00 | | | 31,867.54 | | 71,457.46 |
| Total | | 0.00 | 307,509.00 | | 0.00 | 93,321.94 | | 214,187.06 |

| Legislative Branch - continued: | Org/ Class | Balance | | | | Expenditures | Encumb rances | Balance Available |
|-------------------------------------|---------------|---------|---------------|--------|-----------|--------------|------------------|----------------------|
| | | Forward | Appropriation | Income | Transfers | | | |
| General Court Info. Systems: | 4654 | | | | | | | |
| Personal srvs. - nonclassi | 016 | | 376,161.00 | | | 95,957.25 | | 280,203.75 |
| Current expenses | 020 | | 32,000.00 | | | 4,705.27 | | 27,294.73 |
| Technology - Hardware | 037 | | 80,000.00 | | | 3,042.12 | | 76,957.88 |
| Technology - Software | 038 | | 90,000.00 | | | 3,428.58 | 473.07 | 86,098.35 |
| Telecommunications | 039 | | 2,500.00 | | | 610.12 | | 1,889.88 |
| Benefits | 060 | | 176,834.00 | | | 40,129.35 | | 136,704.65 |
| Total | | 0.00 | 757,495.00 | | 0.00 | 147,872.69 | 473.07 | 609,149.24 |
| | | | | | | | | |
| Protective Services: | 1164 | | | | | | | |
| Personal srvs. - nonclassi | 016 | | 361,068.00 | | | 109,109.26 | | 251,958.74 |
| Current expenses | 020 | | 2,700.00 | | | 11.54 | | 2,688.46 |
| Telecommunications | 039 | | 4,300.00 | | | 1,417.37 | | 2,882.63 |
| Benefits | 060 | | 205,406.00 | | | 59,310.36 | | 146,095.64 |
| Total | | 0.00 | 573,474.00 | | 0.00 | 169,848.53 | | 403,625.47 |

| Legislative Branch - continued: | | Balance Forward | Appropriation | Income | Transfers | Expenditures | Encumb rances | Balance Available |
|---------------------------------|----------------------|--------------------|---------------|--------|-----------|--------------|------------------|----------------------|
| Health Services: | | 1165 | | | | | | |
| Current expenses | 020 | | 1,500.00 | | | 486.96 | | 1,013.04 |
| Telecommunications | 039 | | 500.00 | | | 153.99 | | 346.01 |
| Personal srvs. - temp/app | 050 | | 57,428.00 | | | 10,726.76 | | 46,701.24 |
| Benefits | 060 | | 4,393.00 | | | 820.60 | | 3,572.40 |
| Total | | 0.00 | 63,821.00 | | 0.00 | 12,188.31 | | 51,632.69 |
| Legislative Services: | | 1270 | | | | | | |
| Personal srvs. - nonclassi | 016 | | 1,538,188.00 | | | 455,927.27 | | 1,082,260.73 |
| Current expenses | 020 | | 19,300.00 | | | 3,485.98 | | 15,814.02 |
| Rents-Leases other than State | 022 | | 5,500.00 | | | 1,716.00 | | 3,784.00 |
| Telecommunications | 039 | | 7,000.00 | | | 2,094.72 | | 4,905.28 |
| Personal srvs. - temp/app | 050 | | 25,000.00 | | | 3,193.57 | | 21,806.43 |
| Benefits | 060 | | 692,823.00 | | | 200,180.47 | | 492,642.53 |
| Employee training | 066 | | 1,500.00 | | | | | 1,500.00 |
| Printing and binding | 290 | | 8,000.00 | | | 1,783.68 | | 6,216.32 |
| Total | | 0.00 | 2,297,311.00 | | 0.00 | 668,381.69 | | 1,628,929.31 |
| Less estimated revenue | 009/204 ^t | -53.32 | -1,000.00 | 65.00 | © | | | -988.32 |
| Total | | -53.32 | 2,296,311.00 | 65.00 | © | 668,381.69 | | 1,627,940.99 |

| | | Balance | | | | | Encumb | Balance |
|--------------------------------------|-------------|-------------------|----------------------|------------------|-------------|---------------------|---------------|----------------------|
| Legislative Branch - continued: | | Forward | Appropriation | Income | Transfers | Expenditures | rances | Available |
| Budget Division: | 1221 | | | | | | | |
| Personal srvs. - nonclassi | 016 | | 637,469.00 | | | 208,002.45 | | 429,466.55 |
| Current expenses | 020 | | 10,967.00 | | | 2,156.43 | | 8,810.57 |
| Rents-Leases other than State | 022 | | 6,000.00 | | | 1,927.00 | | 4,073.00 |
| Organizational Dues | 026 | | 100.00 | | 1,000.00 | 1,000.00 | | 100.00 |
| Equipment | 030 | | 2,500.00 | | | 689.00 | | 1,811.00 |
| Telecommunications | 039 | | 3,033.00 | | | 1,077.95 | | 1,955.05 |
| Consultants | 046 | | 15,000.00 | | | | | 15,000.00 |
| Personal srvs. - temp/app | 050 | | 88,055.00 | | (3,500.00) | | | 84,555.00 |
| Benefits | 060 | | 218,925.00 | | | 89,705.48 | | 129,219.52 |
| Employee training | 066 | | 3,500.00 | | | 319.95 | | 3,180.05 |
| In state travel | 070 | | 500.00 | | | | | 500.00 |
| Out of state travel | 080 | | 100.00 | | 2,500.00 | 900.68 | | 1,699.32 |
| Total | | 0.00 | 986,149.00 | | 0.00 | 305,778.94 | | 680,370.06 |
| Legislative Budget Assistant: | | | | | | | | |
| Audit Division: | 1222 | | | | | | | |
| Personal srvs. - nonclassi | 016 | | 2,080,979.00 | | | 540,736.66 | | 1,540,242.34 |
| Current expenses | 020 | | 12,860.00 | | | 4,018.85 | | 8,841.15 |
| Rents-Leases other than State | 022 | | 100,000.00 | | | 97,524.00 | | 2,476.00 |
| Equipment | 030 | | 20,000.00 | | | | | 20,000.00 |
| Telecommunications | 039 | | 2,040.00 | | | 830.80 | | 1,209.20 |
| Consultants | 046 | | 570,000.00 | | | 74,500.00 | | 495,500.00 |
| Personal srvs. - temp/app | 050 | | 49,948.00 | | | 5,836.65 | | 44,111.35 |
| Benefits | 060 | | 815,560.00 | | | 259,588.35 | | 555,971.65 |
| Employee training | 066 | | 40,000.00 | | (4,000.00) | 2,527.00 | | 33,473.00 |
| In state travel | 070 | | 15,000.00 | | | 1,434.53 | | 13,565.47 |
| Out of state travel | 080 | | 100.00 | | 4,000.00 | 3,214.44 | | 885.56 |
| Total | | 0.00 | 3,706,487.00 | 0.00 | 0.00 | 990,211.28 | | 2,716,275.72 |
| Less estimated revenue | 006/1251 | 556,382.00 | -488,205.00 | | | | (D) | 68,177.00 |
| Total | | 556,382.00 | 3,218,282.00 | 0.00 | 0.00 | 990,211.28 | | 2,784,452.72 |
| Total | | 672,965.71 | 16,012,224.00 | 13,771.83 | 0.00 | 4,317,997.79 | 563.07 | 12,380,400.68 |

- (A) Proceeds from the sale of legislative subscriptions, advance sheets, permanent journals, and rosters, and royalties from Lexis Law Publishing.
- (B) Pursuant to Chapter 177:151 State House Visitor's Center Revolving Fund established - Proceeds from sales of souvenirs and expenditures from souvenir purchases transferred to V.C. Revolving account effective 10/18/06.
- (C) Proceeds from sales of photocopies and rulemaking registers.
- (D) Auditing fees



Virginia M. Barry, Ph.D.
Commissioner of Education
Tel. 603-271-3144

Paul Leather
Deputy Commissioner of Education
Tel. 603-271-3801

STATE OF NEW HAMPSHIRE
DEPARTMENT OF EDUCATION
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September 16, 2013

The Honorable Mary Jane Wallner
Fiscal Committee of the General Court
State House
Concord, NH 03301


Dear Rep. Wallner,

At the September 13, 2013 meeting of the Legislative Fiscal Committee, Rep. Weyler asked a question concerning the Department's fiscal item on that day's agenda. Rep. Weyler asked about the common rate of pay for consultants in the appropriation being considered.

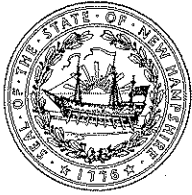
The Department response at the time was based on our knowledge of the facts. Following the discussion, Department personnel researched further and discovered a consultant was indeed being paid in excess of \$50 per hour. KAL Consulting receives \$65 per hour. The Department believes that this rate is justified by the specialized and unique knowledge and skills of the consultant. The vendor was selected after the customary Request for Proposal (RFP) process.

Please share this information with your committee and accept our apologies for the incomplete information.

Respectfully,


Ken Merrifield
Administrator
Office of Business Management

SSM



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF THE COMMISSIONER

Nicholas A. Toumpas
Commissioner

129 PLEASANT STREET, CONCORD, NH 03301-3857
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October 7, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

RE: Responses to Questions on Health Information Exchange

Information

At the September 13, 2013 meeting of the Fiscal Committee, the Department of Health and Human Services (DHHS) was asked to provide additional information on an approved Item # 13-199. This request was to accept and expend remaining (\$672,671) of American Recovery & Reinvestment Act (ARRA) federal funds from the Office of National Coordinator (ONC) for the purpose of planning and implementation of a state level Health Information Exchange (HIE). The information requested is presented below.

Explanation

- Fiscal Situation is as follows.

| | |
|---|--------------|
| Fiscal Situation: | |
| Federal Agency: US DHHS, Office of the National Coordinator For Health Information Technology | |
| Total Grant Award Received For the Period: | |
| 2/8/2010 - 2/7/2014 | \$ 5,457,856 |
| Federal Cash Expended/Obligated | |
| 2/8/2010 - 6/30/2013 | \$ 4,785,075 |
| Balance Available for SFY 2014 | \$ 672,781 |

- HIE funds are not related to the Affordable Care Act (ACA).
- Project Funding Period: 2/8/2010 – 2/7/2014

- **Matching Fund Requirements**

Matching requirements are listed in the table below. State matching has already been met through prior year appropriation. The remaining match obligation will be met by the New Hampshire Health Information Organization (NHHIO), which is clearly defined in Exhibit B, Payment Provisions of their contract with NH DHHS.

| Federal Fiscal Year Of Funding | Matching Required |
|---------------------------------------|-----------------------------------|
| 2010 | None |
| 2011 (begins Oct. 1, 2010) | \$1 for each \$10 Federal Dollars |
| 2012 (begins Oct. 1, 2011) | \$1 for each \$7 Federal Dollars |
| 2013 (begins Oct. 1, 2012) | \$1 for each \$3 Federal Dollars |

- **Purpose of HIE Funding:**

In February 2010, ONC awarded \$5.5 M to New Hampshire through the State Health Information Exchange (HIE) Cooperative Agreement Program. Funding is to promote innovative approaches to secure exchange of health information within and across states as well as to ensure that health care providers and hospitals meet national standards and meaningful use requirements, thus able to qualify for the Medicare and Medicaid Electronic Health Record (E H R) Incentive Programs.

- **Purpose of NHHIO:**

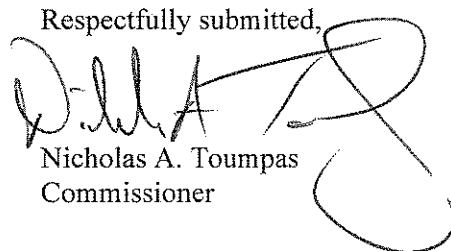
Pursuant to Chapter 232 (HB 489), Laws of 2011, the New Hampshire Health Information Organization Corporation (NHHIO) was formed to establish both an intra-state (within New Hampshire) and an inter-state (across states) capable Health Information Exchange. Chapter 232 (HB 489), Laws of 2011 required the DHHS Commissioner to enter into a contract with NHHIO to continue the progress toward developing a Health Information Exchange and to comply with Chapter 232 (HB 489). NHHIO, through its viable business operation, is to establish effective and efficient management and operation of state level HIE in compliance with ONC requirements.

- **Sustainability of NHHIO:**

NHHIO will continue to operate beyond the funding from ONC, which expires in February 2014, as a self-funded organization through the participation fees paid by the users of HIE network. NHHIO has users already on board and a three-year commitment from others to join the HIE network which will allow NHHIO to operate beyond the ONC grant funding.

- **The state level Health Information Exchange (HIE) is funded by federal appropriations that are not affected by the federal government shutdown.**

Respectfully submitted,



Nicholas A. Toumpas
Commissioner



Nicholas A. Toumpas
Commissioner

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF THE COMMISSIONER

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October 3, 2013

Representative Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Information

This letter contains a report of expenditures for the Child and Family Services and Child Development (child care) Program for July 2013 through September 2013 (SFY 2014) with similar expenditure information for the comparable period in SFY 2012 and 2013.

Explanation

Previously Chapter 263:109, Laws of 2007 (HB2), Rate Setting, required the Department to report quarterly on the status of appropriations for payments to providers and the rates established by the Department. While this provision is no longer required, the Department will continue to report quarterly as the information is helpful to legislative and executive leaders in understanding Child and Family Services and Child Development (child care) program expenditure trends.

This report for SFY 2014, first quarter, includes the current state fiscal year and the most recent two state fiscal years. The report format follows the individual class line budgets in the Division for Children, Youth and Families', Child and Family Services account and the Child Development (child care) Program account.

The Department is available to review this report at your convenience. Please do not hesitate to contact me if you have questions or need additional information about the expenditures.

Respectfully submitted,

Nicholas A. Toumpas
Commissioner

cc: Her Excellency, Governor Margaret Wood Hassan
The Honorable Chuck Morse, President of the Senate
The Honorable Terie Norelli, Speaker of the House

Attachments (2)

SFY 14 First Quarter Report for the Child and Family Services Account

| Class | Class Description | Services | SFY 2012 | | | SFY 2013 | | | SFY 2014 | | |
|-------|-------------------------|--|--------------|--------------|-----------------|--------------|--------------|-----------------|--------------|--------------|-----------------|
| | | | Expenditures | # of Clients | Cost Per Client | Expenditures | # of Clients | Cost Per Client | Expenditures | # of Clients | Cost Per Client |
| 101 | Med. Pay. to Providers | Clinics/Groups | \$ 14,200 | 67 | \$ 212 | \$ 14,025 | 81 | \$ 173 | \$ 10,510 | 72 | \$ 146 |
| | | Hospitals | \$ 110 | 1 | \$ 110 | \$ 579 | 1 | \$ 579 | \$ - | 0 | N/A |
| | | Pharmacy | \$ - | 0 | N/A | \$ - | 0 | N/A | \$ 299 | 1 | \$ 299 |
| | | Physicians' Services | \$ 37,033 | 7 | \$ 5,290 | \$ 41,436 | 7 | \$ 5,919 | \$ 21,388 | 3 | \$ 7,129 |
| | | Subtotal | \$ 51,343 | | | \$ 56,040 | | | \$ 32,197 | | |
| 108 | Provider Payments-Legal | Attorney | \$ 95,074 | 276 | \$ 344 | \$ 5,674 | 16 | \$ 355 | \$ 13,012 | 26 | \$ 500 |
| | | Guardian ad Litem | \$ 13,941 | 19 | \$ 734 | \$ 3,991 | 5 | \$ 798 | \$ 4,265 | 6 | \$ 711 |
| | | Subtotal | \$ 109,015 | | | \$ 9,665 | | | \$ 17,277 | | |
| 533 | Foster Care Services | Accompanied Transportation | \$ 30,800 | 84 | \$ 367 | \$ 33,291 | 90 | \$ 370 | \$ 20,140 | 61 | \$ 330 |
| | | Adoptive History Report | \$ 4,949 | 10 | \$ 495 | \$ 7,380 | 16 | \$ 461 | \$ 6,198 | 12 | \$ 516 |
| | | Alchl/Drug Abuse Ind Outpatient Counsl | \$ 72 | 1 | \$ 72 | \$ - | 0 | N/A | \$ 702 | 1 | \$ 702 |
| | | Child Health Support | \$ 4,694 | 6 | \$ 782 | \$ 9,888 | 13 | \$ 761 | \$ 10,034 | 13 | \$ 772 |
| | | Clinics/Groups | \$ 1,285 | 14 | \$ 92 | \$ 343 | 3 | \$ 114 | \$ 915 | 10 | \$ 92 |
| | | Crisis Home Bed | \$ 199 | 1 | \$ 199 | \$ 477 | 3 | \$ 159 | \$ 835 | 5 | \$ 167 |
| | | Diagnostic Evaluation | \$ 145 | 1 | \$ 145 | \$ 72 | 1 | \$ 72 | \$ 3,729 | 5 | \$ 746 |
| | | Educational Trans or Parent/Child Visit | \$ 41,053 | 73 | \$ 562 | \$ 31,751 | 44 | \$ 722 | \$ 35,114 | 43 | \$ 817 |
| | | Emergency Bed | \$ - | 0 | N/A | \$ 3,155 | 14 | \$ 225 | \$ 979 | 4 | \$ 245 |
| | | General Foster Home | \$ 471,758 | 409 | \$ 1,153 | \$ 536,164 | 429 | \$ 1,250 | \$ 426,510 | 399 | \$ 1,069 |
| | | Group Outpatient Counseling | \$ 37 | 1 | \$ 37 | \$ 446 | 1 | \$ 446 | \$ 149 | 1 | \$ 149 |
| | | Home Based Therapeutic Services | \$ 83,022 | 41 | \$ 2,025 | \$ 232,597 | 91 | \$ 2,556 | \$ 124,842 | 60 | \$ 2,081 |
| | | Individual Outpatient Counseling | \$ 1,662 | 14 | \$ 119 | \$ 6,635 | 20 | \$ 332 | \$ 4,664 | 13 | \$ 359 |
| | | Individualized Placement | \$ - | 0 | N/A | \$ 49 | 1 | \$ 49 | \$ - | 0 | N/A |
| | | Intensive Group Home/Ed Fac (Level 3) | \$ 1,115,216 | 264 | \$ 4,224 | \$ 1,106,318 | 246 | \$ 4,497 | \$ 1,057,828 | 211 | \$ 5,013 |
| | | Intermediate Group Home - (Level 2) | \$ 305,995 | 109 | \$ 2,807 | \$ 294,637 | 98 | \$ 3,006 | \$ 229,895 | 90 | \$ 2,554 |
| | | ISO - In Home | \$ 219,255 | 53 | \$ 4,137 | \$ 388,836 | 82 | \$ 4,742 | \$ 284,935 | 64 | \$ 4,452 |
| | | ISO Daily Rate | \$ 168,220 | 66 | \$ 2,549 | \$ 187,884 | 66 | \$ 2,847 | \$ 182,766 | 60 | \$ 3,046 |
| | | License Exempt Facility | \$ - | 0 | N/A | \$ 180 | 1 | \$ 180 | \$ 211 | 1 | \$ 211 |
| | | License Exempt Family, Friend, Neighbor | \$ - | 0 | N/A | \$ 1,367 | 3 | \$ 456 | \$ 2,820 | 6 | \$ 470 |
| | | Licensed Family Home | \$ - | 0 | N/A | \$ 9,148 | 12 | \$ 762 | \$ 7,839 | 11 | \$ 713 |
| | | Licensed Center | \$ - | 0 | N/A | \$ 88,336 | 77 | \$ 1,147 | \$ 77,105 | 64 | \$ 1,205 |
| | | Outreach and Tracking | \$ 56,244 | 71 | \$ 792 | \$ 69,834 | 41 | \$ 1,703 | \$ 25,041 | 19 | \$ 1,318 |
| | | Physician's Services | \$ - | 0 | N/A | \$ 1,993 | 1 | \$ 1,993 | \$ - | 0 | N/A |
| | | Public Transportation | \$ 1,125 | 1 | \$ 1,125 | \$ 2,641 | 3 | \$ 880 | \$ 745 | 1 | \$ 745 |
| | | Relative Home | \$ - | 0 | N/A | \$ - | 0 | N/A | \$ 2,671 | 2 | \$ 1,336 |
| | | Residential Treatment Facility (Level 4) | \$ 43,261 | 8 | \$ 5,408 | \$ 25,452 | 7 | \$ 3,636 | \$ 25,657 | 9 | \$ 2,851 |
| | | Shelter Care Facility | \$ 188,928 | 84 | \$ 2,249 | \$ 120,061 | 72 | \$ 1,668 | \$ 71,862 | 46 | \$ 1,562 |
| | | Specialized Foster Home | \$ 69,003 | 37 | \$ 1,865 | \$ 44,276 | 25 | \$ 1,771 | \$ 7,195 | 9 | \$ 799 |

| SFY 14 First Quarter Report for the Child and Family Services Account | | | | | | | | | | | |
|---|------------------------|--|--------------|--------------|-----------------|--------------|--------------|-----------------|--------------|--------------|-----------------|
| Class | Class Description | Services | SFY 2012 | | | SFY 2013 | | | SFY 2014 | | |
| | | | Expenditures | # of Clients | Cost Per Client | Expenditures | # of Clients | Cost Per Client | Expenditures | # of Clients | Cost Per Client |
| | | Supplemental Foster Home Payment | \$ 13,670 | 20 | \$ 683 | \$ - | 0 | N/A | \$ - | 0 | N/A |
| | | Therapeutic Day Treatment | \$ 32,220 | 8 | \$ 4,027 | \$ 50,302 | 12 | \$ 4,192 | \$ 22,449 | 8 | \$ 2,806 |
| | | Subtotal | \$ 2,852,814 | | | \$ 3,253,514 | | | \$ 2,633,831 | | |
| 534 | Adoption Services | Adoption Subsidy/Child in Placement | \$ 2,099 | 10 | \$ 210 | \$ 4,199 | 6 | \$ 700 | \$ 5,818 | 6 | \$ 970 |
| | | Adoptive Home Subsidy | \$ 1,765,745 | 984 | \$ 1,794 | \$ 1,632,728 | 890 | \$ 1,835 | \$ 1,259,488 | 824 | \$ 1,529 |
| | | Non-recurring Adoption Expense | \$ (71) | 1 | \$ (71) | \$ - | 0 | N/A | \$ - | 0 | N/A |
| | | Subtotal | \$ 1,767,773 | | | \$ 1,636,927 | | | \$ 1,265,307 | | |
| 535 | Out of Home Placements | Individualized Placement | \$ 49,232 | 14 | \$ 3,517 | \$ 87,962 | 14 | \$ 6,283 | \$ 28,890 | 5 | \$ 5,778 |
| | | Intensive Group Home/Ed Fac (Level 3) | \$ 3,069,969 | 359 | \$ 8,551 | \$ 2,666,849 | 321 | \$ 8,308 | \$ 2,580,222 | 302 | \$ 8,544 |
| | | Intermediate Group Home - (Level 2) | \$ 673,779 | 143 | \$ 4,712 | \$ 530,909 | 115 | \$ 4,617 | \$ 456,785 | 96 | \$ 4,758 |
| | | ISO Daily Rate | \$ 778,347 | 123 | \$ 6,328 | \$ 810,726 | 114 | \$ 7,112 | \$ 407,429 | 92 | \$ 4,429 |
| | | Out of Home 1 on 1 Aide | \$ 24,423 | 8 | \$ 3,053 | \$ 58,092 | 6 | \$ 9,682 | \$ 67,850 | 4 | \$ 16,963 |
| | | Relative Home | \$ 1,451 | 1 | \$ 1,451 | \$ 1,127 | 2 | \$ 563 | \$ - | 0 | N/A |
| | | Residential Treatment Facility (Level 4) | \$ 44,265 | 8 | \$ 5,533 | \$ 45,363 | 8 | \$ 5,670 | \$ 94,552 | 14 | \$ 6,754 |
| | | Shelter Care Facility | \$ 265,446 | 110 | \$ 2,413 | \$ 171,158 | 83 | \$ 2,062 | \$ 150,200 | 78 | \$ 1,926 |
| | | Subtotal | \$ 4,906,912 | | | \$ 4,372,186 | | | \$ 3,785,928 | | |
| 550 | Assess. & Counseling | Alchl/Drug Abuse ind Outpatient Counsl | \$ 2,147 | 8 | \$ 268 | \$ 4,810 | 11 | \$ 437 | \$ 2,888 | 10 | \$ 289 |
| | | Diagnostic Evaluation | \$ 11,476 | 14 | \$ 820 | \$ 25,453 | 34 | \$ 749 | \$ 19,882 | 34 | \$ 585 |
| | | Family Counseling | \$ 376 | 1 | \$ 376 | \$ 188 | 1 | \$ 188 | \$ 219 | 1 | \$ 219 |
| | | Group Outpatient Counseling | \$ 1,662 | 5 | \$ 332 | \$ 1,773 | 8 | \$ 222 | \$ - | 0 | N/A |
| | | Individual Outpatient Counseling | \$ 6,498 | 27 | \$ 241 | \$ 11,082 | 33 | \$ 336 | \$ 6,928 | 33 | \$ 210 |
| | | Subtotal | \$ 22,158 | | | \$ 43,306 | | | \$ 29,918 | | |
| 563 | Community Based Ser. | Accompanied Transportation | \$ 30,314 | 74 | \$ 410 | \$ 25,590 | 57 | \$ 449 | \$ 39,036 | 66 | \$ 591 |
| | | Adoption Subsidy/Child in Placement | \$ 27,404 | 22 | \$ 1,246 | \$ 17,210 | 13 | \$ 1,324 | \$ 14,041 | 13 | \$ 1,080 |
| | | Adoptive History Report | \$ 6,811 | 14 | \$ 486 | \$ 1,927 | 6 | \$ 321 | \$ 5,453 | 11 | \$ 496 |
| | | Adoption Home Subsidy | \$ 449,632 | 282 | \$ 1,594 | \$ 412,843 | 232 | \$ 1,779 | \$ 317,156 | 213 | \$ 1,489 |
| | | Child Health Support | \$ 387,518 | 199 | \$ 1,947 | \$ 361,968 | 204 | \$ 1,774 | \$ 358,302 | 172 | \$ 2,083 |
| | | Child In-Home Care | \$ 455 | 1 | \$ 455 | \$ - | 0 | N/A | \$ - | 0 | N/A |
| | | Family Support Services | \$ 11,917 | 57 | \$ 209 | \$ 10,122 | 4 | \$ 2,531 | \$ 53,866 | 5 | \$ 10,773 |
| | | Home Based Therapeutic Services | \$ 429,948 | 184 | \$ 2,337 | \$ 303,702 | 119 | \$ 2,552 | \$ 208,876 | 89 | \$ 2,347 |
| | | Interpreter Services | \$ 1,920 | 7 | \$ 274 | \$ 3,880 | 10 | \$ 388 | \$ 680 | 3 | \$ 227 |
| | | ISO - In Home | \$ 1,164,162 | 239 | \$ 4,871 | \$ 519,039 | 107 | \$ 4,851 | \$ 459,575 | 94 | \$ 4,889 |
| | | Out of Home 1 on 1 Aide | \$ - | 0 | N/A | \$ 660 | 1 | \$ 660 | \$ - | 0 | N/A |
| | | Outreach and Tracking | \$ 57,662 | 64 | \$ 901 | \$ 7,020 | 12 | \$ 585 | \$ 25,774 | 14 | \$ 1,841 |
| | | Public Transportation | \$ - | 0 | N/A | \$ 404 | 2 | \$ 202 | \$ - | 0 | N/A |
| | | Secure Transportation | \$ 15,584 | 86 | \$ 181 | \$ 9,560 | 56 | \$ 171 | \$ 4,981 | 24 | \$ 208 |

SFY 14 First Quarter Report for the Child and Family Services Account

| Class | Class Description | Services | SFY 2012 | | | SFY 2013 | | | SFY 2014 | | |
|---|-------------------|---------------------------|---------------|--------------|-----------------|---------------|--------------|-----------------|--------------|--------------|-----------------|
| | | | Expenditures | # of Clients | Cost Per Client | Expenditures | # of Clients | Cost Per Client | Expenditures | # of Clients | Cost Per Client |
| | | Therapeutic Day Treatment | \$ 113,129 | 25 | \$ 4,525 | \$ 12,618 | 4 | \$ 3,155 | \$ 12,025 | 6 | \$ 2,004 |
| | | TPR Study Report | \$ 679 | 2 | \$ 339 | \$ - | 0 | N/A | \$ - | 0 | N/A |
| | | Subtotal | \$ 2,697,136 | | | \$ 1,686,544 | | | \$ 1,499,766 | | |
| TOTAL | | Total | \$ 12,407,150 | | | \$ 11,058,182 | | | \$ 9,264,223 | | |
| Accounting Code:05-95-40-40301041-58550000 SFY12 & 13 | | | | | | | | | | | |
| Accounting Code:05-95-42-421010-29580000 SFY14 | | | | | | | | | | | |

SFY 2012-2014 First Quarter Expenses and Clients Served for Child Care

Accounting Code: 05-95-40-403510-61480000 SFY12 and SFY13
 Accounting Code: 05-95-42-421110-29770000 SFY14

Class

564 Protective Child Care

| Month | SFY12 | | | SFY13 | | | SFY14 | | |
|---------------|-------------------|-----------------|----------------|-------------------|-----------------|----------------|-------------------|-----------------|----------------|
| | Expenses | Children Served | Cost per Child | Expenses | Children Served | Cost per Child | Expenses | Children Served | Cost per Child |
| July | \$ 62,222 | 172 | \$ 362 | \$ 71,998 | 164 | \$ 439 | \$ 74,435 | 162 | \$ 459 |
| August | \$ 91,025 | 157 | \$ 580 | \$ 75,474 | 158 | \$ 478 | \$ 74,897 | 141 | \$ 531 |
| September | \$ 64,020 | 164 | \$ 390 | \$ 70,689 | 169 | \$ 418 | \$ 65,609 | 171 | \$ 384 |
| Totals | \$ 217,267 | 493 | \$ 441 | \$ 218,161 | 491 | \$ 444 | \$ 214,941 | 474 | \$ 453 |

564 Preventive Child Care

| Month | SFY12 | | | SFY13 | | | SFY14 | | |
|---------------|------------------|-----------------|----------------|-------------------|-----------------|----------------|------------------|-----------------|----------------|
| | Expenses | Children Served | Cost per Child | Expenses | Children Served | Cost per Child | Expenses | Children Served | Cost per Child |
| July | \$ 21,502 | 72 | \$ 299 | \$ 37,699 | 91 | \$ 414 | \$ 25,392 | 61 | \$ 416 |
| August | \$ 31,609 | 74 | \$ 427 | \$ 46,270 | 94 | \$ 492 | \$ 32,916 | 60 | \$ 549 |
| September | \$ 26,904 | 80 | \$ 336 | \$ 36,125 | 87 | \$ 415 | \$ 23,563 | 62 | \$ 380 |
| Totals | \$ 80,015 | 226 | \$ 354 | \$ 120,094 | 272 | \$ 442 | \$ 81,871 | 183 | \$ 447 |

36 Employment Related Child Care

| Month | SFY12 | | | SFY13 | | | SFY14 | | |
|---------------|---------------------|-----------------|----------------|---------------------|-----------------|----------------|---------------------|-----------------|----------------|
| | Expenses | Children Served | Cost per Child | Expenses | Children Served | Cost per Child | Expenses | Children Served | Cost per Child |
| July | \$ 1,904,637 | 5,053 | \$ 377 | \$ 2,293,838 | 5,173 | \$ 443 | \$ 2,621,350 | 5,568 | \$ 471 |
| August | \$ 2,397,696 | 5,055 | \$ 474 | \$ 2,314,670 | 5,219 | \$ 444 | \$ 2,399,287 | 5,517 | \$ 435 |
| September | \$ 1,981,848 | 5,136 | \$ 386 | \$ 1,893,149 | 5,050 | \$ 375 | \$ 2,013,460 | 5,345 | \$ 377 |
| Totals | \$ 6,284,182 | 15,244 | \$ 412 | \$ 6,501,657 | 15,442 | \$ 421 | \$ 7,034,097 | 16,430 | \$ 428 |



STATE OF NEW HAMPSHIRE
 DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
DIVISION of TRAVEL and TOURISM DEVELOPMENT
 172 Pembroke Road P.O. Box 1856 Concord, New Hampshire 03302-1856

Jeffrey J. Rose
 Commissioner

TEL: 603-271-2665
 FAX: 603-271-6870
 TRAVEL GUIDE: 800-386-4664
 WEBSITE: www.visitnh.gov
 E-MAIL: travel@dred.state.nh.us

October 1, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

INFORMATIONAL ITEM

In accordance with RSA 12-A:15-a, III, the Department of Resources and Economic Development, Division of Travel and Tourism Development, respectively submits the following report on activity within the Travel and Tourism Revolving Fund for Fiscal Year 2013:

Financial Summary

| | |
|--------------------------------|---------------|
| Balance Forward - July 1, 2012 | \$115,079 |
| Revenue | 146,759 |
| Less Expenditures | 62,676 |
| Less Encumbrances | <u>29,482</u> |
| Ending Balance - June 30, 2013 | \$169,680 |

Source of revenue includes the sale of bulk literature, brochure rack fees, and vending machines. Expenditures include costs associated with the Bulk Literature and Advertising and Promotional Services.

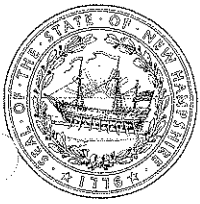
Respectfully submitted,

Concurred,

Lori Harnois
 Director

Jeffrey J. Rose
 Commissioner





John T. Beardmore
Commissioner

FIS 13 243

State of New Hampshire
Department of Revenue Administration

109 Pleasant Street
PO Box 457, Concord, NH 03302-0457
Telephone 603-230-5005
www.nh.gov/revenue



October 2, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Re: Refund Report

Dear Representative Wallner:

Pursuant to RSA 21-J:45, enclosed is the Department of Revenue Administration's refund report for September 2013.

Please contact me with any questions or concerns.

Sincerely,

John T. Beardmore
Commissioner of Revenue

Enclosure

TDD Access: Relay NH 1-800-735-2964

Individuals who need auxiliary aids for effective communication in programs and services of the Department of Revenue Administration are invited to make their needs and preferences known to the Department.

Department of Revenue Administration
 Refund Report for September 2013
 As Required by RSA 21-J:45

| | Count | September Refunds Issued | Count | September Current Claims Refunds Pending | Count | September taxpayer requested refund |
|------------------------------------|-----------|--------------------------|-----------|--|-----------|-------------------------------------|
| CORPORATE BPT | 247 | \$ 2,308,362 | | | | |
| PROPRIETORSHIP BPT | 131 | \$ 120,025 | | | | |
| PARTNER FID BPT | 86 | \$ 397,662 | | | | |
| TOTAL BPT | 464 | \$ 2,826,049 | | | 254 | \$ 2,078,676 |
| | | | | | | |
| BPT/BET Refunds Under Audit Review | | | 17 | \$ 330,552 | | |
| BPT/BET Refunds | | | 277 | \$ 1,956,916 | | |
| | | | | | | |
| BUSINESS ENTERPRISE | 157 | \$ 67,674 | | | 10 | \$ 11,077 |
| TOTAL BPT & BET | 621 | \$ 2,893,723 | | | | |
| | | | | | | |
| INTEREST & DIVIDENDS | 574 | \$ 945,865 | 2,207 | \$ 1,564,571 | 142 | \$ 191,214 |
| | Section 1 | | Section 2 | | Section 3 | |

Section 1 Refunds Issued This Month

This section is generated from the Lawson Financial System. It is the refunds processed by the Department of Revenue in the calendar month in question. (When looking at cash flow the actual refund for September will be sent out in a subsequent month.)

Section 2 Current Claims Pending This Month

This is all refunds pending that have not been processed. A pending refund can be the result of any of the following: a taxpayer request, system generated, or audit findings.

- a.) Taxpayer Requested Refunds: These are only refunds initiated by taxpayers received and data entered in the month reported on. These refunds can be from original or amended returns. Does not necessarily mean they will be granted.
- b.) System Generated Refunds: The DRA computer system identifies out of balance accounts and all of those out of balance accounts that favor taxpayers will appear as 'system refunds'. Most of these system generated refunds are resolved without actual refunds being paid. Example: an estimate credited to the wrong year would generate a system tax notice in one year and a system refund in another correctly transferring the estimate. The estimate resolves both the refund and the tax notice.
- c.) Refunds as a Result of Audit: Some audits result in refunds because evaluation of taxpayer records subsequent to an audit in September will result in the identification of a legitimate refund.

Section 3 Taxpayer Requested Refunds This Month

These are only refunds initiated by taxpayers received and data entered in the month reported on. These refunds can be from original or amended returns.



New Hampshire Fish and Game Department

FIS 13 250

HEADQUARTERS: 11 Hazen Drive, Concord, NH 03301-6500
(603) 271-3421
FAX (603) 271-1438

www.WildNH.com
e-mail: info@wildlife.nh.gov
TDD Access: Relay NH 1-800-735-2964

October 24, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

In accordance with RSA 206:42, Search and Rescue, the NH Department of Fish & Game is submitting the following Quarterly Report of Expenditures for the period July 1, 2013 to September 30, 2013.

| | |
|---|--------------------|
| Balance Forward from FY 2013 (Undesignated Reserve) | \$ (\$103,626.87) |
| Plus: Revenue FY 2014 | + 45,870.26 |
| Less: Expenditures (1 st Qtr. 07/01/13 - 09/30/13) (1) | - 90,257.19 |
| Personal Services | 69,740.75 |
| Current Expenses | 1,862.00 |
| Equipment | 0.00 |
| Benefits | 18,654.44 |
| In-State Travel | 0.00 |
| Out-of-State Travel | 0.00 |
| Total Expenditures – 1 st Qtr. (1) | - 90,257.19 |
| Less Encumbrances | - <u>20,581.10</u> |
| Balance Available 09/30/13 (per NHFirst) | \$(168,594.90) |

Footnotes:

1) Expenditures of \$90,257.19 shown represent direct expenditures charged to the Search & Rescue account in the 1st Quarter of the Fiscal Year.

REGION 1

629B Main Street
Lancaster, NH 03584-3612
(603) 788-3164
FAX (603) 788-4823
email: reg1@wildlife.nh.gov

REGION 2

PO Box 417
New Hampton, NH 03256
(603) 744-5470
FAX (603) 744-6302
email: reg2@wildlife.nh.gov

REGION 3

225 Main Street
Durham, NH 03824-4732
(603) 868-1095
FAX (603) 868-3305
email: reg3@wildlife.nh.gov

REGION 4

15 Ash Brook Court
Keene, NH 03431
(603) 352-9669
FAX (603) 352-8798
email: reg4@wildlife.nh.gov

ACTIVITY SUMMARY

During the period of July 1 to September 30, 2013, the Law Enforcement Division participated in the following Search & Rescue activities:

46 Missions involving the search for and/or rescue of 52 individuals – 216.5 reg. hours costing \$8,969.86, + 598.25 OT hours costing \$23,909.33, 11,307 miles costing \$6,275.39 + 45 ATV miles + \$20.00 of boat cost = 814.75 total hours, total cost \$39,174.58 and 11,352 miles.

3 Drowning Missions for the recovery of 3 victims – 162.5 hours costing \$6,731.36 + 72.75 OT hours costing \$2,880.38 + 26 dive hours costing \$650.00, 3,718 miles costing \$2,063.49 and \$280 in boat cost = 235.25 total hours, total cost \$12,605.23 and 3,718 miles.

49 Total Missions - 379 reg. hours + 671 OT hours = 1,050 total hours, total cost \$51,779.81 and 15,070 miles.

Miscellaneous activities including dive team training, search and rescue training, equipment maintenance or relays, educational or training speaking engagements, etc. – 173 reg. hours costing \$7,438.80, + 0.0 OT hours + 24 div hours costing \$600.00 + 3,016 miles costing \$1,673.88 = 173 total hours, total cost \$9,712.68 and 3,016 miles.

TOTAL: 552 reg. hours + 671 OT hours + 50 dive hours = 1,273 total hours, total cost \$61,492.49 and 18,086 miles.

ACTIVITY SUMMARY UPDATE FOR PERIOD APRIL 1, 2013 THROUGH JUNE 30, 2013

During the period April 1 to June 30, 2013, the Law Enforcement Division participated in the following Search and Rescue activities:

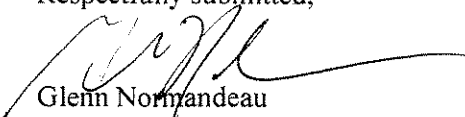
18 Missions involving the search for and/or rescue of 25 individuals – 324 reg. hours costing \$13,113.65, + 667.5 OT hours costing \$25,698.70 and 10,360 miles costing \$5,749.73 = 324 total hours, total cost \$44,562.08 and 10,360 miles.

1 Drowning Mission for the recovery of 1 victim – 53.75 hours costing \$2,154.92 + 39.75 OT hours costing \$1,530.00 + 12 dive hours costing \$300.00, 789 miles costing \$437.90 and \$100 in boat cost = 93.5 total hours, total cost \$4,522.82 and 789 miles.

Miscellaneous activities including dive team training, search and rescue training, equipment maintenance or relays, educational or training speaking engagements, etc. – 16 reg. hours costing \$633.29, + 0.0 OT hours + 160 miles costing \$88.80 = 16 total hours, total cost \$722.09 and 160 miles.

GRAND TOTAL: 945.75 reg. hours + 1,378.25 OT hours + 62 dive hours = 2,386 total hours, total cost \$111,299.54 and 29,395 miles.

Respectfully submitted,


Glenn Normandeau
Executive Director


Kathy Ann LaBonte, Chief
Business Division



New Hampshire Fish and Game Department

FIS 13 251

HEADQUARTERS: 11 Hazen Drive, Concord, NH 03301-6500
(603) 271-3421
FAX (603) 271-1438

www.WildNH.com
e-mail: info@wildlife.nh.gov
TDD Access: Relay NH 1-800-735-2964

October 23, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Information Item

In accordance with RSA 206:33-e III, the New Hampshire Fish and Game Department is submitting the attached report identifying funds received and expended from the Wildlife Heritage Foundation of New Hampshire and projects supported by the Foundation during Fiscal Year 2013.

Respectfully Submitted,

Kathy Ann LaBonte, Chief
Business Division

Glenn Normandeau
Executive Director

REGION 1

629B Main Street
Lancaster, NH 03584-3612
(603) 788-3164
FAX (603) 788-4823
email: reg1@wildlife.nh.gov

REGION 2

PO Box 417
New Hampton, NH 03256
(603) 744-5470
FAX (603) 744-6302
email: reg2@wildlife.nh.gov

REGION 3

225 Main Street
Durham, NH 03824-4732
(603) 868-1095
FAX (603) 868-3305
email: reg3@wildlife.nh.gov

REGION 4

15 Ash Brook Court
Keene, NH 03431
(603) 352-9669
FAX (603) 352-8798
email: reg4@wildlife.nh.gov



WILDLIFE HERITAGE
FOUNDATION of NH

SHARING THE PAST. SHAPING THE FUTURE.

ANNUAL REPORT

Submitted to the New Hampshire Fish and Game Department

Fiscal Year Ending 6-30-13

A handwritten signature in black ink, appearing to read 'S. White', is written over a horizontal line.

Steven R. White
Chairman

10/10/13
Date

Annual Report for Fiscal Year Ending 6-30-13

The Wildlife Heritage Foundation of New Hampshire is the official non-profit partner of the New Hampshire Fish and Game Department as authorized by RSA 206:33-c.

Board Summary

At the Annual Meeting in September 2012, the Board of Directors unanimously approved the reappointment of the following Directors for a period of three (3) years:

- Steven R. White
- Joseph A. Bellavance, IV
- Angus T. Boezeman
- Kathleen C. Paine

In addition, the change in the following officers was also unanimously approved:

- J. Bellavance stepped down as Secretary and was replaced by J. Howe
- C. Miner stepped down as Treasurer and was replaced by J. Bellavance

The Coordinator Nancy Berliner left the Foundation in December 2012, and a new Coordinator, Gail Huntting, was hired in April 2013.

Board of Directors FYE 6-30-13

| | |
|------------------------------------|----------------|
| Steven R. White, Chair | 2013-2016 |
| Joseph A. Bellavance IV, Treasurer | 2013-2016 |
| Jonathan K. Howe | 2012-2015 |
| Donald H. Beauchesne | 2011-2014 |
| Angus Boezeman | 2013-2016 |
| Charles R. Miner, Jr. | 2012-2015 |
| John R. Monson | 2011-2014 |
| Kathleen Paine | 2013-2016 |
| Kenneth P. Wilson | 2011-2014 |
| Donald A. Normandeau | Chair Emeritus |
| Glenn Normandeau, Director | |
| Director, NH Fish & Game | Ex Officio |
| Robert Phillipson, Commissioner | |
| NH Fish and Game Commission | Ex Officio |

Fundraising

- Moose Permit Auction - The 4th annual permit auction in August 2012 brought in 12 bids from 4 states, with the 5 winning bids ranging from \$8,200 to \$8,750, producing a net of \$36,370 for grant awards.
- 30/30 Gun Raffle - "30 drawings for 30 guns" was the theme of the raffle, with 1,000 tickets available at \$40 each. The Foundation partnered with the Barn Store of New England which handled all the firearms. Tickets were sold from 8/01/12 - 11/30/12, and the drawings were held daily from 11/01/12 through 11/30/12. Winning tickets were posted on the Foundation website as well as on radio stations WTPL 107.7 and KISS 102.3. The net from the raffle was \$12,045.02 with the proceeds restricted to the Law Enforcement Division.

- **Charitable Gaming** - The Foundation partnered for a third year with Lakes Region Casino in April 2013 for ten days with the Foundation receiving 35% of the net proceeds at the Casino less the cost of the hall rental. Proceeds from the Gaming fundraiser netted \$6,406 for Foundation overhead expenses.
- **Hunting & Fishing Day Expo Raffle** - Again one of the sponsors of the annual Expo, the Foundation held a raffle of goods donated by exhibitors and others, raising \$1,480 for Foundation overhead expenses.

The Fundraising Committee will be reviewing several options for fundraising events in the new fiscal year. An agreement with Amoskeag Auction House for the sale of private firearms to benefit the Foundation is being finalized at this time. The Foundation's power point presentation will be updated allowing staff and board members to make presentations to sportsmen's and civic clubs, as well as foundations and private potential donors.

Public Relations

In addition to having a tent as a co-sponsor at the annual Hunting & Fishing Day, the Foundation also had a table at the Discover Wild New Hampshire Day. At both events, display boards were mounted on easels with Foundation information, flyers were available, and a one-page summary was handed out with a brief description of the Foundation and the grants made to Fish & Game.

Exhibits were also set up at the Fish & Game booths at the following events in the summer & fall of 2012:

- Hopkinton Fair
- Kittery Trading Post
- Wild & Scenic Film Festival in Waterville Valley
- Lancaster Fair

The website was updated to provide photos and testimonials from the 2012 Moose Hunt as well as bidding information for the 2013 auction.

Donations

- **Memorial Gifts** - The Foundation reached out to funeral home directors in NH asking they list the Foundation as an option for memorial gifts. This has resulted in \$875 in memorial donations. The Foundation will follow up with these homes again in the new fiscal year.
- **Donations** - \$142,568 was received in donations (in addition to the memorial gifts). Some of the donations were general Foundation support, while some were designated to specific Fish & Game programs.

Grants Awarded

Listed below are programs/projects that received approval for grant awards during Fiscal Year Ending 6-30-13 using funds generated by the 2012 Moose Permit Auction, as well as a balance of auction funds carried over from the 2011 auction:

| Program Title | Grant | Status |
|-----------------------------------|---------|---|
| Bear Cub Rehabilitation | \$2,500 | Completed and paid |
| Great Bay Pavilion | \$9,943 | In process - grant term extended to 6/30/14 |
| Hunting & Fishing Expo 2013 | \$4,800 | Completed - awaiting invoice |
| Kiosks - Connecticut Lakes Region | \$6,700 | In process |

| | | |
|---------------------------------------|----------|---|
| Mapping Ovigerous Female Lobsters | \$1,938 | In process |
| North American Moose Conference 2013 | \$7,130 | Completed - awaiting invoice |
| Operation Game Thief Trailer | \$10,000 | In process - grant term extended to 4/01/14 |
| River Herring Passage on Wadleigh Dam | \$8,194 | In process |

Grants Previously Awarded but Not Completed

| Program Title | Grant | Balance | Status |
|--------------------------------------|----------|------------|-------------------------------|
| Karner Blue Butterfly Transportation | \$2,000 | \$1,470.90 | Extension granted to 6/20/14 |
| Owl Brook Shotgun Range | \$10,000 | \$2,997.50 | Awaiting extension request |
| Barry Camp Rehabilitation | \$10,000 | \$1,085.91 | Funds used - awaiting invoice |

Restricted Fund Accounts

The Foundation maintains donor restricted funds in 5 accounts. These funds were received by the Foundation from individuals, companies, and game clubs, all designating the funds to a specific Fish & Game program. These funds are listed and tracked separately from any grant awards, and are now referred to as "Donor Restricted Funds". Going forward, requests for these monies will be sent to the Foundation as a "Funding Request", and approval of the request will be sent as a "Funding Approval".

| Program / Division | Balance |
|--|-------------|
| Aerial Stocking of Remote Ponds | \$ 1,000.00 |
| Barry Camp - Ongoing Maintenance & Repairs | \$56,415.06 |
| Canine Search & Rescue | \$15,104.98 |
| Kids for Karner Butterfly Restoration | \$ 5,900.00 |
| Operation Game Thief Trailer | \$20,000.00 |

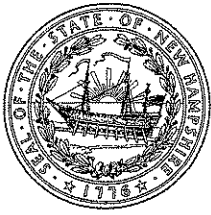
Financial Report

The following financial information for FYE 6-30-13 was provided to the Foundation by Carew & Wells, PLLC:

| | |
|---|-------------------------|
| Support and Revenue | |
| Contributions | \$ 80,776.19 |
| Fundraising | 62,439.65 |
| Investment Income | <u>278.17</u> |
| | \$ 143,494.01 |
| Expenses | |
| Grants and Restricted Funds paid to Fish and Game | \$ 37,442.10 |
| Operating Expense | <u>21,600.42</u> |
| | \$ 59,042.52 |
| Net Income | \$ 84,451.49 (1) |

Note: (1) This figure constitutes committed grants, donor restricted funds and operating reserve

###



STATE OF NEW HAMPSHIRE **FIS 13 253**
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
OFFICE of the COMMISSIONER

172 Pembroke Road P.O. Box 1856 Concord, New Hampshire 03302-1856

603-271-2411
FAX: 603-271-2629

October 1, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House, Room 102
107 North Main Street
Concord, NH 03301

INFORMATIONAL ITEM

In accordance with RSA 162-N:9, the Department of Resources and Economic Development respectfully submits the attached report regarding the New Hampshire Economic Revitalization Zone Tax Credit Program.

EXPLANATION

RSA 162-N:9 requires the Commissioner of Resources and Economic Development file an annual report with the Governor, the Senate President, the Speaker of the House of Representatives and the Fiscal Committee. This report details the results of the program.

If you have any questions regarding the results of this report, please contact me.

Respectfully submitted,

Concurred,

Christopher S. Way
Interim Director, Division of Economic Development

Jeffrey J. Rose
Commissioner

Attachment
JJR:CW:lc



STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
DIVISION OF ECONOMIC DEVELOPMENT
172 Pembroke Road P.O. Box 1856 Concord, New Hampshire 03302-1856

603-271-2341
FAX: 603-271-6784
www.nheconomy.com

October 1, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House, Room 102
107 North Main Street
Concord, NH 03301

Dear Chairman Wallner:

The following is a report detailing the current status of the Economic Revitalization (ER) Zone Tax Credit Program, as administered by the NH Department of Resources and Economic Development.

Many new Economic Revitalization Zones were applied for and received designation. A list of all ER Zones in the state is attached.

- In 2011 a total of 18 new ER Zones in 11 different communities were established. These include Barnstead (2), Concord (1), Gilford (2), Laconia (2), Milford (2), Nashua (1), Newport (5) and Salem (3).
- 2011 was the most successful year thus far for the New Hampshire Economic Revitalization Zone Tax Credit. The entire \$825,000 statewide allocation was utilized by New Hampshire Companies that invested in jobs and capital expenditures within designated Revitalization Zones throughout the state. Total capital expenditures exceeded \$49,000,000.00 and an average of \$60.60 was invested for every \$1 of credit awarded. These tax credits were also based on the creation of 368 jobs resulting in \$2,241.84 awarded for every job created.
- In 2012 through July 31st, a total of 9 new ER Zones were established in 7 different communities. These include Bow (2), Exeter (1), Henniker (1), Lincoln (1), Londonderry (1), Merrimack (2) and Portsmouth (1).

If you have any questions regarding this information, please feel free to contact Chris Way, interim Director of the Division of Economic Development.

Sincerely,

Jeffrey J. Rose
Commissioner



TDD ACCESS: RELAY NH 1-800-735-2964 recycled paper

DIVISION OF ECONOMIC DEVELOPMENT 603-271-2341

Approved New Hampshire Economic Revitalization (ERZ) Zones NH Division of Economic Development

Amherst

- 1) Route 101 ERZ (approved 9/12)
- 2) Route 101A ERZ (approved 9/12)
- 3) The Meeting Place ERZ (approved 9/12)
- 4) LaBelle Winery ERZ (approved 9/12)

Contact: 603-673-6041

Antrim

- 1) Antrim Mills Development, Map 1A Lot 170-1, Main Street and High Street (approved 2/06)

Contact: 603-588-6785

Auburn

- 1) Wellington Business Park (approved 9/09)

Contact: 603-483-5052 x111

Barnstead

- 1) 72 South Barnstead Road (approved 5/11)
- 2) Suncook River Realty Trust LLC, located at 27 Depot Street (approved 5/11)

Contact: 603-269-4017 x104

Berlin

- 1a) East Milan Road Sites: Maynesboro Industrial Park (approved 12/04)
- 1b) East Milan Road Sites: Potential land for industrial park expansion (approved 12/04)
- 2) Downtown Berlin (approved 12/04)
- 3a) Route 110 Sites of the former Bass Shoe Building and adjacent properties (approved 12/04)
- 3b) 25 acres of the Berlin Industrial Development & Park Authority property (approved 12/04)

Contact: 603-752-7532

Bow

- 1) Dow Road Limited Industrial Area (approved 3/12)
- 2) Bow Business Zone (approved 6/12)

Contact: 603-228-1187 x10

Bristol

- 1) Town of Bristol ERZ 1 (approved 9/12)
- 2) Town of Bristol ERZ 2 (approved 9/12)

Contact: 603-744-3354 x14

Chesterfield

- 1) Chesterfield Industrial Park (approved 4/10)

Contact: 603-363-4624

Claremont

- 1) The Syd Clarke, Ashley Landing, and Riverbend Industrial Parks, and the River Road Tax Incremental Finance District (approved 6-05)
- 2) Downtown TIF/Crop Zone (approved 6-05)
- 3) Claremont Airport (approved 7-09)
- 4) Sugar River (approved 7-09)
- 5) Twistback Road (approved 7-09)

Contact: 603-542-7002

Colebrook

- 1) The Colebrook Business District (approved 3/05)

Contact: 603-237-4142 or 4070

Concord

- 1) Penacook Tax Increment Finance District (approved 8/11)
- 2) Whitney Road Corridor (approved 2/13)

Contact: 603-225-8595

Conway

- 1) 1571 East Main Street (approved 3/07)
- 2) Technology Village (approved 7/10)

Contact: 603-447-3811

Derry

- 1.) Downtown (approved 8/10)
- 2.) Route 28/Manchester Rd (approved 8/10)

Contact: 603-432-6100 x5403

Dover*Contact: 603-516-6008*

- 1) Central Business District (approved 6/09)
- 2) Industrial Park (approved 6/09)
- 3) Locust Street (approved 6/09)
- 4) Mast Road Site (approved 12/10)
- 5) Sixth Street Site (approved 12/10)

Durham*Contact: 603-868-5571*

- 1) Durham Downtown Economic Revitalization Zone (approved 5/10)

Exeter*Contact: 603-778-0591*

- 1) Epping Road Corridor (approved 2/12)

Farmington*Contact: 603-755-2774 x37*

- 1) 56 Davidson Rd, the former Collins Aikman site (approved 1/10)
- 2) Sarah Greenfield industrial Park (approved 1/3/13)
- 3) Main St. from Elm St. To Blouin St.; Central St. from Main St. to Lilac St.

Franklin*Contact: 603-934-3900*

- 1) Franklin Industrial Park (Off of South Main Street) (approved 6/09)

Gilford*Contact: 603-527-4700*

- 1) State Route 11-A at 3 and 6 Sawmill Road (approved 2/06)
- 2) Lakes Business Park, Phase 2 (approved 7/11)

Goffstown*Contact: 603-497-8990 x118*

- 1) Gentle Slopes Industrial Park (approved 4/10)
- 2) Tatro Drive Industrial Park (approved 4/10)
- 3) Pond View Industrial Park (approved 4/10)
- 4) Benchmark Site (approved 4/10)

Gorham*Contact: 603-466-3322*

- 1) Glen Road Industrial Park (approved 4/08)
- 2) Lancaster Rd/B-G Rd/Fraser Papers District (approved 4/08)

Haverhill*Contact: 603-787-6800*

- 1) North Haverhill Water & Light District (approved 12/04)
- 2) Woodsville Fire District (approved 12/04)

Henniker*Contact: 603-428-3221 x225*

- 1) Heavy Commercial Zone –CH, Old Concord Road (approved 7/12)

Hinsdale*Contact: 603-336-5710*

- 1) Roadside Commercial District (approved 8/06)
- 2) Commercial/Industrial District and Business District (approved 8/06)

Hooksett*Contact: 603-485-8471*

- 1) Former Chinese Food Restaurant (approved 12/09)
- 2) The Valley Industrial Park (approved 12/09)
- 3) Exit 11 - W. River Rd., Hackett Hill Rd., and Cate Rd. (approved 12/09)
- 4) Exit 10 – W. River Rd., Technology Dr., and Kimball Dr. (approved 12/09)
- 5) Londonderry Turnpike – Londonderry Tpke., Eastpoint Dr., and Sutton Circle (approved 12/09)

Hudson*Contact: 603-886-6024*

- 1) Clement Road Industrial Park (approved 11/08)
- 2) Sagamore Industrial Park (approved 11/08)
- 3) 267 Lowell Road (approved 4/13)

Jaffrey*Contact: 603-532-7880*

- 1) Drumlin Industrial Park – Airport - Millipore (approved 6/06 & 12/04)

Updated 10/4/2013

- 2) Stone Arch Bridge Industrial Park (approved 12/04)
- 3) 39 Webster St (approved 12/04)

Keene*Contact: 603-357-9804*

- 1) Black Brook Corporate Park (approved 11/06)
- 2) Black Brook North Park and other adjacent properties (approved 11/06)

Laconia*Contact: 603-527-1270*

- 1) Lakes Business Park (approved 8/11)
- 2) O'Shea Industrial Park (approved 11/11)
- 3) Downtown District (approved 5/12)

Lincoln

- 1) Industrial Park/ Route 3 Corridor (approved 2/12)

*Contact: 603-745-8527***Londonderry***Contact: 603-432-1100*

- 1) Pettengill Road (approved 7/09)
- 2) Route 28/Jack Bridge Road/Page Road (approved 7/12)

Manchester*Contact: 603-624-6505*

- 1) Census Tracts 6, 14, 19, 25 & 26 (approved 12/04)
- 2) Census Tracts 4 and 5 (approved 3/05)
- 3) Census Tracts 2.01, 2.02, 3, 13, 15, 16, 17, 18, 20 & 21 (approved 3/09)

Merrimack*Contact: 603-424-2331*

- 1) 40 Continental Boulevard (approved 3/10)
- 2) 6,8,10-21 Continental Boulevard (approved 12/10)
- 3) 57-59 Daniel Webster Highway (approved 12/10)
- 4) 526 & 534 DW Highway and 6 William Street (approved 5/12)
- 5) 360 DW Highway (approved 5/12)

Milford

- 1) Economic Revitalization Zone (approved 10/11)
- 2) Powers Street Economic Revitalization Zone (approved 12/11)

*Contact: 603-249-0620***Nashua***Contact: 603-589-3098*

- 1) Census Tracts 105, 106, 107, & 108 (approved 10/06)
- 2) Westwood Industrial Park, Tax Lots H-575, H-576, H-633, H-634 & H-645 (approved 12/07)
- 3) Spit Brook Rd (approved 10/09)
- 4) Southwood-Trafalgar (approved 10/09)
- 5) Northeastern Boulevard (approved 7/10)
- 6) Amherst Street (approved 7/10)
- 7) Perimeter Road (approved 7/11)

Newport*Contact: 603-863-6278*

- 1) North Main Street United Construction Site (approved 2/11)
- 2) Bald Mountain TIF District (approved 2/11)
- 3) Newport Mills (approved 2/11)
- 4) Arlington Sample Brook Property (approved 3/11)
- 5) Former Autoserve Dealership (approved 3/11)

Newton*Contact: 603-382-4405 x14*

- 1) Puzzle Lane Industrial Park (approved 12-09)

Northfield*Contact: 603-286-7039*

- 1) Exit 19/Freudenberg (approved 6/13)
- 2) Route 140 (approved 6/13)
- 3) Scribner Road ERZ (approved 6/13)

- Northumberland** *Contact: 603-636-1450*
 1) Commercial – Map U-01, U-02, U-03, U-07, R-03, R-05, R-06, R-08, R-18 (approved 3/06)
 2) Light Industrial – Map R-03, R-04, R-15, R17 (approved 3/06)
 3) Heavy Industrial – Map U-02, U-05 (approved 3/06)
- Peterborough** *Contact: 603-924-8000 x116*
 1) North Route 202 Business/Industrial District (approved 4/09)
 2) South Route 202 Business/Industrial District (approved 4/09)
- Pittsfield** *Contact: 603-435-6773*
 1) Downtown Pittsfield (approved 9/09)
 2) Route 107 Corridor (approved 5/10)
- Plaistow** *Contact: 603-382-5200 x13*
 1) Town of Plaistow Economic Revitalization Zone (approved 9/09)
- Portsmouth** *Contact: 603-610-7220*
 1) High Liner Avenue (approved 9/09)
 2) Pease Tradeport (approved 10/09)
 3) Commerce Way Business Park (approved 7/10)
 4) Heritage-Constitution Industrial Park (approved 7/12)
- Raymond** *Contact 603-895-4735*
 1) Exit 4 (approved 3/11)
 2) Exit 5 (approved 3/11)
 3) Southern Area of Old Southside Road (approved 3/11)
 4) Northern Area of Old Southside Road (approved 3/11)
 5) Chester Road (approved 3/11)
- Rochester** *Contact: 603-335-7522*
 1) Granite State Business Park, Airport Dr. (approved 10/08)
 2) Granite Ridge Development District (approved 10/12)
 3) Cocheco Revitalization Zone (approved 2/13)
- Salem** *Contact: 603-890-2007*
 1) Former Cisco/Celestica Plant, 9 Northeastern Boulevard (approved 11/10)
 2) Former Macy's Location at the Mall at Rockingham Park (approved 3/11)
 3) Depot Intersection Redevelopment Northwest, Tuscan Village (approved 5/11)
 4) Keewaydin Drive Extension (approved 5/11)
 5) West Side I-93 Exit 2 (approved 6/13)
- Seabrook** *Contact: 603-474-3311*
 1) Commercial Zone 2 – East
 2) Commercial Zone 2 – West
 3) Commercial Zone 3 – East
 4) Commercial Zone 3 – West
- Somersworth** *Contact: 603-692-9502*
 1) Business and Historic Mill District (approved 5/09)
 2) Route 108 Transportation Corridor (approved 5/09)
- Stratford** *Contact: 603-922-5533*
 1) 43 and 127 Washburn Rd (approved 12/06)
- Swansey** *Contact: 603-352-7411*
 1) Swansey Revenue Development District / Economic Revitalization Zone (SRDD/ERZ)
 (approved 2/10)
 2) Homestead Woolen Mills District / Economic Revitalization Zone (HWMD/ERZ)
 (approved 2/10)

Walpole

Contact: 603-756-3672

- 1) Industrial Park Road Zone (approved 12/09)
- 2) Meadows Along Main Commercial / Industrial Zone (approved 12/09)
- 3) Whitcomb-Lane Construction Zone (approved 12/09)
- 4) Walpole Downtown Commercial Zone (approved 12/09)

Winchester

Contact: 603-239-4951

- 1) AC Lawrence Leather Tannery (approved 2/06)

Wolfeboro

Contact: 603-569-8161

- 1) Wolfeboro Business Park (approved 9/12)
- 2 ERZ #2 – Center Street (Route 28) Lehner Street and Pine Street (approved 6/13)

Orr&Reno

October 29, 2013

Via hand-delivery

William L. Chapman
George W. Roussos
Howard M. Moffett
James E. Morris
John A. Malmberg
Douglas L. Patch
Steven L. Winer
Peter F. Burger
Lisa Snow Wade
Susan S. Geiger
Jennifer A. Eber
Jeffrey C. Spear
Connie Boyles Lane
Judith A. Fairclough
Maureen D. Smith
Todd C. Fahey
James F. Laboe
Robert S. Carey
John M. Zaremba
Heidi S. Cole
Jeremy D. Eggleton
Rachel A. Goldwasser
Rebecca E. Perkins

Representative Mary Jane Wallner, Chair
Fiscal Committee
107 N. Main St.
State House
Concord, NH 03301

Re: *Tri-County Community Action Program*

Dear Representative Wallner:

I write in my capacity as Special Trustee of Tri-County Community Action Program, Inc. ("TCCAP") and to report on the disposition of funds made available to TCCAP from the legislature. As you may recall, there were several funding sources made available to TCCAP, some of which are subject to ongoing reporting, and others which will be noted for informational purposes. This report will detail the status of funds advanced and the status of recovery of funds from third parties.

I. Status and Disposition of Funds Advanced

As previously noted (by letter of September 4, 2013) the \$533,000 made available to TCCAP to retire its Fuel Assistance Program advance has been used for that purpose. Except to advise about recovery from third parties (as in Section II), there is no further need to report on these funds because they have been advanced, directed to, and received by the appropriate recipient. That liability is extinguished.

HB-2 also made available a \$250,000 revolving loan fund for TCCAP. On October 4th, and at the State's request, I drafted and forwarded documents to the State on October (c/o the State's Administrative Services Department) to establish this credit facility. As of this date, those funds have not been made available to TCCAP because the documents establishing the credit facility are -- upon information and belief - continuing to be reviewed by the State.

The balance of the funding, \$500,000 has been received and managed as follows:

- a. the balance of funds due to TCCAP's Granite State

Lawrence A. Kelly
(Of Counsel)

Neil F. Castaldo
(Of Counsel)

Guardianship Program Services (\$164,000) have been repaid in full. Except to advise about third party recovery, no further reporting is required.

- b. The remainder of the \$500,000 advance (\$336,000) (which is to be paid to TD Bank) is being held in a separate interest bearing savings account at TCCAP. We are awaiting instruction from TD Bank as to how to (a) retire this liability; and (b) reestablish a line of credit in approximately the same amount based upon the forgoing payment.

II. Status of Recovery from Third Parties

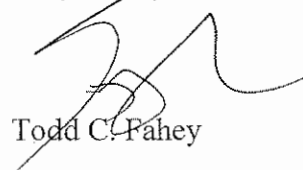
As previously reported, TCCAP has made claims to recover funds from various insurance policies. On October 8, 2013, Philadelphia Insurance Company sent a letter to the undersigned denying coverage for what it deemed an "employee theft." This denial and possible steps to address it are being considered as of the date of this writing.

By letter dated October 24, 2013, Anne Edwards, Interim Director of Charitable Trusts of the New Hampshire Attorney General's Office, filed a notice of claim upon TCCAP's Directors and Officer Liability and other insurance provided through its carrier, Philadelphia Insurance Company. No response has yet been received to that letter.

TCCAP itself, as a corporate entity, has not brought any claims against any other party to recover from any losses. It is doubtful that it will have the ability to prosecute any such claims - given its financial standing - but it stands ready to assign any claims to any entity (notably the State of New Hampshire) that may have been harmed by nonfeasance or malfeasance of any third party involved in TCCAP's fiscal troubles.

I will file an additional report in November in the same format as this report unless you should desire a different format. I am available to answer any questions you or the committee may have at your leisure. Please feel free to contact me with any questions or concerns.

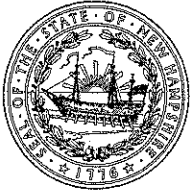
Very truly yours,



Todd C. Pahey

TCF:jw

1077404_1



Nicholas A. Toumpas
Commissioner

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF THE COMMISSIONER

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 1-800-852-3345 Ext. 9200
Fax: 603-271-4912 TDD Access: 1-800-735-2964

October 29, 2013

Representative Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Information

Pursuant to Chapter 143:14, Laws of 2013, the Department of Health and Human Services is providing information on planned budget reductions to the Sununu Youth Services Center (SYSC) for State Fiscal Year (SFY) 2014. Chapter 143:14 requires SYSC general fund appropriations to be reduced by \$500,000 for SFY14 and by \$750,000 for SFY15. The plan, as outlined herein, reduces SFY14 appropriations by \$231,926 and the Department will be seeking authorization of the Legislature to move the balance of the reduction (\$268,074) to SFY15.

Explanation

Chapter 143:14, Laws of 2013 requires the Department to reduce the appropriation for the Sununu Youth Services Center (SYSC). Specifically, the law reads as follows:

143:14 Department of Health and Human Services, Sununu Youth Services Center; Reduction in Appropriation. The department of health and human services is hereby directed to reduce state general fund appropriations to the Sununu Youth Services Center by \$500,000 for the fiscal year ending June 30, 2014 and by \$750,000 for the fiscal year ending June 30, 2015. The department shall develop a reduction plan for the reductions required under this section and present the plan to the fiscal committee of the general court no later than September 30, 2013.

Delays in submitting the item were incurred in an effort to garner stakeholder support for the cost reductions proposed.

The Division for Children, Youth and Families plans to take immediate action on the cost reductions outlined below. These efforts account for a total savings of \$231,926 in SFY14, with no anticipated negative impacts to the youth served by SYSC.

The Department continues to work on a complete reduction plan and will seek authorization from the legislature to delay the remaining SFY14 reductions of \$ 268,074 to SFY15 and to achieve the \$1,250,000 reduction for the biennium.

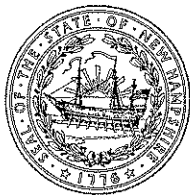
Sununu Youth Services Center
Reductions in Costs and General Fund Appropriations
Fiscal Year Ending June 30, 2014

| | |
|--|------------------|
| 1. Hold vacant position #11610 Registered Nurse III that became Vacant on July 31, 2013 when the incumbent retired from state Service. (net of payout) | \$30,154 |
| 2. Downgrade vacant position #11724 from a Registered Nurse III to a Registered Nurse II | \$13,475 |
| 3. Delay filling for six months position #19459 Teacher II that became vacant on August 15, 2013 | \$34,934 |
| 4. Hold vacant position #16959 Teacher I that became vacant last fiscal year | \$70,567 |
| 5. Hold vacant position #11668 Executive Secretary that became vacant September 21, 2013 | \$25,858 |
| 6. Restructured the ordering of food | \$50,000 |
| 7. Reduce expenses within SYSC Business office | \$1,938 |
| 8. Improved the ordering of supplies for the facility | <u>\$5,000</u> |
| | <u>\$231,926</u> |

Respectfully submitted,


Nicholas A. Toumpas
Commissioner

cc: Her Excellency, Governor Margaret Wood Hassan
The Honorable Chuck Morse, President of the Senate
The Honorable Terie Norelli, Speaker of the House



Nicholas A. Toumpas
Commissioner

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF THE COMMISSIONER

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 1-800-852-3345 Ext. 9200
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October 29, 2013

Representative Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Re: New Hampshire Hospital Corrective Actions

Information

At the February 17, 2012 meeting of the Fiscal Committee, the Legislative Budget Assistant's Office presented its audit report on New Hampshire Hospital for the nine months ended March 31, 2011. During the discussion, a request was made for regular updates on the status of implementation of corrective action plans identified to address findings outlined in the audit. The following information is being presented in response to that request.

Explanation

The audit report identified findings and made recommendations in a number of areas. The status of the corrective actions is summarized as follows:

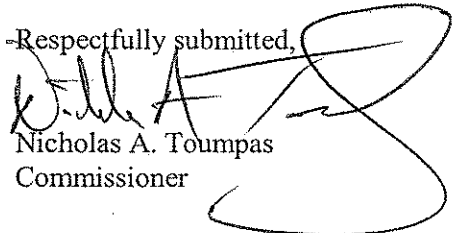
| | | |
|-----------------------------|----|---|
| Complete | 24 | |
| Complete 09/30/13 | 1 | |
| Estimated Complete 12/31/13 | 7 | |
| Indeterminate | 1 | Requires action from other units or legislature |

The current status of the corrective actions is as follows. The Department will continue to work toward resolving the issues identified in the audit and will keep the Fiscal Committee informed of the progress.

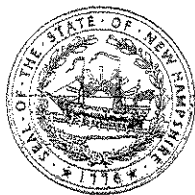
1. Appropriate Controls Over Financial Operations Should Be Established-Estimated Completion Date: 12/31/2013
2. Effective System For Documenting Patients' Continuing Need For Psychiatric Hospital Level Care Should Be Implemented-Complete 1/18/13
3. Financial Oversight Of Psychiatric Services Contract Should Be Improved-Complete 2/7/13
4. Equipment Purchased Under The Dartmouth Medical School Services Contract Should Be Reviewed-Completed in March 2011
5. Pharmaceutical Inventory Controls Should Be Improved-Estimated Completion: 12/31/2013
6. Pharmaceutical Contract Payments Should Be Monitored For Efficiency-Complete 6/22/2012
7. Adherence With Cafeteria Accountability Controls Should Be Improved-Complete: 5/18/2012
8. Formal Pricing Policy For Cafeteria Operations Should Be Established-Estimated Completion: 12/31/2013
9. Controls Over Food Inventory Should Be Improved-Complete 9/30/13
10. Accuracy Of Food Services Reports Should Be Improved-Estimated Completion: 12/31/2013

11. Food Services Invoices Should Be Reconciled To Detail Support-Complete 05/24/2012
12. Operation Of Motor Vehicle Repair Facility Should Be Reviewed-Complete 9/5/12
13. Risks In Gift Store Operations Should Be Mitigated-Complete 06/13/12
14. Arrangement For Supplementing State Employee's Pay Should Be Reviewed-Complete 6/30/13
15. Payroll Accuracy Should Be Improved-Complete 6/30/13
16. Weekend Pay Differential Should Be Paid As Negotiated-Estimated Completion 12/31/2013
17. Policies And Procedures Should Be Established For Billing Patient Accounts-Complete 9/30/12
18. Continued Offering Of Outpatient Services Should Be Reviewed-Complete 12/31/12
19. Policies And Procedures For The Timely And Complete Collection Of Patient Services Revenues Should Be Established-Estimated Completion: 1/10/2012
20. Additional Fringe Benefits Expenditures Should Be Processed As Budgeted-Complete 03/28/2012
21. Rate Setting For Transitional Housing Should Be Formalized-Complete 01/01/2012
22. Policies And Procedures For The Accrual Of Accounts Receivable Should Be Established-Complete 03/28/2012
23. User Access To Critical Information Systems Should Remain Curren-Complete 05/24/12
24. Disaster Recovery Plan For Critical Information Systems Should Be In Place-Estimated Completion: Dependent on collaboration between DHHS, OIT & NHH
25. Payments For Non-Hospital Medical Services Should Be Limited To Provider's Usual And Customary Charge-Complete 06/18/12
26. Controls Over Preparation Of Medicare Cost Report Should Be Improve-Complete 1/10/13
27. Classification Of Accounts In Client Banking System Should Be Reviewed-Complete 04/27/2012
28. Use Of Client Banking System Should Be Reviewed-Complete 07/26/12
29. Signatory Authority And List Of Authorities Should Be Current-Complete 7/26/12
30. Disproportionate Share Hospital Cost Recoupment Should Comply With Federal Rules -Complete 6/30/13
31. Medicare Compliant Certifications Should Be Prepared-Complete January 2013
32. Patient Personal Fund Statements Should Be Issued At Least Quarterly-Estimated Completion: 12/31/2013
33. Statutory Requirements For Reporting Certain Trust Funds Should Be Reviewed-Estimated Completion: 12/31/2013

Respectfully submitted,


Nicholas A. Toumpas
Commissioner

Cc: The Honorable James MacKay, Chairman
Health, Human Services, and Elderly Affairs Committee



State of New Hampshire

DEPARTMENT OF HEALTH AND HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857

~~603-271-4912~~ FAX: 603-271-4912 TDD ACCESS: 1-800-735-2964

New Number: 603-271-9200

NICHOLAS A. TOUMPAS
COMMISSIONER

October 31, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Re: Dashboard – September 2013

Information

The Department of Health and Human Services is providing this Dashboard report, which provides a status on demand for services in entitlement programs. The purposes of this dashboard are to:

1. Provide summary information on enrollments in several high cost programs,
2. Monitor high level fiscal issues to ensure sufficient funding is available for entitlement programs and for programs intended by the legislature, and to
3. Provide a summary of significant administrative and operations initiatives.

Explanation

Mission

The Department's mission is "to join communities and families in providing opportunities for citizens to achieve health and independence." The majority of individuals serviced by the Department fall into three groups and programs to help these individuals require different approaches with differing objectives.

- Permanently Disabled Individuals include the developmentally disabled, frail elderly and those with mental health issues who require long term care services. The objective is to help them maximize their independence, to allow, to the extent it is safe for the individual, to live within a community, while recognizing that for many there will always be a need for long-term services and supports.
- Temporarily Low Income Individuals are those who have exhausted their financial resources due a loss of employment, divorce or temporary health issues. These individuals have the ability to likely recover their independence when jobs are available or their current crises are overcome with the appropriate interim supports.
- Chronically Low Income Individuals are the most complex. Breaking the cycle of poverty for the chronically low income requires a commitment from public and State leaders to invest in programs that will support a coordinated statewide effort including, not only the Department of Health and Human Services, but also Education, Corrections and Employment Security.

For the quarter ended September 2013, the Department provided services to an average of 152,826 individuals per month. This represented a decrease of 2.4% versus the prior year. The largest programs managed by the Department are the food stamp, Medicaid and FANF programs, which provide supports to low-income individuals. As noted in the following table, the number of people receiving Medicaid and food stamps has declined slightly from prior year, but remain at high, unprecedented levels. The number of people receiving Financial Assistance to Needy Families and Aid to the Permanently and Totally Disabled continues to decline.

Average Enrollment (Persons) First State Fiscal Quarter

| | SFY11 | SFY12 | SFY13 | SFY14 |
|------------------------------|---------|---------|---------|---------|
| Total Unduplicated Persons | 151,137 | 153,929 | 156,582 | 152,826 |
| Pct Increase from Prior Year | 7.0% | 1.8% | 1.7% | -2.4% |
| Medicaid Persons | 118,962 | 119,786 | 129,666 | 128,894 |
| Pct Increase from Prior Year | 4.1% | 0.7% | 8.2% | -0.6% |
| Food Stamp Persons | 109,890 | 114,204 | 116,703 | 115,305 |
| Pct Increase from Prior Year | 23.1% | 3.9% | 2.2% | -1.2% |
| FANF Persons | 13,989 | 12,013 | 8,713 | 7,852 |
| Pct Increase from Prior Year | 3.2% | -14.1% | -27.5% | -9.9% |
| APTD Persons | 8,637 | 8,933 | 8,306 | 7,935 |
| Pct Increase from Prior Year | 8.8% | 3.4% | -7.0% | -4.5% |
| Elderly Nursing Services | 7,206 | 7,171 | 7,318 | 7,194 |
| Pct Increase from Prior Year | -2.2% | -0.5% | 2.1% | -1.7% |

Medicaid caseloads have stabilized but remain at historic highs. A recent forecast developed for the Department project a 1.0% annual increase in caseloads. The budget for SFY14-15 assumes no growth in caseloads. The Department is implementing a managed care program to provide these services, which will not change the eligibility, but will impact how Medicaid services are delivered.

Funding Issues

As noted in Table A, the Department is tracking a projected general fund shortfall for the biennium of \$37.7 million and the approved budget assumes another \$47.6 million of lapse from Department appropriations. The Department has historically been proactive in dealing with budget shortfalls and the current budget issues will be addressed in a similar manner. The day the budget was passed, the Department began work on assessing funding shortfalls and options for addressing those shortfalls.

- We have implemented a hiring freeze for all non-direct care positions with an objective of maintaining 250 vacancies.
- We reviewed all of our administrative accounts looking for areas for potential reduction.
- Similar to the LBA process during the Senate phase of the budget, we prepared a list of program areas where significant general funds were added to our budget.

With this information in hand, we are working with the Governor's office to develop a cost reduction plan to address the projected shortfall. Since many of the cost reduction initiatives are likely to require changes in legislation, it is expected the plan will be presented to the Fiscal Committee and the Legislature at the appropriate time.

Operations & Administration

The Department has a number of operations challenges for the current biennium. Several significant changes to service delivery systems and operational infrastructure are in process. These include:

Service Delivery

1. Medicaid Care Management for Medical and Long Term Care Services
2. Implementation of Children in Need of Services (CHINS) Voluntary Services
3. Implementation of the 10-Year Mental Health Plan
4. State Innovation Model (SIM) Grant
5. Balanced Incentive Program (BIP) Grant

Medicaid Model

6. Development of an 1115 Waiver to restructure the Medicaid program
7. Implementation of authorized elements of the Affordable Care Act (ACA)
 - a. Implementation of the Modified Adjusted Gross Income
 - b. Federally Facilitated Marketplace
 - c. Federally Funded Primary Care Rate Increase

Information Technology

8. Medicaid Management Information System
9. Service Delivery System Transformation – Data Repository
10. Health Information Exchange
11. Replace Child Support Information System (NECSES)
12. Complete the installation of the Medicaid Management Information System (MMIS)
13. Continue the modernization of the eligibility determination system (New HEIGHTS)
14. Implement Electronic Medical Record at New Hampshire Hospital
15. Implement WISDOM Public Health Performance Management System

Additionally, legislation related to medical marijuana and family planning services provided no funding for implementation and will require resources be transferred from other areas of the Department's budget.

These projects and high caseloads are straining the Department's capacity. In July 2008, the Department provided services to 125,236; today the Department provides services to 152,826 individuals, representing an increase of 27,839 (22%). In July 2008, the Department had 3,095 filled positions. The number of filled positions has fallen to 2,590, representing a loss of over 500 positions. The decline in number of staff is exacerbated by the fact that nearly 12% of the Department's workforce is age 60 with at least 10 years of service and eligible for retirement. This potential drain of experienced staff combined with the organizational downsizing and transformation challenges creates risk to the Department's core competencies.

Litigation & Audits

In addition to managing current operations and working toward implementation of the significant transformation initiatives required in the budget for SFY2013, Department resources have become disproportionately directed at and continue to be consumed to addressing audits and litigation including:

- Litigation involving acute care hospitals
- Litigation involving the Olmstead regulations for the mental health services
- Litigation involving providers of residential care for children
- Managing the disproportionate share program
- Office of Inspector General audits
- Federal review of Title IV-E
- LBA audits such as the recently completed audit of EBT cards and the Sununu Youth Services Center
- State Single Audit

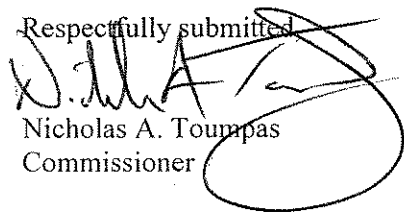
One new issue related to the Medicaid Disproportionate Share (DSH) program for New Hampshire Hospital (NHH). NHH receives approximately \$18 million per year from the DSH program for services for uninsured patients. In 2008, CMS issued rules defining "uninsured" to be more restrictive than the service-specific definition previously employed and applied the definition on an individual-specific basis rather than a service-specific basis. This reduces the costs that can be claimed for DSH since many clients have insurance, but not for the services being rendered by NHH. Numerous states, including New Hampshire,

members of Congress and related stakeholders expressed their concern that the 2008 DSH final rule definition of the uninsured deviated from prior guidance and would have a significant financial impact on States and hospitals. In January 2012, CMS issued a proposed rule, which will reinstate the broader definition. In December 2012, CMS indicated the final rule might be issued in April 2013. This did not occur. NHH has and continues to believe when the final, broader, rule is issued, it will retain DSH federal funding at historical levels and has been budgeting under that assumption.

Summary

The Department has continually strived to deliver high quality and cost-effective services to individuals requiring support services and to the taxpayers who fund those services and to improve the value of the services delivered. Successful transition to a new business structure, however, is challenged by continuing reduction in resources and resistance from existing delivery systems and stakeholders.

Respectfully submitted,



Nicholas A. Toumpas
Commissioner

Enclosure

- cc: The Honorable Mary Jane Wallner, Chairman, House Finance Committee
The Honorable Jeanie Forrester, Chairman, Senate Finance Committee
The Honorable Chuck W. Morse, President, NH State Senate
The Honorable James MacKay, House Health, Human Services & Elderly Affairs Committee
The Honorable Nancy Stiles, Senate Health, Education & Human Services Committee
Her Excellency, Governor Margaret Wood Hassan
The Honorable Terie Norelli, Speaker, NH House of Representatives
Jeffry A. Pattison, Legislative Budget Assistant

Executive Council

- | | | |
|----------------------------------|------------------------------------|----------------------------|
| The Honorable Raymond Burton | The Honorable Colin Van Ostern | The Honorable Chris Sununu |
| The Honorable Christopher Pappas | The Honorable Debora B. Pignatelli | |

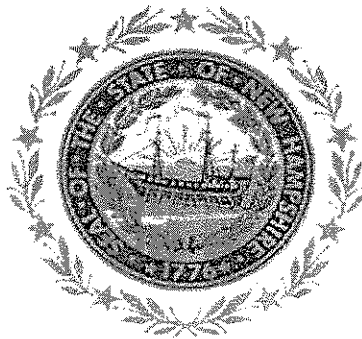
House Finance Committee

- | | | |
|-------------------------------|--------------------------------|--------------------------------|
| The Honorable Mary Allen | The Honorable Richard Barry | The Honorable Bernard Benn |
| The Honorable Thomas Buco | The Honorable John Cebrowski | The Honorable Daniel Eaton |
| The Honorable Robert Elliott | The Honorable Susan Ford | The Honorable Marilinda Garcia |
| The Honorable William Hatch | The Honorable David Huot | The Honorable Neal Kurk |
| The Honorable Peter Leishman | The Honorable Alfred Lerandean | The Honorable Dan McGuire |
| The Honorable Sharon Nordgren | The Honorable Lynne Ober | The Honorable Katherine Rogers |
| The Honorable Cindy Rosenwald | The Honorable Stephen Spratt | The Honorable Karen Umberger |
| The Honorable Robert Walsh | The Honorable Kenneth Weyler | The Honorable Colette Worsman |

Senate Finance Committee

- | | |
|-----------------------------|--------------------------------|
| The Honorable Peter Bragdon | The Honorable Lou D'Allesandro |
| The Honorable Sylvia Larsen | The Honorable Bob Odell |

DEPARTMENT OF HEALTH AND HUMAN SERVICES



OPERATING STATISTICS DASHBOARD

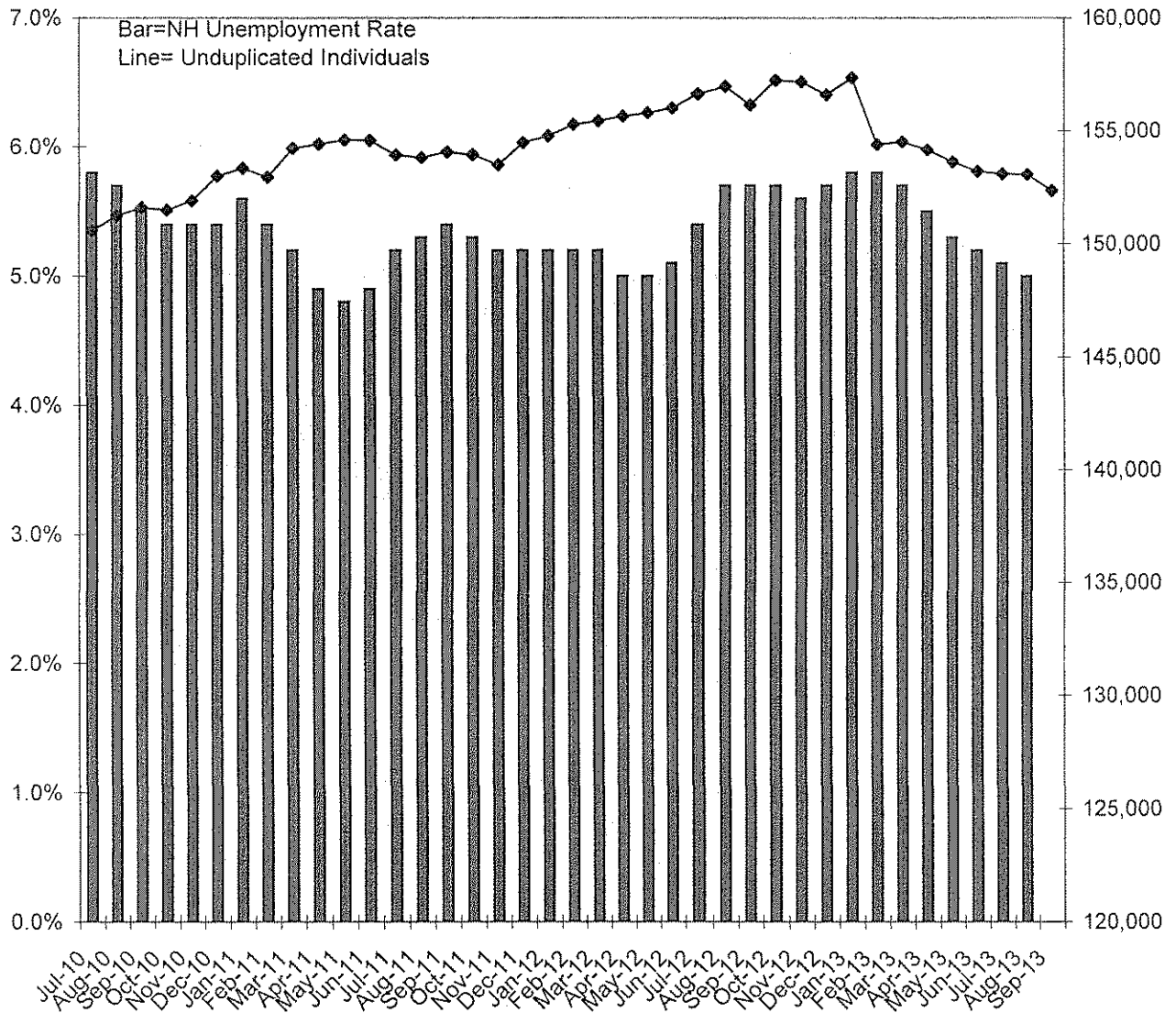
DATA THROUGH SEPTEMBER 2013

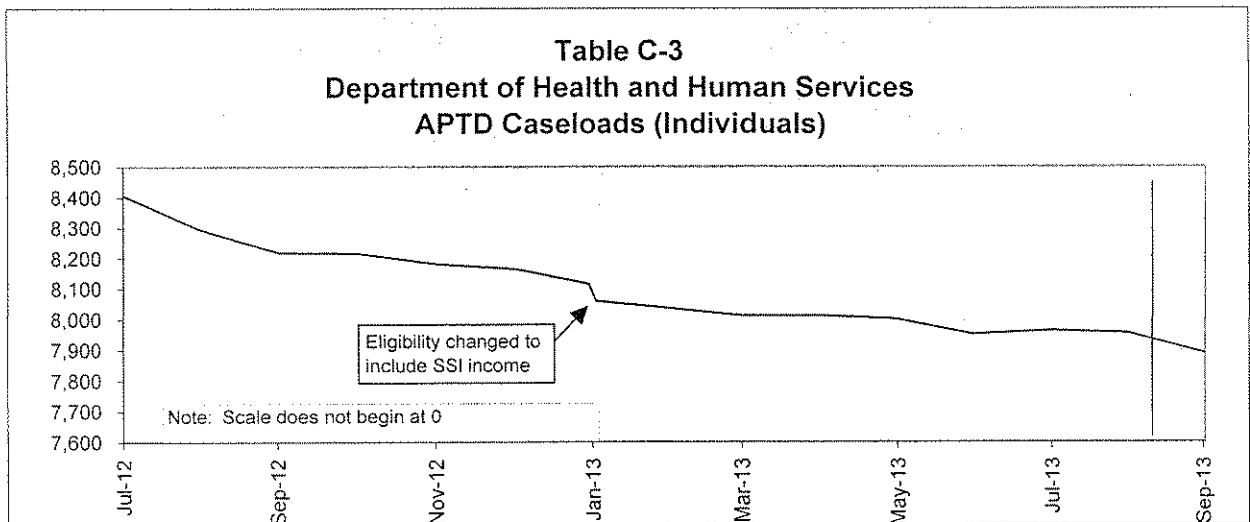
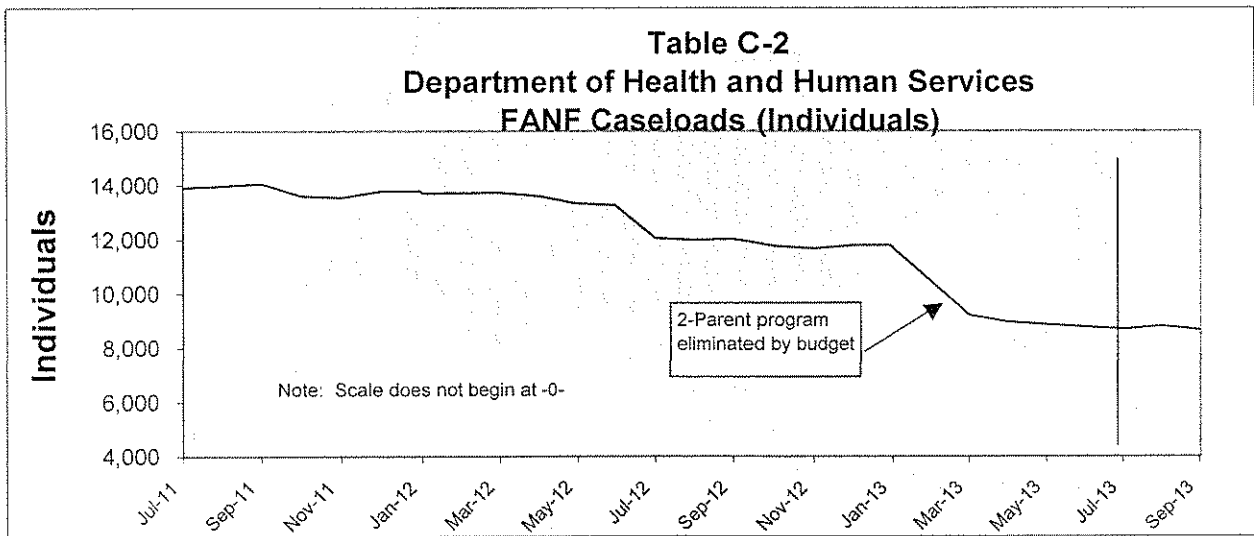
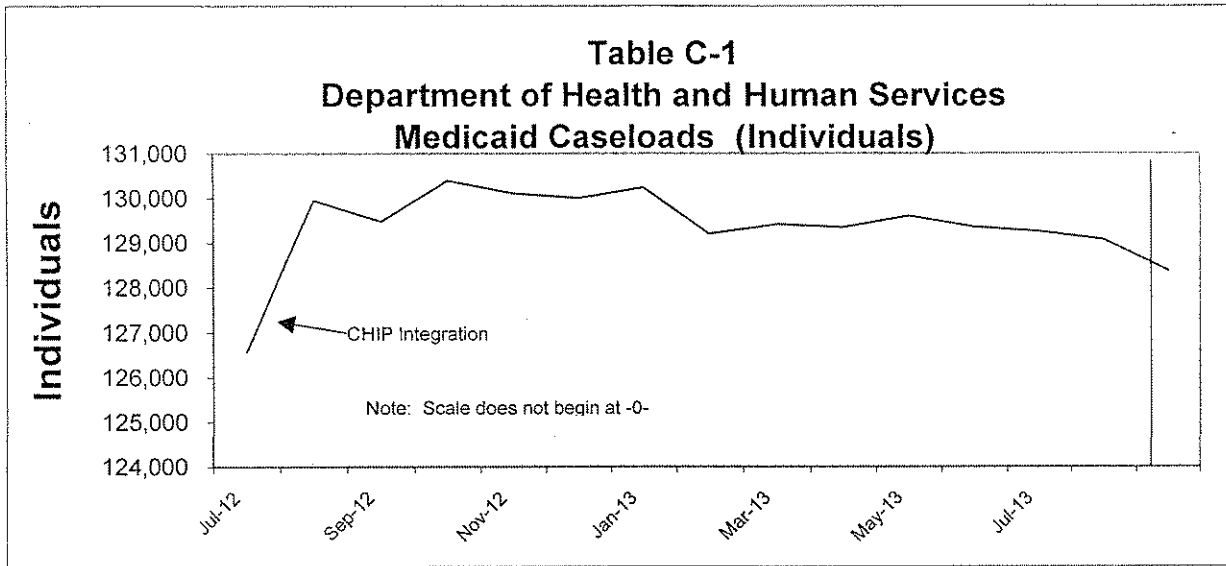
SFY14

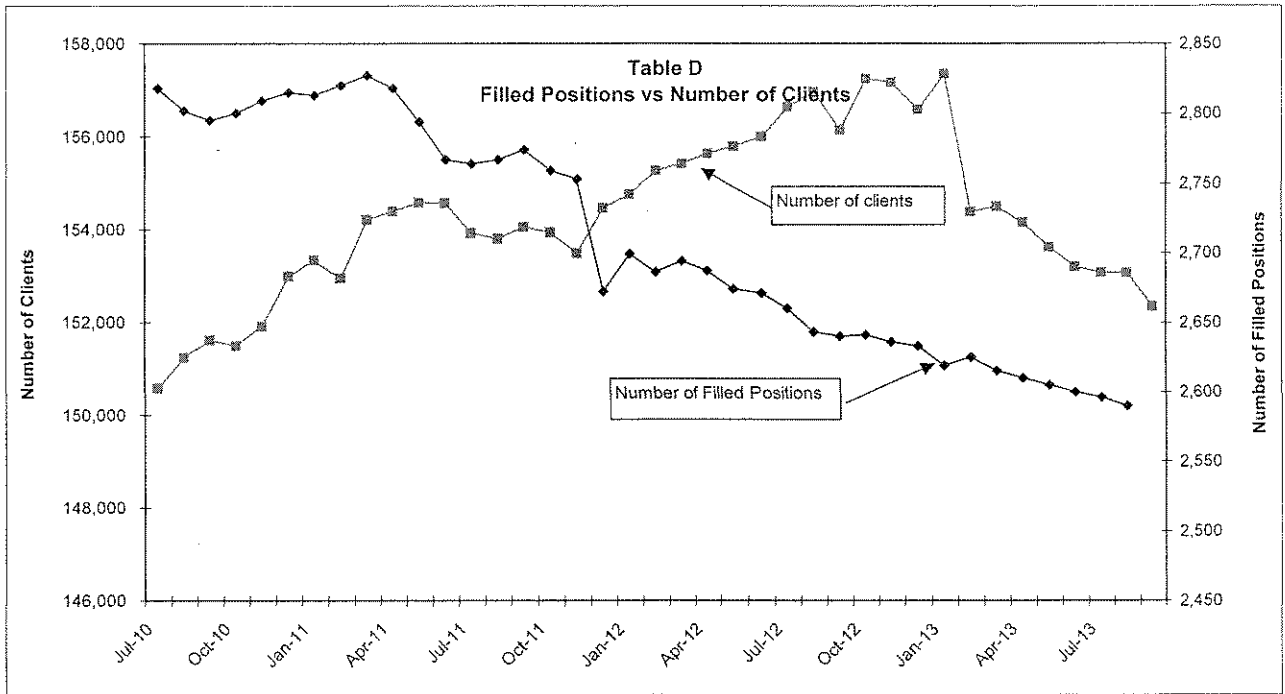
Prepared October 18, 2013

| | A | B | C | E | G | H | I |
|----|---|---|---|-----------------|-----------------|-----------------|---|
| 1 | TABLE A | | | | | | |
| 2 | Department of Health and Human Services | | | | | | |
| 3 | Budget Planning SFY14-SFY15 | | | | | | |
| 4 | As of October 18, 2013 | | | | | | |
| 5 | | | | | | | |
| 6 | Anticipated Areas of Budget Shortfalls | | | | | | |
| 7 | The budget for SFY14-15 provides insufficient general funds to address the legislative intends for services and obligations that are expected to be incurred. This summary identifies the shortfalls as currently anticipated. | | | | | | |
| 8 | | | | | | | |
| 9 | Prepared October 18, 2013 | | | | | | |
| 10 | | | <i>Figures Rounded to \$000</i> | SFY14 General | SFY15 General | Total General | |
| 11 | | | | | | | |
| 12 | Lapse Estimated in Final Budget | | | | | | |
| 13 | | | Lapse estimated in final budget-3.63% | \$23,854 | | | |
| 14 | | | Lapse estimated in final budget-3.68% | | \$23,785 | \$47,639 | |
| 15 | | | | | | | |
| 16 | Known Shortfalls | | | | | | |
| 17 | DHHS | | DHHS footnote reduction (HB2:10) (\$7 mil F14-15) | \$3,500 | \$3,500 | | |
| 18 | DHHS | | DHHS share of Governor's personnel reduction | \$1,745 | \$2,623 | | |
| 19 | DHHS | | Health Facility Licensing Fees not authorized | \$155 | \$173 | | |
| 20 | SYSC | | Reduction in SYSC appropriation (HB2:14) | \$500 | \$750 | | |
| 21 | DHHS | | Anticipated Delay in Managed Care | \$2,033 | \$8,460 | | |
| 22 | DHHS | | Medicaid To Schools-Transportation | \$500 | \$2,000 | | |
| 23 | DHHS | | DSH Settlement | \$8,886 | | | |
| 24 | DHHS | | Budgeting Error-Food License Revenue Budgeted Twice | \$878 | \$907 | | |
| 25 | | | Total Known Shortfall | \$18,197 | \$18,413 | \$36,610 | |
| 26 | | | | | | | |
| 27 | Other Potential Shortfalls | | | | | | |
| 28 | The above list includes only those shortfalls which a) are likely to be incurred and b) for which amounts can be reasonably estimated. There are a number of other issues, not listed, such as caseload increases, for which the risks are less defined. If or when other funding issues arise, they will be appended to the above. | | | | | | |
| 29 | | | | | | | |
| 30 | | | | | | | |
| 31 | | | | | | | |

Table B
Department of Health and Human Services
Caseload vs Unemployment Rate







| | A | B | C | D | E | F | G | H |
|----|--|--|--------------------|----------------------|--------------------|-------------------|-------------------|---------------|
| 1 | Table E | | | | | | | |
| 2 | Department of Health and Human Services | | | | | | | |
| 3 | Operating Statistics | | | | | | | |
| 4 | Children In Services | | | | | | | |
| 5 | | | | | | | | |
| 6 | | DCYF | DCYF | Family Foster | Residential | Child Care | Child Care | SYSC |
| 7 | | Referrals | Assessments | Care | Placement | Emplmnt | Wait List | Secure |
| 8 | | | | Placement | | Related | | Census |
| 9 | | Actual | Actual | Actual | Actual | Actual | Actual | Actual |
| 10 | | | | | | | | |
| 23 | Jul-10 | 987 | 638 | 663 | 424 | 5,041 | 2,386 | 55 |
| 24 | Aug-10 | 1,012 | 659 | 646 | 413 | 4,903 | 2,508 | 53 |
| 25 | Sep-10 | 1,182 | 691 | 627 | 400 | 4,769 | 2,666 | 50 |
| 26 | Oct-10 | 1,110 | 651 | 625 | 414 | 4,407 | 2,505 | 57 |
| 27 | Nov-10 | 1,125 | 593 | 626 | 426 | 4,487 | 2,361 | 64 |
| 28 | Dec-10 | 1,072 | 746 | 630 | 410 | 4,345 | 1,382 | 60 |
| 29 | Jan-11 | 1,131 | 831 | 616 | 403 | 4,475 | 326 | 59 |
| 30 | Feb-11 | 1,076 | 888 | 618 | 394 | 4,743 | 0 | 57 |
| 31 | Mar-11 | 1,339 | 909 | 619 | 424 | 5,083 | 0 | 61 |
| 32 | Apr-11 | 1,165 | 805 | 628 | 427 | 5,162 | 0 | 73 |
| 33 | May-11 | 1,240 | 810 | 631 | 425 | 5,251 | 0 | 80 |
| 34 | Jun-11 | 1,237 | 697 | 629 | 423 | 5,333 | 0 | 73 |
| 35 | Jul-11 | 963 | 737 | 574 | 351 | 5,053 | 0 | 68 |
| 36 | Aug-11 | 1,073 | 776 | 583 | 317 | 5,055 | 0 | 65 |
| 37 | Sep-11 | 1,261 | 674 | 580 | 289 | 5,136 | 0 | 61 |
| 38 | Oct-11 | 1,197 | 742 | 590 | 302 | 4,969 | 0 | 52 |
| 39 | Nov-11 | 1,116 | 640 | 602 | 311 | 5,047 | 0 | 44 |
| 40 | Dec-11 | 1,123 | 777 | 610 | 321 | 5,017 | 0 | 48 |
| 41 | Jan-12 | 1,289 | 881 | 590 | 309 | 4,925 | 0 | 56 |
| 42 | Feb-12 | 1,183 | 725 | 596 | 298 | 4,869 | 0 | 64 |
| 43 | Mar-12 | 1,300 | 767 | 602 | 331 | 4,970 | 0 | 62 |
| 44 | Apr-12 | 1,223 | 784 | 603 | 332 | 4,967 | 0 | 63 |
| 45 | May-12 | 1,477 | 876 | 612 | 350 | 5,231 | 0 | 69 |
| 46 | Jun-12 | 1,057 | 873 | 613 | 352 | 5,274 | 0 | 69 |
| 47 | Jul-12 | 1,100 | 681 | 605 | 323 | 5,175 | 0 | 60 |
| 48 | Aug-12 | 1,050 | 744 | 611 | 317 | 5,219 | 0 | 57 |
| 49 | Sep-12 | 1,151 | 681 | 619 | 295 | 5,050 | 0 | 56 |
| 50 | Oct-12 | 1,344 | 898 | 612 | 306 | 5,076 | 0 | 60 |
| 51 | Nov-12 | 1,098 | 656 | 609 | 321 | 5,061 | 0 | 57 |
| 52 | Dec-12 | 1,086 | 656 | 601 | 325 | 4,995 | 0 | 59 |
| 53 | Jan-13 | 1,245 | 715 | 594 | 322 | 5,164 | 0 | 54 |
| 54 | Feb-13 | 1,072 | 674 | 609 | 318 | 5,113 | 0 | 58 |
| 55 | Mar-13 | 1,180 | 842 | 619 | 318 | 5,231 | 0 | 57 |
| 56 | Apr-13 | 1,269 | 852 | 612 | 339 | 5,368 | 0 | 60 |
| 57 | May-13 | 1,383 | 852 | 589 | 331 | 5,357 | 0 | 69 |
| 58 | Jun-13 | 1,147 | 685 | 594 | 332 | 5,345 | 0 | 72 |
| 59 | Jul-13 | 1,124 | 772 | 571 | 315 | 5,568 | 0 | 61 |
| 60 | Aug-13 | 1,045 | 591 | 570 | 323 | 5,517 | 0 | 60 |
| 61 | Sep-13 | 1,276 | 544 | 560 | 297 | 5,345 | 0 | 56 |
| 62 | Oct-13 | | | | | | | |
| 63 | Nov-13 | | | | | | | |
| 64 | Dec-13 | | | | | | | |
| 65 | Jan-14 | | | | | | | |
| 66 | Feb-14 | | | | | | | |
| 67 | Mar-14 | | | | | | | |
| 68 | Apr-14 | | | | | | | |
| 69 | May-14 | | | | | | | |
| 70 | Jun-14 | | | | | | | |
| 71 | | | | | | | | |
| 72 | Source of Data | | | | | | | |
| 73 | Column | | | | | | | |
| 74 | B | DCYF SFY Management Database Report: Bridges. | | | | | | |
| 75 | C | DCYF Assessment Supervisory Report: Bridges. | | | | | | |
| 76 | D | Bridges placement authorizations during the month, unduplicated. | | | | | | |
| 77 | E | Bridges placement authorizations during the month, unduplicated. | | | | | | |
| 78 | F | Bridges Expenditure Report, NHB-OAR8-128 | | | | | | |
| 79 | G | Child Care Wait List Screen: New Heights | | | | | | |
| 80 | H | Bridges Service Day Query - Bed days divided by days in month | | | | | | |

| | A | B | C | D | E | F | G | H | I | J |
|----|--|---|---------------------|----------------------------|----------------------------|---------------------|--------------------|--------------------|---|---|
| 1 | Table F | | | | | | | | | |
| 2 | Department of Health and Human Services | | | | | | | | | |
| 3 | Operating Statistics | | | | | | | | | |
| 4 | Social Services | | | | | | | | | |
| 5 | | | | | | | | | | |
| 6 | | FANF | APTD Persons | Food Stamps Persons | Child Support Cases | | | | | |
| 7 | | | | | Current Cases | Former Cases | Never Cases | Total Cases | | |
| 8 | | | | | | | | | | |
| 9 | | Actual | Actual | Actual | Actual | Actual | Actual | Actual | | |
| 22 | Jul-10 | 13,920 | 8,617 | 109,131 | 5,550 | 17,304 | 13,123 | 35,977 | | |
| 23 | Aug-10 | 13,981 | 8,643 | 109,950 | 5,758 | 17,120 | 13,138 | 36,016 | | |
| 24 | Sep-10 | 14,065 | 8,650 | 110,588 | 5,508 | 17,374 | 13,072 | 35,954 | | |
| 25 | Oct-10 | 13,615 | 8,656 | 110,694 | 5,726 | 17,177 | 13,051 | 35,954 | | |
| 26 | Nov-10 | 13,553 | 8,667 | 111,476 | 5,645 | 17,262 | 13,026 | 35,933 | | |
| 27 | Dec-10 | 13,789 | 8,749 | 112,293 | 5,577 | 17,345 | 12,986 | 35,908 | | |
| 28 | Jan-11 | 13,796 | 8,740 | 113,127 | 5,716 | 17,142 | 12,965 | 35,823 | | |
| 29 | Feb-11 | 13,705 | 8,779 | 112,803 | 5,654 | 17,189 | 12,917 | 35,760 | | |
| 30 | Mar-11 | 13,730 | 8,912 | 114,023 | 5,411 | 17,425 | 12,942 | 35,778 | | |
| 31 | Apr-11 | 13,597 | 9,019 | 114,482 | 5,435 | 17,379 | 12,986 | 35,800 | | |
| 32 | May-11 | 13,330 | 9,009 | 114,611 | 5,586 | 17,150 | 12,961 | 35,697 | | |
| 33 | Jun-11 | 13,272 | 9,088 | 114,441 | 5,401 | 17,296 | 12,902 | 35,599 | | |
| 34 | Jul-11 | 12,046 | 9,031 | 113,984 | 5,302 | 17,277 | 12,906 | 35,485 | | |
| 35 | Aug-11 | 11,980 | 8,905 | 114,285 | 5,416 | 17,099 | 12,842 | 35,357 | | |
| 36 | Sep-11 | 12,014 | 8,864 | 114,344 | 5,163 | 17,225 | 12,748 | 35,136 | | |
| 37 | Oct-11 | 11,756 | 8,763 | 114,705 | 5,365 | 17,081 | 12,749 | 35,195 | | |
| 38 | Nov-11 | 11,668 | 8,854 | 114,371 | 5,325 | 17,095 | 12,728 | 35,148 | | |
| 39 | Dec-11 | 11,787 | 9,006 | 115,671 | 5,192 | 17,184 | 12,760 | 35,136 | | |
| 40 | Jan-12 | 11,781 | 8,834 | 117,047 | 5,360 | 17,052 | 12,793 | 35,205 | | |
| 41 | Feb-12 | 11,628 | 8,792 | 117,293 | 5,327 | 17,066 | 12,836 | 35,229 | | |
| 42 | Mar-12 | 9,202 | 8,600 | 117,250 | 4,211 | 18,113 | 12,897 | 35,221 | | * |
| 43 | Apr-12 | 8,950 | 8,575 | 117,443 | 4,308 | 17,966 | 12,876 | 35,150 | | |
| 44 | May-12 | 8,853 | 8,541 | 117,744 | 4,308 | 17,881 | 12,845 | 35,034 | | |
| 45 | Jun-12 | 8,774 | 8,518 | 117,708 | 4,139 | 17,952 | 12,898 | 34,989 | | |
| 46 | Jul-12 | 8,690 | 8,405 | 117,625 | 4,184 | 17,771 | 12,928 | 34,883 | | |
| 47 | Aug-12 | 8,793 | 8,296 | 117,916 | 4,031 | 17,760 | 12,899 | 34,690 | | |
| 48 | Sep-12 | 8,657 | 8,218 | 117,569 | 4,038 | 17,722 | 12,853 | 34,613 | | |
| 49 | Oct-12 | 8,704 | 8,216 | 119,101 | 4,261 | 17,526 | 12,865 | 34,652 | | |
| 50 | Nov-12 | 8,599 | 8,181 | 118,992 | 4,066 | 17,650 | 12,862 | 34,578 | | |
| 51 | Dec-12 | 8,493 | 8,164 | 118,817 | 4,051 | 17,653 | 12,893 | 34,597 | | |
| 52 | Jan-13 | 8,559 | 8,115 | 120,153 | 4,136 | 17,542 | 12,836 | 34,514 | | |
| 53 | Feb-13 | 8,538 | 8,059 | 117,654 | 4,175 | 17,545 | 12,857 | 34,577 | | |
| 54 | Mar-13 | 8,378 | 8,011 | 117,409 | 4,041 | 17,723 | 13,006 | 34,770 | | |
| 55 | Apr-13 | 8,337 | 8,011 | 114,147 | 4,162 | 17,606 | 13,054 | 34,822 | | |
| 56 | May-13 | 8,169 | 8,001 | 119,317 | 3,973 | 17,780 | 13,102 | 34,855 | | |
| 57 | Jun-13 | 8,005 | 7,951 | 116,087 | 3,917 | 17,850 | 13,146 | 34,913 | | |
| 58 | Jul-13 | 7,926 | 7,962 | 115,691 | 4,035 | 17,724 | 13,193 | 34,952 | | |
| 59 | Aug-13 | 7,922 | 7,955 | 115,499 | 3,866 | 17,901 | 13,180 | 34,947 | | |
| 60 | Sep-13 | 7,709 | 7,889 | 114,725 | 3,772 | 17,913 | 13,183 | 34,868 | | |
| 61 | Oct-13 | | | | | | | | | |
| 62 | Nov-13 | | | | | | | | | |
| 63 | Dec-13 | | | | | | | | | |
| 64 | Jan-14 | | | | | | | | | |
| 65 | Feb-14 | | | | | | | | | |
| 66 | Mar-14 | | | | | | | | | |
| 67 | Apr-14 | | | | | | | | | |
| 68 | May-14 | | | | | | | | | |
| 69 | Jun-14 | | | | | | | | | |
| 70 | | | | | | | | | | |
| 71 | Source of Data | | | | | | | | | |
| 72 | Column | | | | | | | | | |
| 73 | B | Office of Research & Analysis, Ca | | | | | | | | |
| 74 | C | Budget Document | | | | | | | | |
| 75 | D | Budget Document | | | | | | | | |
| 76 | E-H | DCSS Caseload (Month End Actual from NECSES) | | | | | | | | |
| 77 | | | | | | | | | | |
| 78 | Note | * Effective 3/1/12, SSI or SSP is considered when determining FANF eligibility. | | | | | | | | |
| 79 | | Those child support cases no longer eligible, are now "Former" assistance | | | | | | | | |
| 80 | | cases. | | | | | | | | |
| 81 | | | | | | | | | | |

| | A | B | C | D | E | F | G | H | I |
|----|--|---------------------|--------------------------------|---|--------------|------------------------------------|--------------|--------------|---|
| 1 | Table G | | | | | | | | |
| 2 | Department of Health and Human Services | | | | | | | | |
| 3 | Operating Statistics | | | | | | | | |
| 4 | Community Mental Health Center Medicaid | | | | | | | | |
| 5 | | | | | | | | | |
| 6 | | Monthly Cost | YTD Weekly Average Cost | | | | | | |
| 7 | | Actual | Actual | | | | | | |
| 20 | Jul-10 | \$ 7,988,373 | \$ 1,597,675 | | | | | | |
| 21 | Aug-10 | \$ 7,136,649 | \$ 1,680,558 | | | | | | |
| 22 | Sep-10 | \$ 6,629,711 | \$ 1,673,441 | | | | | | |
| 23 | Oct-10 | \$ 8,685,885 | \$ 1,691,145 | | | | | | |
| 24 | Nov-10 | \$ 8,628,997 | \$ 1,775,892 | | | | | | |
| 25 | Dec-10 | \$ 6,900,690 | \$ 1,702,604 | | | | | | |
| 26 | Jan-11 | \$ 6,184,140 | \$ 1,682,401 | | | | | | |
| 27 | Feb-11 | \$ 6,740,043 | \$ 1,682,700 | | | | | | |
| 28 | Mar-11 | \$ 7,382,305 | \$ 1,699,405 | | | | | | |
| 29 | Apr-11 | \$ 9,302,312 | \$ 1,757,654 | | | | | | |
| 30 | May-11 | \$ 7,547,988 | \$ 1,731,814 | | | | | | |
| 31 | Jun-11 | \$ 7,992,643 | \$ 1,752,303 | | | | | | |
| 32 | Jul-11 | \$ 7,631,195 | \$ 1,526,239 | Medicaid Client Trending Report | | | | | |
| 33 | Aug-11 | \$ 6,879,546 | \$ 1,612,305 | Current Date: | | Note: All figures are year-to-date | | | |
| 34 | Sep-11 | \$ 8,259,497 | \$ 1,626,446 | ACTUALS - YTD | | | | | |
| 35 | Oct-11 | \$ 6,551,174 | \$ 1,628,967 | FISCAL YEAR | QTR 1 | QTR 2 | QTR 3 | QTR 4 | |
| 36 | Nov-11 | \$ 6,684,985 | \$ 1,636,654 | 2008 | 11,016 | 13,553 | 15,497 | 17,392 | |
| 37 | Dec-11 | \$ 8,227,790 | \$ 1,638,303 | 2009 | 12,014 | 14,693 | 16,849 | 19,206 | |
| 38 | Jan-12 | \$ 6,020,154 | \$ 1,621,108 | 2010 | 13,240 | 16,187 | 18,580 | 20,797 | |
| 39 | Feb-12 | \$ 6,992,712 | \$ 1,635,630 | 2011 | 13,480 | 16,390 | 18,410 | 20,665 | |
| 40 | Mar-12 | \$ 8,495,420 | \$ 1,643,562 | 2012 | 13,358 | 15,775 | 17,447 | 19,925 | |
| 41 | Apr-12 | \$ 7,164,315 | \$ 1,656,972 | 2013 | 13,227 | 15,761 | 17,460 | 19,555 | |
| 42 | May-12 | \$ 7,280,134 | \$ 1,670,561 | 2014 | | | | | |
| 43 | Jun-12 | \$ 8,576,998 | \$ 1,674,791 | | | | | | |
| 44 | Jul-12 | \$ 6,080,133 | \$ 1,520,033 | BUDGETED - YTD | | | | | |
| 45 | Aug-12 | \$ 8,396,227 | \$ 1,608,484 | FISCAL YEAR | QTR 1 | QTR 2 | QTR 3 | QTR 4 | |
| 46 | Sep-12 | \$ 6,638,801 | \$ 1,624,243 | 2011 | 12,541 | 15,333 | 17,599 | 19,699 | |
| 47 | Oct-12 | \$ 6,557,972 | \$ 1,627,831 | 2012 | 13,806 | 16,787 | 18,856 | 21,165 | |
| 48 | Nov-12 | \$ 8,163,038 | \$ 1,628,917 | 2013 | 14,214 | 16,786 | 18,565 | 21,202 | |
| 49 | Dec-12 | \$ 6,888,680 | \$ 1,643,264 | 2014 | 13,957 | 16,631 | 18,424 | 20,635 | |
| 50 | Jan-13 | \$ 5,678,659 | \$ 1,613,450 | | | | | | |
| 51 | Feb-13 | \$ 6,844,750 | \$ 1,624,949 | VARIANCE: BUDGETED TO ACTUAL - YTD | | | | | |
| 52 | Mar-13 | \$ 9,366,958 | \$ 1,656,800 | FISCAL YEAR | QTR 1 | QTR 2 | QTR 3 | QTR 4 | |
| 53 | Apr-13 | \$ 9,806,502 | \$ 1,730,738 | 2012 | -448 | -1,012 | -1,409 | -1,240 | |
| 54 | May-13 | \$ 5,993,645 | \$ 1,675,320 | 2013 | -987 | -1,025 | -1,105 | -1,647 | |
| 55 | Jun-13 | \$ 6,614,944 | \$ 1,673,660 | 2014 | | | | | |
| 56 | Jul-13 | \$ 6,663,858 | \$ 1,665,965 | | | | | | |
| 57 | Aug-13 | \$ 8,147,505 | \$ 1,851,420 | | | | | | |
| 58 | Sep-13 | \$ 6,844,233 | \$ 1,804,633 | | | | | | |
| 59 | Oct-13 | | | | | | | | |
| 60 | Nov-13 | | | | | | | | |
| 61 | Dec-13 | | | | | | | | |
| 62 | Jan-14 | | | | | | | | |
| 63 | Feb-14 | | | | | | | | |
| 64 | Mar-14 | | | | | | | | |
| 65 | Apr-14 | | | | | | | | |
| 66 | May-14 | | | | | | | | |
| 67 | Jun-14 | | | | | | | | |

| | A | B | C | D | E | F | G | H | I | J | K | L | M | N |
|----|---|--|--------|-----------------|--------------|---------------|-------------------|--------|-----------|---------------------|-------------------|----------------------|-----------------|-----|
| 1 | Table H | | | | | | | | | | | | | |
| 2 | Department of Health and Human Services | | | | | | | | | | | | | |
| 3 | Operating Statistics | | | | | | | | | | | | | |
| 4 | Elderly & Adult Long Term Care | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | |
| 6 | | Total Nursing Clients | | CFI Home Health | CFI Midlevel | Other Nursing | Nursing Home Beds | | Pct in NF | APS Clients Assmnts | APS Cases Ongoing | SSBG - AIHC Waitlist | Total SSBG AIHC | |
| 7 | | Actual | Budget | | | Note 1 | 3 mo. Avg | Budget | | | | | | |
| 8 | | | | | | | | | | | | | | |
| 20 | Jul-10 | 7,284 | 7,740 | 2,541 | 384 | 36 | 4,359 | 4,063 | 59.8% | 250 | 1,121 | 5 | | |
| 21 | Aug-10 | 7,223 | 7,740 | 2,494 | 389 | 34 | 4,340 | 4,063 | 60.1% | 221 | 1,118 | 1 | | |
| 22 | Sep-10 | 7,112 | 7,740 | 2,513 | 365 | 32 | 4,234 | 4,063 | 59.5% | 228 | 1,104 | 0 | 506 | |
| 23 | Oct-10 | 7,150 | 7,740 | 2,527 | 387 | 35 | 4,236 | 4,063 | 59.2% | 228 | 1,080 | 0 | | |
| 24 | Nov-10 | 7,237 | 7,740 | 2,557 | 396 | 28 | 4,284 | 4,063 | 59.2% | 221 | 1,067 | 3 | | |
| 25 | Dec-10 | 7,346 | 7,740 | 2,530 | 413 | 32 | 4,403 | 4,063 | 59.9% | 183 | 1,068 | 0 | 614 | |
| 26 | Jan-11 | 7,217 | 7,740 | 2,468 | 416 | 32 | 4,333 | 4,063 | 60.0% | 178 | 1,039 | 3 | | |
| 27 | Feb-11 | 7,164 | 7,740 | 2,548 | 385 | 33 | 4,231 | 4,063 | 58.1% | 162 | 1,040 | 6 | | |
| 28 | Mar-11 | 7,127 | 7,740 | 2,544 | 388 | 32 | 4,195 | 4,063 | 58.9% | 203 | 1,042 | 3 | | |
| 29 | Apr-11 | 7,221 | 7,740 | 2,511 | 422 | 31 | 4,288 | 4,063 | 59.4% | 222 | 1,041 | 3 | | |
| 30 | May-11 | 7,079 | 7,740 | 2,485 | 417 | 34 | 4,177 | 4,063 | 59.0% | 207 | 1,058 | 8 | | |
| 31 | Jun-11 | 7,094 | 7,740 | 2,436 | 420 | 35 | 4,238 | 4,063 | 59.7% | 238 | 1,077 | 4 | 740 | YTD |
| 32 | Jul-11 | 7,142 | 7,515 | 2,499 | 443 | 31 | 4,200 | 4,400 | 58.8% | 200 | 1,069 | 1 | | |
| 33 | Aug-11 | 7,196 | 7,515 | 2,396 | 456 | 37 | 4,344 | 4,400 | 60.4% | 226 | 1,083 | 2 | | |
| 34 | Sep-11 | 7,174 | 7,515 | 2,382 | 447 | 32 | 4,345 | 4,400 | 60.6% | 236 | 1,091 | 2 | 532 | YTD |
| 35 | Oct-11 | 7,053 | 7,515 | 2,340 | 442 | 33 | 4,271 | 4,400 | 60.6% | 253 | 1,108 | 2 | | |
| 36 | Nov-11 | 7,037 | 7,515 | 2,350 | 432 | 35 | 4,255 | 4,400 | 60.5% | 212 | 1,103 | 2 | | |
| 37 | Dec-11 | 7,132 | 7,515 | 2,356 | 446 | 32 | 4,330 | 4,400 | 60.7% | 220 | 1,095 | - | 667 | YTD |
| 38 | Jan-12 | 7,189 | 7,515 | 2,357 | 439 | 34 | 4,393 | 4,400 | 61.1% | 215 | 1,077 | 9 | | |
| 39 | Feb-12 | 7,312 | 7,515 | 2,417 | 418 | 33 | 4,477 | 4,400 | 61.2% | 215 | 1,084 | 9 | | |
| 40 | Mar-12 | 7,518 | 7,515 | 2,530 | 448 | 31 | 4,540 | 4,400 | 60.4% | 240 | 1,065 | 13 | 740 | YTD |
| 41 | Apr-12 | 7,368 | 7,515 | 2,450 | 433 | 34 | 4,485 | 4,400 | 60.9% | 223 | 1,053 | 9 | | |
| 42 | May-12 | 7,343 | 7,515 | 2,486 | 439 | 33 | 4,418 | 4,400 | 60.2% | 223 | 1,084 | 5 | | |
| 43 | Jun-12 | 7,376 | 7,515 | 2,554 | 436 | 34 | 4,386 | 4,400 | 59.5% | 245 | 1,095 | 16 | 786 | YTD |
| 44 | Jul-12 | 7,225 | 7,578 | 2,401 | 444 | 34 | 4,380 | 4,422 | 60.6% | 238 | 1,096 | 9 | | |
| 45 | Aug-12 | 7,448 | 7,578 | 2,468 | 471 | 39 | 4,509 | 4,422 | 60.5% | 251 | 1,087 | 5 | | |
| 46 | Sep-12 | 7,281 | 7,578 | 2,454 | 462 | 37 | 4,365 | 4,422 | 60.0% | 209 | 1,092 | 6 | 518 | YTD |
| 47 | Oct-12 | 7,293 | 7,578 | 2,475 | 464 | 35 | 4,354 | 4,422 | 59.7% | 243 | 1,137 | 1 | | |
| 48 | Nov-12 | 7,254 | 7,578 | 2,478 | 482 | 34 | 4,294 | 4,422 | 59.2% | 200 | 1,203 | 1 | | |
| 49 | Dec-12 | 7,253 | 7,578 | 2,433 | 484 | 35 | 4,336 | 4,422 | 59.8% | 178 | 1,186 | 1 | 635 | YTD |
| 50 | Jan-13 | 7,194 | 7,578 | 2,421 | 461 | 37 | 4,312 | 4,422 | 59.9% | 255 | 1,201 | 1 | | |
| 51 | Feb-13 | 7,092 | 7,578 | 2,415 | 443 | 33 | 4,234 | 4,422 | 59.7% | 159 | 1,202 | 1 | | |
| 52 | Mar-13 | 7,052 | 7,578 | 2,487 | 438 | 38 | 4,127 | 4,422 | 58.5% | 220 | 1,196 | 1 | 705 | YTD |
| 53 | Apr-13 | 6,658 | 7,578 | 2,390 | 238 | 9 | 4,030 | 4,422 | 60.5% | 205 | 1,228 | 1 | | |
| 54 | May-13 | 7,037 | 7,578 | 2,511 | 362 | 11 | 4,164 | 4,422 | 59.2% | 174 | 1,206 | 1 | | |
| 55 | Jun-13 | 7,038 | 7,578 | 2,405 | 421 | 10 | 4,212 | 4,422 | 59.8% | 194 | 1,224 | 1 | 769 | YTD |
| 56 | Jul-13 | 7,153 | 7,356 | 2,452 | 421 | 72 | 4,280 | 4,380 | 59.8% | 276 | 1,230 | 1 | | |
| 57 | Aug-13 | 7,284 | 7,356 | 2,532 | 439 | 25 | 4,313 | 4,380 | 59.2% | 263 | 1,225 | 1 | | |
| 58 | Sep-13 | 7,145 | 7,356 | 2,480 | 449 | 20 | 4,216 | 4,380 | 59.0% | 264 | 1,247 | 1 | 474 | YTD |
| 59 | Oct-13 | | | | | | | | | | | | | |
| 60 | Nov-13 | | | | | | | | | | | | | |
| 61 | Dec-13 | | | | | | | | | | | | | |
| 62 | Jan-14 | | | | | | | | | | | | | |
| 63 | Feb-14 | | | | | | | | | | | | | |
| 64 | Mar-14 | | | | | | | | | | | | | |
| 65 | Apr-14 | | | | | | | | | | | | | |
| 66 | May-14 | | | | | | | | | | | | | |
| 67 | Jun-14 | | | | | | | | | | | | | |
| 68 | | | | | | | | | | | | | | |
| 69 | | Note 1: These clients are also captured under OMBP Provider Payments | | | | | | | | | | | | |
| 70 | | Note : CFI Home Health = CFI Home Support and Home Health Care Waiver Services | | | | | | | | | | | | |
| 71 | Source of Data | | | | | | | | | | | | | |
| 72 | Columns | | | | | | | | | | | | | |
| 73 | | | | | | | | | | | | | | |
| 74 | D-F | MDSS monthly client counts | | | | | | | | | | | | |
| 75 | G | 3 month Avg of the number of paid bed days in the month/days in prior month | | | | | | | | | | | | |
| 76 | | by the number of days in the previous month. MDSS | | | | | | | | | | | | |
| 77 | J | Options Monthly Protective Reports | | | | | | | | | | | | |
| 78 | K | Options Monthly Activity Report | | | | | | | | | | | | |
| 79 | L | SSBG Adult In-Home Care verbal report from Adult Protective Services Administrator | | | | | | | | | | | | |
| 80 | M | Quarterly Options Paid Claims from Business Systems Unit Manager | | | | | | | | | | | | |

| | A | B | C | D | E | F | G | H | I | J |
|----|--|---|--------------------------------------|---------------------------|--------------------------|----------------------------|-------------------------------------|--------------------------|---|---------------------|
| 1 | Operating Statistics | | | | | | | | | |
| 2 | Developmental Services Long Term Care | | | | | | | | | |
| 3 | | | | | | | | | | |
| 4 | | | | | | | | | | |
| 5 | | BDS Programs served FYTD** | BDS Programs FYTD Unduplicated Count | Early Supports & Services | Special Medical Services | Partners in Health Program | Devl. Serv. Priority #1 DD Waitlist | Devl. Serv. ABD Waitlist | | Medicaid to Schools |
| 6 | | | | | (8-09 to 8-12 Actual) | (8-09 to 8-12 Actual) | Actual* | Actual* | | |
| 18 | Jul-10 | 9,505 | 6,463 | 1,927 | 1,652 | 1,390 | 40 | 0 | | |
| 19 | Aug-10 | 10,574 | 7,826 | 2,054 | 1,690 | 1,058 | 13 | 0 | | |
| 20 | Sep-10 | 11,107 | 8,324 | 2,069 | 1,730 | 1,053 | 9 | 0 | | |
| 21 | Oct-10 | 11,667 | 8,826 | 2,087 | 1,767 | 1,074 | 21 | 1 | | |
| 22 | Nov-10 | 12,438 | 9,600 | 2,128 | 1,768 | 1,070 | 19 | 0 | | |
| 23 | Dec-10 | 12,732 | 9,959 | 2,101 | 1,667 | 1,106 | 19 | 0 | | |
| 24 | Jan-11 | 13,152 | 10,344 | 1,972 | 1,659 | 1,149 | 19 | 0 | | |
| 25 | Feb-11 | 13,567 | 10,817 | 2,017 | 1,613 | 1,137 | 19 | 0 | | |
| 26 | Mar-11 | 13,900 | 11,098 | 2,182 | 1,651 | 1,151 | 20 | 0 | | |
| 27 | Apr-11 | 14,201 | 11,337 | 2,277 | 1,695 | 1,169 | 30 | 0 | | |
| 28 | May-11 | 14,623 | 11,713 | 2,339 | 1,742 | 1,168 | 30 | 0 | | |
| 29 | Jun-11 | 15,148 | 12,168 | 2,344 | 1,772 | 1,208 | 24 | 4 | | 6,785 |
| 30 | Jul-11 | 10,626 | 7,627 | 2,248 | 1,795 | 1,204 | 56 | 6 | | |
| 31 | Aug-11 | 10,953 | 7,957 | 1,799 | 1,806 | 1,190 | 34 | 8 | | |
| 32 | Sep-11 | 11,146 | 8,328 | 2,329 | 1,811 | 1,007 | 34 | 10 | | |
| 33 | Oct-11 | 11,500 | 8,529 | 2,668 | 1,841 | 1,130 | 46 | 9 | | |
| 34 | Nov-11 | 11,918 | 9,077 | 2,917 | 1,727 | 1,114 | 58 | 9 | | |
| 35 | Dec-11 | 12,290 | 9,445 | 3,057 | 1,742 | 1,103 | 62 | 0 | | |
| 36 | Jan-12 | 12,535 | 9,848 | 3,274 | 1,667 | 1,020 | 66 | 0 | | |
| 37 | Feb-12 | 12,767 | 10,112 | 3,468 | 1,663 | 992 | 71 | 0 | | |
| 38 | Mar-12 | 13,133 | 10,455 | 3,661 | 1,695 | 983 | 78 | 0 | | |
| 39 | Apr-12 | 13,510 | 10,802 | 3,922 | 1,702 | 1,006 | 81 | 0 | | |
| 40 | May-12 | 13,850 | 11,122 | 4,154 | 1,740 | 988 | 90 | 0 | | |
| 41 | Jun-12 | 14,248 | 11,513 | 4,423 | 1,737 | 998 | 94 | 0 | | 6,419 |
| 42 | Jul-12 | 9,742 | 7,055 | 1,891 | 1,689 | 998 | 123 | 0 | | |
| 43 | Aug-12 | 10,324 | 7,590 | 2,083 | 1,738 | 996 | 123 | 0 | | |
| 44 | Sep-12 | 11,000 | 8,156 | 2,288 | 1,814 | 1,030 | 154 | 0 | | |
| 45 | Oct-12 | 11,701 | 8,774 | 2,601 | 1,876 | 1,051 | 169 | 0 | | |
| 46 | Nov-12 | 12,207 | 9,209 | 2,861 | 1,935 | 1,063 | 172 | 0 | | |
| 47 | Dec-12 | 12,562 | 9,502 | 3,033 | 1,980 | 1,080 | 190 | 0 | | |
| 48 | Jan-13 | 13,217 | 10,065 | 3,255 | 2,063 | 1,089 | 219 | 0 | | |
| 49 | Feb-13 | 13,660 | 10,438 | 3,521 | 2,123 | 1,099 | 225 | 1 | | |
| 50 | Mar-13 | 14,057 | 10,694 | 3,706 | 2,253 | 1,110 | 242 | 3 | | |
| 51 | Apr-13 | 14,460 | 10,992 | 3,925 | 2,342 | 1,126 | 240 | 1 | | |
| 52 | May-13 | 14,863 | 11,289 | 4,132 | 2,430 | 1,144 | 265 | 4 | | |
| 53 | Jun-13 | 15,205 | 11,580 | 4,323 | 2,460 | 1,165 | 288 | 8 | | |
| 54 | Jul-13 | 8,995 | 6,364 | 1,865 | 1,646 | 985 | 373 | 15 | | |
| 55 | Aug-13 | 10,041 | 7,291 | 2,074 | 1,755 | 995 | 186 | 5 | | |
| 56 | Sep-13 | 10,978 | 8,160 | 2,381 | 1,813 | 1,005 | 103 | 6 | | |
| 57 | Oct-13 | | | | | | | | | |
| 58 | Nov-13 | | | | | | | | | |
| 59 | Dec-13 | | | | | | | | | |
| 60 | Jan-14 | | | | | | | | | |
| 61 | Feb-14 | | | | | | | | | |
| 62 | Mar-14 | | | | | | | | | |
| 63 | Apr-14 | | | | | | | | | |
| 64 | May-14 | | | | | | | | | |
| 65 | Jun-14 | | | | | | | | | |
| 66 | | | | | | | | | | |
| 67 | Data Sources: | NHLeads | NHLeads | NHLeads | SMSdb | PIHdb | Registry | Registry | | |
| 68 | | | | | | | | | | |
| 69 | *G & *H Represent the number of individuals waiting at least 90-days for DD or ABD | | | | | | | | | |
| 70 | Waiver funding. | | | | | | | | | |
| 71 | ** BDS count excludes MTS Students served | | | | | | | | | |
| 72 | E & F | Represents year-to-date total number served | | | | | | | | |

| | A | B | C | D | E | F | G | H | I | J | K |
|----|--|--|-------------------------------------|-----------------------|-----------------|---------------------------------|-----------------|-----------------|-----------------------------|-----------------|----------------------|
| 1 | Table I | | | | | | | | | | |
| 2 | Department of Health and Human Services | | | | | | | | | | |
| 3 | Operating Statistics | | | | | | | | | | |
| 4 | Shelter & Institutions | | | | | | | | | | |
| 5 | | | | | | | | | | | |
| 6 | | NHH | | | | BHHS | | | | | Glencliff |
| 7 | | APS & APC Census | APS & APC Admissions | THS Census | | Individual Bednights | % of | | Family Bednights | % of | GH Census |
| 8 | | Actual | Actual | Actual | Capacity | Actual | Capacity | Capacity | Actual | Capacity | Actual |
| 9 | | | | | | | | | | | |
| 34 | Jul-11 | 142 | 209 | 43 | 10,943 | 9,368 | 86% | 806 | 627 | 78% | 113 |
| 35 | Aug-11 | 134 | 192 | 41 | 10,943 | 9,590 | 88% | 806 | 732 | 91% | 115 |
| 36 | Sep-11 | 128 | 196 | 41 | 10,590 | 9,719 | 92% | 768 | 744 | 97% | 115 |
| 37 | Oct-11 | 149 | 200 | 37 | 10,943 | 10,781 | 99% | 806 | 826 | 102% | 117 |
| 38 | Nov-11 | 150 | 193 | 36 | 10,590 | 10,779 | 102% | 780 | 885 | 113% | 116 |
| 39 | Dec-11 | 151 | 202 | 36 | 11,521 | 11,721 | 102% | 806 | 877 | 109% | 113 |
| 40 | Jan-12 | 153 | 207 | n/a | 12,090 | 12,173 | 101% | 806 | 883 | 110% | 115 |
| 41 | Feb-12 | 153 | 191 | n/a | 11,310 | 11,137 | 98% | 754 | 770 | 102% | 116 |
| 42 | Mar-12 | 153 | 184 | n/a | 12,090 | 11,049 | 91% | 806 | 837 | 104% | 118 |
| 43 | Apr-12 | 153 | 200 | n/a | 10,590 | 9,945 | 94% | 780 | 817 | 105% | 118 |
| 44 | May-12 | 155 | 208 | n/a | 10,943 | 10,510 | 96% | 806 | 898 | 111% | 117 |
| 45 | Jun-12 | 149 | 187 | n/a | 10,590 | 9,845 | 93% | 780 | 869 | 111% | 119 |
| 46 | Jul-12 | 145 | 161 | n/a | 10,943 | 9,568 | 87% | 806 | 685 | 85% | 118 |
| 47 | Aug-12 | 149 | 193 | n/a | 10,943 | 9,573 | 87% | 806 | 755 | 94% | 118 |
| 48 | Sep-12 | 151 | 162 | n/a | 9,870 | 9,304 | 94% | 780 | 674 | 86% | 119 |
| 49 | Oct-12 | 150 | 178 | n/a | 10,199 | 9,826 | 96% | 806 | 757 | 94% | 119 |
| 50 | Nov-12 | 150 | 161 | n/a | 9,870 | 9,369 | 95% | 780 | 763 | 98% | 117 |
| 51 | Dec-12 | 150 | 125 | n/a | 10,133 | 9,420 | 93% | 754 | 808 | 107% | 117 |
| 52 | Jan-13 | 161 | 173 | n/a | 11,346 | 11,433 | 101% | 806 | 826 | 102% | 117 |
| 53 | Feb-13 | 164 | 135 | n/a | 9,212 | 10,544 | 114% | 728 | 704 | 97% | 118 |
| 54 | Mar-13 | 155 | 149 | n/a | 9,833 | 10,995 | 112% | 780 | 764 | 98% | 119 |
| 55 | Apr-13 | 152 | 173 | n/a | 9,833 | 9,313 | 95% | 780 | 643 | 82% | 119 |
| 56 | May-13 | 148 | 187 | n/a | 10,162 | 9,166 | 90% | 806 | 586 | 73% | 118 |
| 57 | Jun-13 | 155 | 175 | n/a | 9,833 | 8,019 | 82% | 780 | 617 | 79% | 119 |
| 58 | Jul-13 | 155 | 187 | n/a | 10,199 | 8,152 | 80% | 806 | 641 | 80% | 117 |
| 59 | Aug-13 | 161 | 164 | n/a | 10,943 | 8,380 | 77% | 806 | 676 | 84% | 116 |
| 60 | Sep-13 | 163 | 165 | n/a | 9,870 | 8,801 | 89% | 780 | 650 | 83% | 115 |
| 61 | Oct-13 | | | n/a | | | | | | | |
| 62 | Nov-13 | | | n/a | | | | | | | |
| 63 | Dec-13 | | | n/a | | | | | | | |
| 64 | Jan-14 | | | n/a | | | | | | | |
| 65 | Feb-14 | | | n/a | | | | | | | |
| 66 | Mar-14 | | | n/a | | | | | | | |
| 67 | Apr-14 | | | n/a | | | | | | | |
| 68 | May-14 | | | n/a | | | | | | | |
| 69 | Jun-14 | | | n/a | | | | | | | |
| 70 | | | | | | | | | | | |
| 71 | Source of Data | | | | | | | | | | |
| 72 | Column | | | | | | | | | | |
| 73 | B | Daily in-house midnight census averaged per month | | | | | | | | | |
| 74 | C | Daily census report of admissions totalled per month | | | | | | | | | |
| 75 | D | Daily in-house midnight census averaged per month | | | | | | | | | |
| 76 | E | Total number of individual bednights available in emergency shelters | | | | | | | | | |
| 77 | F | Total number of individual bednights utilized in emergency shelters | | | | | | | | | |
| 78 | G | Percentage of individual bednights utilized during month | | | | | | | | | |
| 79 | H | Total number of family bednights available in emergency shelters | | | | | | | | | |
| 80 | I | Total number of family bednights utilized in emergency shelters | | | | | | | | | |
| 81 | J | Percentage of family bednights utilized during month | | | | | | | | | |
| 82 | K | Daily in-house midnight census averaged per month | | | | | | | | | |

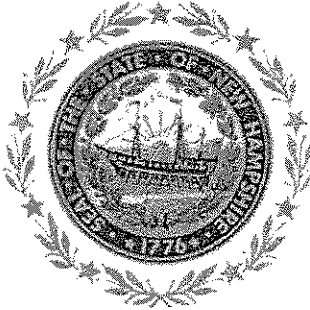
| | A | B | C | D | E |
|----|--|----------------------|----------------------|-------------------------|---|
| 1 | Table J | | | | |
| 2 | Department of Health and Human Services | | | | |
| 3 | Office of Medicaid Business and Policy | | | | |
| 4 | Budget V. Actual Medical Expenditures | | | | |
| 5 | | | | | |
| 6 | Medicaid Provider Payments | | | | |
| 7 | (Provider Payments, Outpatient Hospital, Prescription Drugs and CHIP FFS) | | | | |
| 8 | | Budgeted | Expended | Excess/Shortfall | |
| 9 | Jul-13 | \$34,256,263 | \$29,803,207 | \$4,453,057 | |
| 10 | Aug-13 | \$42,820,329 | \$49,438,554 | (\$6,618,224) | |
| 11 | Sep-13 | \$34,256,263 | \$40,280,037 | (\$6,023,774) | |
| 12 | Oct-13 | \$34,256,263 | \$35,830,555 | (\$1,574,292) | |
| 13 | Nov-13 | \$42,820,329 | \$39,537,418 | \$3,282,911 | |
| 14 | Dec-13 | \$34,256,263 | \$35,998,359 | (\$1,742,096) | |
| 15 | Jan-14 | \$34,256,263 | \$40,689,460 | (\$6,433,197) | |
| 16 | Feb-14 | \$34,256,263 | \$36,951,486 | (\$2,695,222) | |
| 17 | Mar-14 | \$42,820,329 | \$37,777,076 | \$5,043,253 | |
| 18 | Apr-14 | \$34,256,263 | \$35,452,586 | (\$1,196,323) | |
| 19 | May-14 | \$42,820,329 | \$42,027,239 | \$793,091 | |
| 20 | Jun-14 | \$34,256,263 | \$33,198,214 | \$1,058,050 | |
| 21 | Total | \$445,331,425 | \$456,984,191 | (\$11,652,766) | |
| 22 | | | | | |
| 23 | | | | | |
| 24 | BCCP | | | | |
| 25 | (Provider Payments, Outpatient Hospital, Prescription Drugs) | | | | |
| 26 | | Budgeted | Expended | Excess/Shortfall | |
| 27 | Jul-13 | \$324,071 | \$336,835 | (\$12,764) | |
| 28 | Aug-13 | \$405,089 | \$436,898 | (\$31,809) | |
| 29 | Sep-13 | \$324,071 | \$305,530 | \$18,542 | |
| 30 | Oct-13 | \$324,071 | \$278,793 | \$45,278 | |
| 31 | Nov-13 | \$405,089 | \$406,331 | (\$1,241) | |
| 32 | Dec-13 | \$324,071 | \$329,695 | (\$5,624) | |
| 33 | Jan-14 | \$324,071 | \$388,005 | (\$63,934) | |
| 34 | Feb-14 | \$324,071 | \$328,770 | (\$4,699) | |
| 35 | Mar-14 | \$405,089 | \$360,257 | \$44,833 | |
| 36 | Apr-14 | \$324,071 | \$329,621 | (\$5,550) | |
| 37 | May-14 | \$405,089 | \$404,815 | \$275 | |
| 38 | Jun-14 | \$324,071 | \$330,264 | (\$6,193) | |
| 39 | Total | \$4,212,928 | \$4,235,814 | (\$22,886) | |
| 40 | | | | | |
| 41 | | | | | |
| 42 | Notes: | | | | |
| 43 | Shaded figures are estimates | | | | |

| | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S |
|----|---|----------------------|-------|--------|------------------|---------------------------|--------|------------------------|-------|--------|--------------|--------|--------|--------------|-------|--------|--------------|-------|--------|
| 1 | Table K | | | | | | | | | | | | | | | | | | |
| 2 | Department of Health and Human Services | | | | | | | | | | | | | | | | | | |
| 3 | Caseloads Versus Prior Year & Prior Month | | | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | | | | |
| 5 | | Unduplicated Persons | | | Medicaid Persons | | | Long Term Care-Seniors | | | FANF Persons | | | APTD Persons | | | SNAP Persons | | |
| 6 | | Actual | Vs PY | Vs Pmo | Actual | Vs PY | Vs Pmo | Actual | Vs PY | Vs Pmo | Actual | Vs PY | Vs Pmo | Actual | Vs PY | Vs Pmo | Actual | Vs PY | Vs Pmo |
| 7 | | | | | | | | | | | | | | | | | | | |
| 44 | Jul-11 | 153,928 | 2.2% | -0.4% | 119,814 | 0.8% | -0.9% | 7,142 | -1.9% | 0.7% | 12,046 | -13.5% | -9.2% | 9,031 | 4.8% | -0.6% | 113,984 | 4.4% | -0.4% |
| 45 | Aug-11 | 153,803 | 1.7% | -0.1% | 119,628 | 0.7% | -0.2% | 7,196 | -0.4% | 0.8% | 11,980 | -14.3% | -0.5% | 8,905 | 3.0% | -1.4% | 114,285 | 3.9% | 0.3% |
| 46 | Sep-11 | 154,055 | 1.6% | 0.2% | 119,916 | 0.6% | 0.2% | 7,174 | 0.9% | -0.3% | 12,014 | -14.6% | 0.3% | 8,864 | 2.5% | -0.5% | 114,344 | 3.4% | 0.1% |
| 47 | Oct-11 | 153,942 | 1.6% | -0.1% | 119,437 | 0.6% | -0.4% | 7,053 | -1.4% | -1.7% | 11,756 | -13.7% | -2.1% | 8,793 | 1.6% | -0.8% | 114,705 | 3.6% | 0.3% |
| 48 | Nov-11 | 153,484 | 1.0% | -0.3% | 118,901 | 0.0% | -0.4% | 7,037 | -2.8% | -0.2% | 11,668 | -13.9% | -0.7% | 8,854 | 2.2% | 0.7% | 114,371 | 2.6% | -0.3% |
| 49 | Dec-11 | 154,470 | 1.0% | 0.6% | 119,626 | -0.2% | 0.6% | 7,132 | -2.9% | 1.4% | 11,787 | -14.5% | 1.0% | 9,006 | 2.9% | 1.7% | 115,671 | 3.0% | 1.1% |
| 50 | Jan-12 | 154,765 | 0.9% | 0.2% | 119,338 | -0.2% | -0.2% | 7,189 | -0.4% | 0.8% | 11,781 | -14.6% | -0.1% | 8,834 | 1.1% | -1.9% | 117,047 | 3.5% | 1.2% |
| 51 | Feb-12 | 155,274 | 1.5% | 0.3% | 119,553 | 0.2% | 0.2% | 7,312 | 2.1% | 1.7% | 11,628 | -15.2% | -1.3% | 8,792 | 0.1% | -0.5% | 117,293 | 4.0% | 0.2% |
| 52 | Mar-12 | 155,424 | 0.8% | 0.1% | 120,382 | 0.0% | 0.7% | 7,518 | 5.5% | 2.8% | 9,202 | -33.0% | -20.9% | 8,600 | -3.5% | -2.2% | 117,250 | 2.8% | 0.0% |
| 53 | Apr-12 | 155,639 | 0.8% | 0.1% | 120,538 | 0.0% | 0.1% | 7,368 | 2.0% | -2.0% | 8,950 | -34.2% | -2.7% | 8,595 | -4.7% | -0.1% | 117,443 | 2.6% | 0.2% |
| 54 | May-12 | 155,789 | 0.8% | 0.1% | 120,520 | 0.1% | 0.0% | 7,343 | 3.7% | -0.3% | 8,853 | -33.6% | -1.1% | 8,541 | -5.2% | -0.6% | 117,744 | 2.7% | 0.3% |
| 55 | Jun-12 | 156,002 | 0.9% | 0.1% | 120,335 | -0.4% | -0.2% | 7,376 | 4.0% | 0.4% | 8,774 | -33.9% | -0.9% | 8,518 | -6.3% | -0.3% | 117,708 | 2.9% | 0.0% |
| 56 | Jul-12 | 156,637 | 1.8% | 0.4% | 129,569 | Efr. 7/1/12 CHIP included | | 7,225 | 1.2% | -2.0% | 8,690 | -27.9% | -1.0% | 8,405 | -6.9% | -1.3% | 117,625 | 3.2% | -0.1% |
| 57 | Aug-12 | 156,966 | 2.1% | 0.2% | 129,951 | n/a | 0.3% | 7,448 | 3.5% | 3.1% | 8,793 | -26.6% | 1.2% | 8,296 | -6.8% | -1.3% | 114,916 | 0.6% | -2.3% |
| 58 | Sep-12 | 156,144 | 1.4% | -0.5% | 129,479 | n/a | -0.4% | 7,281 | 1.5% | -2.2% | 8,657 | -27.9% | -1.5% | 8,218 | -7.3% | -0.9% | 117,569 | 2.8% | 2.3% |
| 59 | Oct-12 | 157,243 | 2.1% | 0.7% | 130,393 | n/a | 0.7% | 7,293 | 3.4% | 0.2% | 8,704 | -26.0% | 0.5% | 8,216 | -6.6% | 0.0% | 119,101 | 3.8% | 1.3% |
| 60 | Nov-12 | 157,170 | 2.4% | 0.0% | 130,110 | n/a | -0.2% | 7,254 | 3.1% | -0.5% | 8,599 | -26.3% | -1.2% | 8,181 | -7.6% | -0.4% | 118,992 | 4.0% | -0.1% |
| 61 | Dec-12 | 156,588 | 1.4% | -0.4% | 130,001 | n/a | -0.1% | 7,253 | 1.7% | 0.0% | 8,493 | -27.9% | -1.2% | 8,164 | -9.3% | -0.2% | 118,817 | 2.7% | -0.1% |
| 62 | Jan-13 | 157,348 | 1.7% | 0.5% | 130,239 | n/a | 0.2% | 7,194 | 0.1% | -0.8% | 8,559 | -27.3% | 0.8% | 8,115 | -8.1% | -0.6% | 120,153 | 2.7% | 1.1% |
| 63 | Feb-13 | 154,386 | -0.6% | -1.9% | 129,200 | n/a | -0.8% | 7,092 | -3.0% | -1.4% | 8,538 | -26.6% | -0.2% | 8,059 | -8.3% | -0.7% | 117,654 | 0.3% | -2.1% |
| 64 | Mar-13 | 154,504 | -0.6% | 0.1% | 129,413 | n/a | 0.2% | 7,052 | -6.2% | -0.6% | 8,378 | -9.0% | -1.9% | 8,011 | -6.8% | -0.6% | 117,409 | 0.1% | -0.2% |
| 65 | Apr-13 | 154,159 | -1.0% | -0.2% | 129,346 | n/a | -0.1% | n/a | | | 8,337 | -6.8% | -0.5% | 8,011 | -6.8% | 0.0% | 117,147 | -0.3% | -0.2% |
| 66 | May-13 | 153,625 | -1.4% | -0.3% | 129,598 | n/a | 0.2% | 7,037 | -4.2% | | 8,169 | -7.7% | -2.0% | 8,001 | -6.3% | -0.1% | 119,317 | 1.3% | 1.9% |
| 67 | Jun-13 | 153,197 | -1.8% | -0.3% | 129,353 | n/a | -0.2% | 7,038 | -4.6% | 0.0% | 8,005 | -8.8% | -2.0% | 7,951 | -6.7% | -0.6% | 116,087 | -1.4% | -2.7% |
| 68 | Jul-13 | 153,075 | -2.3% | -0.1% | 129,255 | -0.2% | -0.1% | 7,153 | -1.0% | 1.6% | 7,926 | -8.8% | -1.0% | 7,962 | -5.3% | 0.1% | 115,691 | -1.6% | -0.3% |
| 69 | Aug-13 | 153,065 | -2.5% | 0.0% | 129,063 | -0.7% | -0.1% | 7,284 | -2.2% | 1.8% | 7,922 | -9.9% | -0.1% | 7,955 | -4.1% | -0.1% | 115,499 | 0.5% | -0.2% |
| 70 | Sep-13 | 152,338 | -2.4% | -0.5% | 128,364 | -0.9% | -0.5% | 7,145 | -1.9% | -1.9% | 7,709 | -11.0% | -2.7% | 7,889 | -4.0% | -0.8% | 114,725 | -2.4% | -0.7% |
| 71 | Oct-13 | | | | | | | | | | | | | | | | | | |
| 72 | Nov-13 | | | | | | | | | | | | | | | | | | |
| 73 | Dec-13 | | | | | | | | | | | | | | | | | | |
| 74 | Jan-14 | | | | | | | | | | | | | | | | | | |
| 75 | Feb-14 | | | | | | | | | | | | | | | | | | |
| 76 | Mar-14 | | | | | | | | | | | | | | | | | | |
| 77 | Apr-14 | | | | | | | | | | | | | | | | | | |
| 78 | May-14 | | | | | | | | | | | | | | | | | | |
| 79 | Jun-14 | | | | | | | | | | | | | | | | | | |

| | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q |
|----|---------------------------|-----------------|----------------------|------------------|---------------------|-------------|-------------------------|------------|------------------------|--------|--------|-------|--------|----------|-------|---|---|
| 1 | DATA TABLES FOR CHARTS | | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | | |
| 3 | Caseloads Vs Unemployment | | | Caseloads-Actual | | | | | Personnel Vacancy Rate | | | | | | | | |
| 4 | | NH Unempl. Rate | Unduplicated Persons | | FANF Persons Actual | APTD Actual | Medicaid Persons Actual | | Authorized | Filled | Vacant | PCT | | Caseload | Staff | | |
| 5 | | | | | | | | | | | | | | | | | |
| 43 | Jul-10 | 5.8% | 150,572 | Jul-10 | 13,920 | 8,617 | 118,831 | Jul-10 | 3,344 | 2,818 | 526 | 15.7% | Jul-10 | 150,572 | 2,818 | | |
| 44 | Aug-10 | 5.7% | 151,231 | Aug-10 | 13,981 | 8,643 | 118,841 | Aug-10 | 3,344 | 2,802 | 542 | 16.2% | | 151,231 | 2,802 | | |
| 45 | Sep-10 | 5.5% | 151,609 | Sep-10 | 14,065 | 8,650 | 119,213 | Sep-10 | 3,344 | 2,795 | 549 | 16.4% | | 151,609 | 2,795 | | |
| 46 | Oct-10 | 5.4% | 151,486 | Oct-10 | 13,615 | 8,656 | 118,770 | Oct-10 | 3,341 | 2,800 | 541 | 16.2% | Oct-10 | 151,486 | 2,800 | | |
| 47 | Nov-10 | 5.4% | 151,906 | Nov-10 | 13,553 | 8,667 | 118,882 | Nov-10 | 3,344 | 2,809 | 535 | 16.0% | | 151,906 | 2,809 | | |
| 48 | Dec-10 | 5.4% | 152,991 | Dec-10 | 13,789 | 8,749 | 119,845 | Dec-10 | 3,348 | 2,815 | 533 | 15.9% | | 152,991 | 2,815 | | |
| 49 | Jan-11 | 5.6% | 153,338 | Jan-11 | 13,796 | 8,740 | 119,554 | Jan-11 | 3,348 | 2,813 | 535 | 16.0% | Jan-11 | 153,338 | 2,813 | | |
| 50 | Feb-11 | 5.4% | 152,942 | Feb-11 | 13,705 | 8,779 | 119,255 | Feb-11 | 3,348 | 2,820 | 528 | 15.8% | | 152,942 | 2,820 | | |
| 51 | Mar-11 | 5.2% | 154,218 | Mar-11 | 13,730 | 8,912 | 120,395 | Mar-11 | 3,348 | 2,827 | 521 | 15.6% | | 154,218 | 2,827 | | |
| 52 | Apr-11 | 4.9% | 154,397 | Apr-11 | 13,597 | 9,019 | 120,532 | Apr-11 | 3,348 | 2,818 | 530 | 15.8% | Apr-11 | 154,397 | 2,818 | | |
| 53 | May-11 | 4.8% | 154,589 | May-11 | 13,330 | 9,009 | 120,353 | May-11 | 3,348 | 2,794 | 554 | 16.5% | | 154,589 | 2,794 | | |
| 54 | Jun-11 | 4.9% | 154,572 | Jun-11 | 13,272 | 9,088 | 120,867 | Jun-11 | 3,348 | 2,767 | 581 | 17.4% | | 154,572 | 2,767 | | |
| 55 | Jul-11 | 5.2% | 153,928 | Jul-11 | 12,046 | 9,031 | 119,814 | Jul-11 | 2,995 | 2,764 | 231 | 7.7% | Jul-11 | 153,928 | 2,764 | | |
| 56 | Aug-11 | 5.3% | 153,803 | Aug-11 | 11,980 | 8,905 | 119,628 | Aug-11 | 2,995 | 2,767 | 228 | 7.6% | | 153,803 | 2,767 | | |
| 57 | Sep-11 | 5.4% | 154,055 | Sep-11 | 12,014 | 8,864 | 119,916 | Sep-11 | 2,995 | 2,774 | 221 | 7.4% | | 154,055 | 2,774 | | |
| 58 | Oct-11 | 5.3% | 153,942 | Oct-11 | 11,756 | 8,793 | 119,437 | Oct-11 | 2,997 | 2,759 | 238 | 7.9% | Oct-11 | 153,942 | 2,759 | | |
| 59 | Nov-11 | 5.2% | 153,484 | Nov-11 | 11,668 | 8,854 | 118,901 | Nov-11 | 2,997 | 2,753 | 244 | 8.1% | | 153,484 | 2,753 | | |
| 60 | Dec-11 | 5.2% | 154,470 | Dec-11 | 11,787 | 9,006 | 119,626 | Dec-11 | 2,898 | 2,672 | 226 | 7.8% | | 154,470 | 2,672 | | |
| 61 | Jan-12 | 5.2% | 154,765 | Jan-12 | 11,781 | 8,834 | 119,338 | Jan-12 | 2,898 | 2,699 | 199 | 6.9% | Jan-12 | 154,765 | 2,699 | | |
| 62 | Feb-12 | 5.2% | 155,274 | Feb-12 | 11,628 | 8,792 | 119,553 | Feb-12 | 2,898 | 2,686 | 212 | 7.3% | | 155,274 | 2,686 | | |
| 63 | Mar-12 | 5.2% | 155,424 | Mar-12 | 9,202 | 8,600 | 120,382 | Mar-12 | 2,898 | 2,694 | 204 | 7.0% | | 155,424 | 2,694 | | |
| 64 | Apr-12 | 5.0% | 155,639 | Apr-12 | 8,950 | 8,595 | 120,538 | Apr-12 | 2,898 | 2,687 | 211 | 7.3% | Apr-12 | 155,639 | 2,687 | | |
| 65 | May-12 | 5.0% | 155,789 | May-12 | 8,853 | 8,541 | 120,520 | May-12 | 2,898 | 2,674 | 224 | 7.7% | | 155,789 | 2,674 | | |
| 66 | Jun-12 | 5.1% | 156,002 | Jun-12 | 8,774 | 8,518 | 120,335 | Jun-12 | 2,897 | 2,671 | 226 | 7.8% | | 156,002 | 2,671 | | |
| 67 | Jul-12 | 5.4% | 156,637 | Jul-12 | 8,690 | 8,405 | 126,569 | Jul-12 | 2,897 | 2,660 | 237 | 8.2% | Jul-12 | 156,637 | 2,660 | | |
| 68 | Aug-12 | 5.7% | 156,966 | Aug-12 | 8,793 | 8,296 | 129,951 | Aug-12 | 2,897 | 2,643 | 254 | 8.8% | | 156,966 | 2,643 | | |
| 69 | Sep-12 | 5.7% | 156,144 | Sep-12 | 8,657 | 8,218 | 129,479 | Sep-12 | 2,897 | 2,640 | 257 | 8.9% | | 156,144 | 2,640 | | |
| 70 | Oct-12 | 5.7% | 157,243 | Oct-12 | 8,704 | 8,216 | 130,393 | Oct-12 | 2,897 | 2,641 | 256 | 8.8% | Oct-12 | 157,243 | 2,641 | | |
| 71 | Nov-12 | 5.6% | 157,170 | Nov-12 | 8,599 | 8,181 | 130,110 | Nov-12 | 2,897 | 2,636 | 261 | 9.0% | | 157,170 | 2,636 | | |
| 72 | Dec-12 | 5.7% | 156,588 | Dec-12 | 8,493 | 8,164 | 130,001 | Dec-12 | 2,897 | 2,633 | 264 | 9.1% | | 156,588 | 2,633 | | |
| 73 | Jan-13 | 5.8% | 157,348 | Jan-13 | 8,559 | 8,115 | 130,239 | Jan-13 | 2,898 | 2,619 | 279 | 9.6% | Jan-13 | 157,348 | 2,619 | | |
| 74 | Feb-13 | 5.8% | 154,386 | Feb-13 | 8,538 | 8,059 | 129,200 | Feb-13 | 2,898 | 2,625 | 273 | 9.4% | | 154,386 | 2,625 | | |
| 75 | Mar-13 | 5.7% | 154,504 | Mar-13 | 8,378 | 8,011 | 129,413 | Mar-13 Est | 2,900 | 2,615 | 285 | | | 154,504 | 2,615 | | |
| 76 | Apr-13 | 5.5% | 154,159 | Apr-13 | 8,337 | 8,011 | 129,346 | Apr-13 Est | 2,900 | 2,610 | 290 | | Apr-13 | 154,159 | 2,610 | | |
| 77 | May-13 | 5.3% | 153,625 | May-13 | 8,169 | 8,001 | 129,598 | May-13 Est | 2,900 | 2,605 | 295 | | | 153,625 | 2,605 | | |
| 78 | Jun-13 | 5.2% | 153,197 | Jun-13 | 8,005 | 7,951 | 129,353 | Jun-13 | 2,888 | 2,600 | 288 | 10.0% | | 153,197 | 2,600 | | |
| 79 | Jul-13 | 5.1% | 153,075 | Jul-13 | 7,926 | 7,962 | 129,255 | Jul-13 | 2,898 | 2,596 | 302 | 10.4% | Jul-13 | 153,075 | 2,596 | | |
| 80 | Aug-13 | 5.0% | 153,065 | Aug-13 | 7,922 | 7,955 | 129,063 | Aug-13 | 2,898 | 2,590 | 308 | 10.6% | | 153,065 | 2,590 | | |
| 81 | Sep-13 | | 152,338 | Sep-13 | 7,709 | 7,889 | 128,364 | Sep-13 | 2,898 | 2,584 | 314 | 10.8% | | 152,338 | | | |
| 82 | Oct-13 | | | Oct-13 | | | | Oct-13 | | | | | Oct-13 | | | | |
| 83 | Nov-13 | | | Nov-13 | | | | Nov-13 | | | | | | | | | |
| 84 | Dec-13 | | | Dec-13 | | | | Dec-13 | | | | | | | | | |
| 85 | Jan-14 | | | Jan-14 | | | | Jan-14 | | | | | Jan-14 | | | | |
| 86 | Feb-14 | | | Feb-14 | | | | Feb-14 | | | | | | | | | |
| 87 | Mar-14 | | | Mar-14 | | | | Mar-14 | | | | | | | | | |
| 88 | Apr-14 | | | Apr-14 | | | | Apr-14 | | | | | Apr-14 | | | | |
| 89 | May-14 | | | May-14 | | | | May-14 | | | | | | | | | |
| 90 | Jun-14 | | | Jun-14 | | | | Jun-14 | | | | | | | | | |

**Department of Health and Human Services
Attachment To Monthly Dashboard
Current Status of Projects With Potentially Significant Impact
As of September 30, 2013**

Department of Health and Human Services



**Current Status of Projects With Potentially Significant Impact
As of September 30, 2013**

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The Department of Health and Human Services has a number of initiatives in process that will significantly transform the nature of services and the delivery systems for those services. These include:

1. Medicaid Care Management for Medical and Long Term Care Services
2. Development of an 1115 Waiver to restructure the Medicaid program
3. Implementation of authorized elements of the Affordable Care Act (ACA)
 - a. Implementation of the Modified Adjusted Gross Income
 - b. Federally Facilitated Marketplace
 - c. Federally Funded Primary Care Rate Increase
4. Implementation of Child in Need of Services (CHINS) Voluntary Services

Long Term Care

5. Implementation of the 10-Year Mental Health Plan
6. State Innovation Model (SIM) Grant
7. Balanced Incentive Program (BIP) Grant

Information Technology

8. Medicaid Management Information System
9. Service Delivery System Transformation – Data Repository
10. Health Information Exchange
11. Replace Child Support Information System (NECSSES)
12. Complete the installation of the Medicaid Management Information System (MMIS)
13. Continue the modernization of the eligibility determination system (New HEIGHTS)
14. Implement Electronic Medical Record at New Hampshire Hospital
15. Implement WISDOM Public Health Performance Management System

MEDICAID PROGRAM

Care Management

Chapter Law 125, Laws of 2011, requires the Commissioner to employ a managed care model for administering the Medicaid program and its enrollees to provide for managed care services for all Medicaid populations throughout New Hampshire consistent with the provisions of 42 U.S.C 1396u-2. The Department is implementing a three-phased approach consistent with the language of Chapter Law 125 (SB 147).

- Step 1-All Medicaid medical, pharmacy, and mental health services for all populations with a few the exceptions, such as spend down populations.
- Step 2-Specialty services for long term care populations, including nursing home services and specialty services for those dually eligible for Medicaid and Medicare.
- Step 3-Medicaid expansion population under the Affordable Care Act, if NH chooses to exercise the option to expand.

Status:

As a result of a public bidding process, on May 9, 2012 Governor & Council approved three contracts with Medicaid Managed Care Organizations (MCO). These vendors are Granite State Health Plan (Centene Corporation), Well Sense Health Plan (Boston Medical Center) and Meridian Health Plan of New Hampshire. The contracts, along with Medicaid State Plan Amendments (SPA) were submitted to the Centers for Medicare & Medicaid Management (CMS) for approval.

The Department has been closely monitoring the steps needed to fully implement the new program, including the development of the managed care organizations' provider networks. Based on the favorable results noted to date, implementation has been scheduled for December 1, 2013. Client enrollment has begun and readiness reviews are in process.

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Development of an 1115 Waiver to restructure the Medicaid program

The Department is in the process of developing a proposal for a Medicaid demonstration waiver from the Centers of Medicare and Medicaid Services (CMS) in order to improve population health in New Hampshire, improve health outcomes for the Medicaid and CHIP populations, and to help lower health care costs for those populations over time. The demonstration waiver is known as a "Section 1115" waiver because of the provision of the federal Social Security Act under which the waiver is issued. A waiver mechanism is needed in order to undertake programs and initiatives in an innovative manner that does not adhere to all the traditional federal rules and regulations for the delivery and payment of Medicaid services.

For the past several years, NH DHHS has pursued a number of individual initiatives in order to improve population health and to establish a sustainable Medicaid financing system. These initiatives have included participation in the Balancing Incentive Program (BIP), the Money Following the Person Grant Program, the System of Care Grant, and, more recently, the implementation of managed care through the NH Care Management program and the State Innovation Model Grant program.

In addition, the Care Management program, which will provide medical homes and coordinated health care to NH's Medicaid population, will be implemented once the network for services is completed and CMS provides final approvals for implementation. NH DHHS is also now in the process of completing a thorough stakeholder process for the design phase of the State Innovation Model Grant, which is focused on new payment and service delivery reform options for long-term care support and services across the continuum of care and across the lifespan for all populations. The Commission to Study Expansion of Medicaid Eligibility recently completed its work which may lead to additional changes in the Medicaid program.

NH DHHS believes that it is critically important to align these initiatives and to undertake additional transformation efforts to reform the delivery and payment of Medicaid services in order to achieve our goal of improved population health and to secure additional federal investment in NH's Medicaid system to ensure its sustainability. Over the next several months DHHS will be undertaking stakeholder outreach that will inform the development of a waiver concept that will be reviewed by CMS and the public, and that will serve as the basis of a formal waiver application to CMS.

Implementation of authorized elements of the Affordable Care Act (ACA)

Pursuant to HB2, Section 129, the Commission to Study Expansion of Medicaid Eligibility completed its work and issued its final report. The Department provided technical support for this Commission. In addition to the state optional expansion of Medicaid under ACA, certain other changes are mandatory and the Department is working toward implementation of the Modified Adjusted Gross Income methodology for eligibility determination, the Federally Facilitated Marketplace and the Federally Funded Primary Care Rate Increase. October 1 marked the start of open enrollment in the New Hampshire insurance marketplace.

Medicaid Family Planning Benefit Expansion.

Beginning July 1, DHHS started implementation of the Medicaid family planning expansion as directed by SB 194 and provided under RSA 126-A:4-c.. The family planning benefit is administered through the DHHS Medicaid Program and client eligibility for the program is administered through the Division of Client Services. Due to the department's inability to initiate at this time the significant technology systems enhancements necessary to determine eligibility and conduct claims processing, all client eligibility and all provider claims, both processed and paid, are conducted manually. Our ability to track total clients and service utilization is limited. However, DHHS is creating a work around data collection system that relies heavily on cooperation with providers and that captures benefit utilization and cost to the Medicaid program. During the first three weeks of the program, nearly 400 clients have been determined eligible for services at family planning sites throughout NH.

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CHILDREN'S SERVICES

Implementation of Child in Need of Services (CHINS) Voluntary Services

The 2013 legislature passed HB 260, expanding the definition of a child in need of services under RSA 169-D, providing that DHHS shall offer voluntary services to any child meeting the definition of CHINS; directs the department to collect certain data regarding the CHINS program, services and utilization; and provides for the suspension of voluntary services if appropriated funds will be insufficient to support voluntary services. Further, prior to any CHINS petition being filed with the court efforts demonstrating whether appropriate voluntary services have been attempted, the nature of voluntary services attempted, and the reason court compulsion is necessary must be documented. The Division internally reviewed system options for rolling out Voluntary CHINS in a way that would allow for data collection requirements as well as, consistency in programming and services. By utilizing the DCYF Central Intake as the "front door" for all CHINS the Division was able to create consistent screening and timely assignment of new CHINS referrals. By utilizing the existing Child Protection Intake system, the Division can track all CHINS requests, case openings and closings allowing for system tracking and by CHINS type as well as the outcomes.

LONG TERM CARE

10-Year Mental Health Plan

The 10-Year Mental Health Plan restores the delivery system to addresses the critical mental health needs of NH's citizens. The Division of Community Based Care Services, through the Bureau of Behavioral Health and New Hampshire Hospital, has developed several initiatives to provide a long-term, community based and sustainable solution for the backlog of individuals waiting in hospital emergency departments for inpatient care. These include providing early interventions before an individual requires emergency care, and targeted programs and services to allow individuals with high service needs to live successfully in the community. Specific initiatives and progress to date are as follows.

1. Establish a second Acute Psychiatric Residential Treatment Program (APRTP similar to the current program located in Manchester (Cypress Center).
Status: BBH will be drafting an RFP for release this fall for organizations designated as community mental health programs to apply for these funds. We have several who have expressed an interest, and a key component to a successful application will be site-control- property identified, available and under control of the applicant.
2. Establish additional crisis beds operated by two local Peer Support Agencies building on the success of the Stepping Stones Crisis Respite Program.
Status: We have been approached through community collaboration- a CMHC in partnership with a peer support agency to set up a crisis bed. They have a site available for the program. We will be proceeding with further discussions with them on moving this initiative forward.

As part of a planned expansion of the Transitional Housing Program, we are expanding the program by an additional 8 beds, which will include 2 peer run crisis beds at the program in collaboration with a local Peer Support Agency. We are in the process of executing this contract amendment.

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3. Establish 48 additional community residence beds for the individuals with severe mental illness who require close monitoring and specialized services in the community. This would provide 24-hour care to help them develop the skills to live independently.
Status: This was reduced to 12 beds for FY 14. We are in the process of doing a contract amendment to use these funds to expand the transitional housing program by 8 beds in Bethlehem and also include 2 peer run crisis beds within that same program.

4. Expand the Housing Bridge Subsidy Program by 100 slots. The State will provide rental subsidies to landlords until the individual receives a section 8 voucher.
Status: BBH staff is working with our community program partner, Harbor Homes, to work off the current wait list and enroll new participants in the Housing Bridge Subsidy Program.

5. Establish 7 additional Assertive Community Treatment Teams (ACT) to reduce hospitalizations, reduce the use of hospital emergency departments, and improve the quality of life for individuals with a serious mental illness who are at high risk in the community. NH currently has 10 ACT Teams in 6 regions.
Status: We will have 4 additional Adult ACT Teams covering all 10 regions as of September 2013. We have also provided funding to ensure each of the 10 teams is able to provide 7-day a week coverage. The 4 adult teams are in the process of being developed and an RFP is being prepared for a children's ACT team.

6. Expand the REAP (Referral, Education and Assistance Program) to serve an additional 350 older adults. REAP provides outreach and short term counseling services to older adults who are at high risk for hospitalization because of substance abuse and/or mental illness.
Status: Expanded funds have been provided to our lead partner agency, Seacoast Mental Health, and other program design changes have been made to continue enhancing and improving outcomes for program participants.

7. Expand funding for Peer Support Services to serve 10% more consumers
Status: Funding provided to the Peer Support Agencies through contract.

8. Develop one additional local Designated Receiving Facility (DRF) to expand inpatient psychiatric services.
Status: A contract with for a Franklin DRF new 10-bed program is being processed..

State Innovation Model (SIM) Grant

The Department received a federal SIM grant and will use the grant to focus on the service model for individuals who are either in need of or at-risk for needing long-term support services. The purpose of the grant is to transition from the current, fragmented model to a system that a) empowers consumers to access services across the service delivery system "silos" and improves care and service coordination across those systems, b) aligns the payers for long term care support services around a common goals and outcomes, and c) employs a payment system that creates global accountability for cost effectiveness and outcomes.

Status

Work on the project has begun by forming work groups within the larger stakeholder group. The workgroup on Vision and Mission completed its work on a combined vision and mission statement. All eight SIM Stakeholder workgroups met to review strengths and weaknesses of the current system and to brainstorm ideas and strategies for SIM initiatives. Workgroups were held for the following areas: Status Report, Payment Reform, Regulatory/Legal, System Reform, Existing Initiatives, Quality, Education and Outreach, HITIT, Other Barriers. A stakeholder meeting was held June 27, 2013 to communicate updates from each workgroup and to provide a set of recommendations for discussion.

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Balanced Incentive Program (BIP)

The Department received a federal BIP grant for purposes of rebalancing Medicaid spending between institutional and community long term care services. New Hampshire is eligible to participate in this grant opportunity because, as of December 2009, the State spent more on institutional care than on community-based long-term supports and services. The rebalancing will build upon the existing partnerships with Area Agencies and Community Mental Health Centers, utilizing the ServiceLink model. The project will focus on core principles of a) No Wrong Door—Single Entry Process which will be a statewide system to enable consumers to access all long-term services and supports through an agency, organization, coordinated network, or portal b) Core Standardized Assessment for determining eligibility, identifying support needs, and informed service planning, and c) Conflict-free case management services to develop care plans, coordinate services and supports, and conduct ongoing monitoring to assure that services and supports are delivered to meet the beneficiary's needs and achieve intended outcomes. The grant was received on March 1, 2012 and to date the following activities have been completed.

Status:

The Department continues to outline long term care system enhancements. A contract for project management services was approved April 17, 2013, which will accelerate progress toward future milestones in support of the objectives to rebalance long term care spending in favor of community services and supports. Efforts are being ramped up in each of the major work plan areas. Next steps include finalization of several required infrastructure deliverables, including the core standardized assessment, standardized informational materials, and the NWD screening, eligibility & options counseling processes. Next steps include finalization of several required infrastructure deliverables, including the core standardized assessment, standardized informational materials, and the NWD screening, eligibility & options counseling processes.

INFORMATION TECHNOLOGY

Medicaid Management Information System (MMIS)

The Medicaid Management Information System (MMIS,) including its Medicaid claims adjudication and payment functions, is the Department of Health and Human Services' primary system for administering and managing costs for the New Hampshire Medicaid program. The MMIS processes over \$1 billion annually in payments to 14,000 New Hampshire Medicaid providers for services provided to approximately 130,000 eligible recipients under the New Hampshire Medicaid program. On April 1, 2013, the contractor, Xerox Government Healthcare Solutions, began a multi-year commitment as the fiscal agent for the state of New Hampshire.

Status

As of October, over 16,000 Medicaid providers were enrolled through the MMIS system. The Xerox call center handles between 200 and 300 provider calls each day for an average call time of seven minutes. The majority of the calls pertain to claims status, patient eligibility, password resets, and requests for Remittance Advice documentation. In the three months ending September, 2013, the MMIS has paid over \$262 million in claims to Medicaid providers for an average of \$20 million weekly.

The top priority activities for MMIS include supporting the Medicaid Care Management implementation, completing required federal reporting requirements, reducing the volume of suspended claims, and streamlining systems functionality for prior authorizations.

The Department also continues to prepare documentation for the federal CMS certification that will ensure increased federal reimbursement for the fiscal operations and mandated enhancements.

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Current Status of Projects With Potentially Significant Impact
As of September 30, 2013**

Service Delivery System Transformation – Data Repository

The Department is transforming the service delivery system to be more integrated and efficient while also improving the quality of client care and outcomes. The Service Delivery Transformation (SDST) Project will develop a clear understanding of the way the current service delivery system operates and then will implement changes in policies, procedures, information systems, partnering, contracting, etc. to achieve a more integrated and efficient operation while improving the quality of client care and outcomes. The SDST– Data Repository Project was defined to create a Master Client-Centric Data Repository that integrates data about services delivered to clients.

Status:

Data from the four agencies participating in the project (Division of Family Assistance, Division of Child Support Services, Division of Children, Youth and Families, and Bureau of Elderly and Adult Services) are loaded into the software tool from the legacy systems. Custom views of the data (called “pages”) have been developed by the project team. The delivery date was adjusted to allow further refinement to improve data visibility, performance, and to add additional views of data to support better analysis. The first release of the system should be available to DHHS staff in December.

Health Information Exchange

The Department received an award of \$5.5M from the American Recovery and Reinvestment Act of 2009 (ARRA), Title XIII – Health Information Technology, Subtitle B – Incentives for the Use of Health Information Technology, Section 3013, State Grants to Promote Health Information Technology. The purpose of the award is to promote the establishment of a New Hampshire state level Health Information Exchange for information sharing across the health care system. A Strategic and Operational Plan for the Health Information Exchange was developed through the collaboration of stakeholders from across New Hampshire's health care community. Pursuant to Chapter 232 (HB 489), Laws of 2011, the New Hampshire Health Information Organization (NHHIO) was formed to establish and operate a state level Health Information Exchange for New Hampshire.

Status:

DPHS is now receiving Syndromic Surveillance data from Elliot Hospital, and several other hospitals are ready to begin testing HIE connections with DPHS. Meanwhile, several other provider organizations are moving forward with the exchange of summary of care records.

NHHIO has over 45 organizations interested in participation. 13 organizations have signed participation agreements and are in various stages of on boarding. These include hospital systems (hospitals and their employed or affiliated providers), CAH's, Mental Health Centers, VNA's, Community Health Access Network, and independent practices. The remainder of those interested are in the process of scheduling kick offs or have indicated that they are simply waiting on their EHR vendor upgrades to 2014 compliant and then they will be ready to on board.

NHHIO expects well over 300 providers will have access to the HIE by the end of January.

Child Support System (NECSSES)

The Division of Child Support Services' New England Child Support Enforcement System (NECSSES) information system no longer supports the needs of the program. A plan was developed to implement a solution using software from other states as a foundation and then build upon that to deliver the functionality required by NH Child Support Services.

Status:

The development effort for the initial release of NECSSES 2.0 began in June 2012 with a target implementation date of May 2014. The functionality is being developed and tested in four iterations, referred to as “waves”.

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Work on the first wave completed on time in December 2012 and the second wave was completed in June 2013. The development effort for the initial release continues to be on track for implementation in May 2014.

New HEIGHTS Modernization

New HEIGHTS is the Department's integrated eligibility system and needs to be modernized making both technical improvements (moving from a 1990's mainframe, client-server technical architecture to a web-based architecture) and functional improvements (such as electronic case files / document imaging and client self service (apply for services on-line, check your benefits, secure e-mail, etc.)). Although this is a multi year project that began several years ago and will continue for several more, changes are being made incrementally and technical improvements and enhanced functionality are being implemented on a monthly basis. Also included within the scope of this initiative are all software enhancements required to support authorized elements of the Affordable Care Act, as well as Care Management.

Status:

Affordable Care Act – The scope of this work includes the new Medicaid eligibility rules (MAGI), Account Transfer From and To CMS (FFM), and the Federal Data Services Hub (FDSH) interface to verify SSN, Citizenship, and Lawful Presence of Non-Citizens. All essential requirements for October open enrolment were delivered and approved by CMS. Additional requirements needed by January 2014 have been prioritized and are being worked accordingly.

Incremental Renewal – Continues to be on plan. Testing for the new Java code related to MAGI was a top priority and has been completed on schedule. Testing for standard COBOL to Java conversions has been slightly delayed due to MAGI and FFM/FDSH work. The next Advanced Planning Document Update (APDU) for CMS includes additional testing resources to address the testing risk.

Service Modernization - The NH EASY service modernization segments of this project are on schedule with a planned lag in project starts to accommodate the Affordable Care Act priorities. An APDU and subsequent contract is planned for 508 remediation work as outlined in the original APD. Medicaid Information Technology Assessment (MITA) tasks started in April and continue to progress pending final release of the federal best practices contained in MITA 3.0.

Electronic Medical Record

New Hampshire Hospital (NHH) is in the planning stages for implementation of an electronic medical record (EMR) system. The EMR will be an added module to the Netsmart program already in place. The existing software includes modules for census management, financial components, and report writing.

The EMR will include real-time documentation of the patient record including admission information, treatment plans, progress notes, and discharge summaries. Initial efforts underway are to review current workflow processes to identify what needs to be modified in an electronic system and to identify any current redundant processes.

Status:

Lean Workflow-Lean efforts concluded July 2013. Nine NHH departments participated in the effort. Results were presented to the Steering committee in October, demonstrating all of the workflow process maps starting from admissions to discharge. NHH found significant value in the workflow exercises and plans to use the workflow as a guide to implementing the new EHR.

EHR Contract Approval G&C-The implementation services contract was approved by G&C August 14, 2013. NHH is working with the Netsmart Project Manager with a planned kickoff date to occur before the end of 2013.

Pharmacy system RxConnect-Pending agreement with Netsmart to move forward with RxConnect or look at extending Mediware (current pharmacy software application) maintenance agreement.

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myAvatar Upgrade-NHH must upgrade its current version of Avatar to a version called myAvatar. This upgrade is mandatory to accommodate the latest features and functionality for EHR, but also to meet federal ICD 10 requirements. First quarter 2014 is slated for G&C approval.

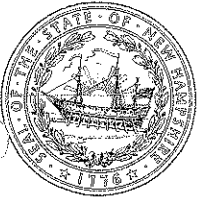
Document Imaging-NHH has chosen Netsmart as their Document Imaging vendor. Choosing Netsmart was a logical decision as the scanned documents reside within the same application we are currently using. This offers a smooth and seamless click of the mouse for document retrieval, thereby eliminating no external document imaging repository or manual key strokes. NHH plans to submit funding approval to G&C in the second quarter of 2014.

WISDOM

Division of Public Health Services (DPHS) is in the second year of developing a Web-Based System for Direction and Outcome Measures (WISDOM). The WISDOM application allows users, both named and anonymous, to access interactive reports of DPHS health indicator data and uses a content management database to organize reports and provide context to the user. This will include a performance management application (PMA) to manage information in the database, to enter indicator source data when other data sources are not available, and report back on the data interactively.

Status:

DPHS has a formal work plan in place for the Wisdom project. Data Reports was chosen as the pilot module and will be ready for live testing within the next 30 days. Work is in process for two additional modules, Public Health Topics and Performance Management (PMA.). Approximately 75% of the performance indicators for the PMA module have been identified and is ongoing. Additional modules included in the project plan are Community Health, Health Equity, Public Health Topics phase two, and Access and Utilization. The project plan will continue to mature adding additional levels of detail as the process continues. The current plan estimates project completion in 2



John T. Beardmore
Commissioner

State of New Hampshire
Department of Revenue Administration

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November 4, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Re: Refund Report

Dear Representative Wallner:

Pursuant to RSA 21-J:45, enclosed is the Department of Revenue Administration's refund report for October 2013.

Please contact me with any questions or concerns.

Sincerely,

A handwritten signature in black ink, appearing to read "JTB", written over the printed name of John T. Beardmore.

John T. Beardmore
Commissioner of Revenue

Enclosure

Department of Revenue Administration
 Refund Report for October 2013
 As Required by RSA 21-J:45

| | Section 1 | | Section 2 | | Section 3 | |
|------------------------------------|-----------|------------------------|-----------|--|-----------|-----------------------------------|
| | Count | October Refunds Issued | Count | October Current Claims Refunds Pending | Count | October taxpayer requested refund |
| CORPORATE BPT | 367 | \$ 2,650,996 | | | | |
| PROPRIETORSHIP BPT | 140 | \$ 220,505 | | | | |
| PARTNER FID BPT | 162 | \$ 884,883 | | | | |
| TOTAL BPT | 669 | \$ 3,756,384 | | | 315 | \$ 8,427,336 |
| BPT/BET Refunds Under Audit Review | | | 8 | \$ 217,450 | | |
| BPT/BET Refunds | | | 581 | \$ 9,614,591 | | |
| BUSINESS ENTERPRISE | 146 | \$ 247,430 | | | 23 | \$ 45,515 |
| TOTAL BPT & BET | 815 | \$ 4,003,814 | | | | |
| INTEREST & DIVIDENDS | 503 | \$ 1,111,430 | 2,122 | \$ 2,902,235 | 341 | \$ 2,249,218 |

Section 1 Refunds Issued This Month

This section is generated from the Lawson Financial System. It is the refunds processed by the Department of Revenue in the calendar month in question.

Section 2 Current Claims Pending This Month

This is all refunds pending that have not been processed. A pending refund can be the result of any of the following: a taxpayer request, or audit findings.

- a.) Taxpayer Requested Refunds: These are refunds requested by taxpayers that are outstanding at the end of the month. These refunds can be from original or amended returns. Does not necessarily mean they will be granted.
- b.) Refunds as a Result of Audit: Some audits result in refunds because evaluation of taxpayer records result in the identification of a legitimate refund.

Section 3 Taxpayer Requested Refunds This Month

These are refunds requested by taxpayers received and data entered in the month reported on. These refunds can be from original or amended returns.